



# Mental Capacity Act 2005

## 2005 CHAPTER 9

### PART 1

#### PERSONS WHO LACK CAPACITY

##### *Independent mental capacity advocate service*

### 35 Appointment of independent mental capacity advocates

- (1) The [<sup>F1</sup>responsible authority] must make such arrangements as it considers reasonable to enable persons (“independent mental capacity advocates”) to be [<sup>F2</sup>available to represent and support persons to whom acts or decisions proposed under sections 37, 38 and 39 relate or persons who fall within section 39A, 39C or 39D.][<sup>F2</sup>available to—
  - (a) represent and support persons to whom acts or decisions proposed under sections 37, 38 and 39 relate,
  - (b) represent and support cared-for persons where paragraph 42 of Schedule AA1 applies, and
  - (c) support appropriate persons where paragraph 43 of Schedule AA1 applies.]
- (2) The appropriate authority may make regulations as to the appointment of independent mental capacity advocates.
- (3) The regulations may, in particular, provide—
  - (a) that a person may act as an independent mental capacity advocate only in such circumstances, or only subject to such conditions, as may be prescribed;
  - (b) for the appointment of a person as an independent mental capacity advocate to be subject to approval in accordance with the regulations.
- (4) In making arrangements under subsection (1), the [<sup>F3</sup>responsible authority] must have regard to the principle that a person to whom a proposed act or decision relates should, so far as practicable, be represented and supported by a person who is independent of any person who will be responsible for the act or decision.

---

*Changes to legislation: Mental Capacity Act 2005, Cross Heading: Independent mental capacity advocate service is up to date with all changes known to be in force on or before 04 January 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details) View outstanding changes*

---

- (5) The arrangements may include provision for payments to be made to, or in relation to, persons carrying out functions in accordance with the arrangements.
- (6) For the purpose of enabling him to carry out his functions, an independent mental capacity advocate—
- (a) may interview in private the person whom he has been instructed to represent, and
  - (b) may, at all reasonable times, examine and take copies of—
    - (i) any health record,
    - (ii) any record of, or held by, a local authority and compiled in connection with a social services function, and
    - (iii) any record held by a person registered under Part 2 of the Care Standards Act 2000 (c. 14) [<sup>F4</sup>, Chapter 2 of Part 1 of the Health and Social Care Act 2008 or Part 1 of the Regulation and Inspection of Social Care (Wales) Act 2016 (anaw 2)],
 which the person holding the record considers may be relevant to the independent mental capacity advocate's investigation.
- [<sup>F5</sup>(6A) In subsections (1) and (4), “ the responsible authority ” means—
- (a) in relation to the provision of the services of independent mental capacity advocates in the area of a local authority in England, that local authority, and
  - (b) in relation to the provision of the services of independent mental capacity advocates in Wales, the Welsh Ministers.]
- [<sup>F5</sup>(6B) In subsection (6A)(a), “ local authority ” has the meaning given in section 64(1) except that it does not include the council of a county or county borough in Wales. ]
- (7) In this section, section 36 and section 37, “the appropriate authority” means—
- (a) in relation to the provision of the services of independent mental capacity advocates in England, the Secretary of State, and
  - (b) in relation to the provision of the services of independent mental capacity advocates in Wales, the National Assembly for Wales.

#### Textual Amendments

- F1** Words in s. 35(1) substituted (1.4.2013) by [Health and Social Care Act 2012 \(c. 7\), s. 306\(4\), Sch. 5 para. 134\(a\)](#); S.I. 2013/160, art. 2(2) (with arts. 7-9)
- F2** Words in s. 35(1) substituted (16.5.2019 for specified purposes) by [Mental Capacity \(Amendment\) Act 2019 \(c. 18\), s. 6\(3\), Sch. 2 para. 3](#)
- F3** Words in s. 35(4) substituted (1.4.2013) by [Health and Social Care Act 2012 \(c. 7\), s. 306\(4\), Sch. 5 para. 134\(b\)](#); S.I. 2013/160, art. 2(2) (with arts. 7-9)
- F4** Words in s. 35(6)(b)(iii) substituted (2.4.2018) by [The Regulation and Inspection of Social Care \(Wales\) Act 2016 \(Consequential Amendments\) Regulations 2018 \(S.I. 2018/195\), regs. 2\(1\), 28](#)
- F5** S. 35(6A)(6B) inserted (1.4.2013) by [Health and Social Care Act 2012 \(c. 7\), s. 306\(4\), Sch. 5 para. 134\(c\)](#); S.I. 2013/160, art. 2(2) (with arts. 7-9)

#### Modifications etc. (not altering text)

- C1** S. 35 extended (E.) (1.11.2006 for certain purposes and otherwise 1.4.2007) by [The Mental Capacity Act 2005 \(Independent Mental Capacity Advocates\) \(Expansion of Role\) Regulations 2006 \(S.I. 2006/2883\), regs. 1\(2\), 2-4](#)

**Changes to legislation:** *Mental Capacity Act 2005, Cross Heading: Independent mental capacity advocate service is up to date with all changes known to be in force on or before 04 January 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details) View outstanding changes*

### Commencement Information

- II** S. 35 wholly in force at 1.10.2007; s. 35 not in force at Royal Assent see s. 68(1)-(3); s. 35 in force for E. at 1.11.2006 for certain purposes and otherwise 1.4.2007 by [S.I. 2006/2814](#), [art. 5](#); s. 35 in force at 1.10.2007 for W. by [S.I. 2007/856](#), [art. 5](#)

## 36 Functions of independent mental capacity advocates

- (1) The appropriate authority may make regulations as to the functions of independent mental capacity advocates.
- (2) The regulations may, in particular, make provision requiring an advocate to take such steps as may be prescribed for the purpose of—
  - (a) providing support to the person whom he has been instructed to represent<sup>[F6]</sup> (“P”) so that P<sup>[F6]</sup> or support so that that person] may participate as fully as possible in any relevant decision;
  - (b) obtaining and evaluating relevant information;
  - (c) ascertaining what <sup>[F7]</sup>P’s wishes and feelings<sup>[F7]</sup> the wishes and feelings of the person the advocate has been instructed to represent (“P”) would be likely to be, and the beliefs and values that would be likely to influence P, if he had capacity;
  - (d) ascertaining what alternative courses of action are available in relation to P;
  - <sup>[F8]</sup>(da) in the case of an advocate instructed to support an appropriate person where paragraph 43 of Schedule AA1 applies, supporting that person to ascertain—
    - (i) what the wishes and feelings of the cared-for person who that appropriate person represents and supports would be likely to be and the beliefs and values that would be likely to influence the cared-for person;
    - (ii) what alternative courses of action are available in relation to the cared-for person who that appropriate person represents and supports;]
  - (e) obtaining a further medical opinion where treatment is proposed and the advocate thinks that one should be obtained.
- (3) The regulations may also make provision as to circumstances in which the advocate may challenge, or provide assistance for the purpose of challenging, any relevant decision.

### Textual Amendments

- F6** Words in s. 36(2)(a) substituted (16.5.2019 for specified purposes) by [Mental Capacity \(Amendment\) Act 2019 \(c. 18\)](#), s. 6(3), [Sch. 2 para. 4\(2\)](#)
- F7** Words in s. 36(2)(c) substituted (16.5.2019 for specified purposes) by [Mental Capacity \(Amendment\) Act 2019 \(c. 18\)](#), s. 6(3), [Sch. 2 para. 4\(3\)](#)
- F8** S. 36(2)(da) inserted (16.5.2019 for specified purposes) by [Mental Capacity \(Amendment\) Act 2019 \(c. 18\)](#), s. 6(3), [Sch. 2 para. 4\(4\)](#)

### Commencement Information

- I2** S. 36 wholly in force at 1.10.2007; s. 36 not in force at Royal Assent see s. 68(1)-(3); s. 36 in force for E. at 1.11.2006 for certain purposes and otherwise 1.4.2007 by [S.I. 2006/2814](#), [art. 5](#); s. 36 in force at 1.10.2007 for W. by [S.I. 2007/856](#), [art. 5](#)

---

*Changes to legislation: Mental Capacity Act 2005, Cross Heading: Independent mental capacity advocate service is up to date with all changes known to be in force on or before 04 January 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details) View outstanding changes*

---

### 37 Provision of serious medical treatment by NHS body

- (1) This section applies if an NHS body—
  - (a) is proposing to provide, or secure the provision of, serious medical treatment for a person (“P”) who lacks capacity to consent to the treatment, and
  - (b) is satisfied that there is no person, other than one engaged in providing care or treatment for P in a professional capacity or for remuneration, whom it would be appropriate to consult in determining what would be in P's best interests.
- (2) But this section does not apply if P's treatment is regulated by Part 4 [F9 or 4A]F9 of the Mental Health Act.
- (3) Before the treatment is provided, the NHS body must instruct an independent mental capacity advocate to represent P.
- (4) If the treatment needs to be provided as a matter of urgency, it may be provided even though the NHS body has not been able to comply with subsection (3).
- (5) The NHS body must, in providing or securing the provision of treatment for P, take into account any information given, or submissions made, by the independent mental capacity advocate.
- (6) “Serious medical treatment” means treatment which involves providing, withholding or withdrawing treatment of a kind prescribed by regulations made by the appropriate authority.
- (7) “NHS body” has such meaning as may be prescribed by regulations made for the purposes of this section by—
  - (a) the Secretary of State, in relation to bodies in England, or
  - (b) the National Assembly for Wales, in relation to bodies in Wales.

#### Textual Amendments

**F9** Words in s. 37(2) inserted (3.11.2008) by [Mental Health Act 2007 \(c. 12\)](#), **ss. 35(6), 56**; [S.I. 2008/1900](#), **art. 2(k)** (with [art. 3](#), [Sch.](#))

#### Commencement Information

**I3** S. 37 wholly in force at 1.10.2007; s. 37 not in force at Royal Assent see s. 68(1)-(3); s. 37 in force for E. at 1.11.2006 for certain purposes and otherwise 1.4.2007 by [S.I. 2006/2814](#), **art. 5**; s. 37 in force at 1.10.2007 for W. by [S.I. 2007/856](#), **art. 5**

### 38 Provision of accommodation by NHS body

- (1) This section applies if an NHS body proposes to make arrangements—
  - (a) for the provision of accommodation in a hospital or care home for a person (“P”) who lacks capacity to agree to the arrangements, or
  - (b) for a change in P's accommodation to another hospital or care home,
 and is satisfied that there is no person, other than one engaged in providing care or treatment for P in a professional capacity or for remuneration, whom it would be appropriate for it to consult in determining what would be in P's best interests.
- (2) But this section does not apply if P is accommodated as a result of an obligation imposed on him under the Mental Health Act.

---

**Changes to legislation:** *Mental Capacity Act 2005, Cross Heading: Independent mental capacity advocate service is up to date with all changes known to be in force on or before 04 January 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details) View outstanding changes*

---

- (2A) <sup>[F10]</sup> And this section does not apply if—
- (a) an independent mental capacity advocate is appointed under paragraph 42 of Schedule AA1 to represent and support P, and
  - (b) the arrangements which are authorised or proposed under Schedule AA1 in respect of P include arrangements for P to be accommodated in the hospital or care home referred to in this section.]
- (3) Before making the arrangements <sup>[F11]</sup> mentioned in subsection (1)], the NHS body must instruct an independent mental capacity advocate to represent P unless it is satisfied that—
- (a) the accommodation is likely to be provided for a continuous period which is less than the applicable period, or
  - (b) the arrangements need to be made as a matter of urgency.
- (4) If the NHS body—
- (a) did not instruct an independent mental capacity advocate to represent P before making the arrangements because it was satisfied that subsection (3)(a) or (b) applied, but
  - (b) subsequently has reason to believe that the accommodation is likely to be provided for a continuous period—
    - (i) beginning with the day on which accommodation was first provided in accordance with the arrangements, and
    - (ii) ending on or after the expiry of the applicable period,it must instruct an independent mental capacity advocate to represent P.
- (5) The NHS body must, in deciding what arrangements to make for P, take into account any information given, or submissions made, by the independent mental capacity advocate.
- <sup>[F12]</sup>(6) “Care home” means—
- (a) a care home in England within the meaning given in section 3 of the Care Standards Act 2000 (c. 14), and
  - (b) a place in Wales at which a care home service within the meaning of Part 1 of the Regulation and Inspection of Social Care (Wales) Act 2016 is provided wholly or mainly to persons aged 18 or over.]
- <sup>[F13]</sup>(7) “Hospital” means—
- (a) in relation to England, a hospital as defined by section 275 of the National Health Service Act 2006; and
  - (b) in relation to Wales, a health service hospital as defined by section 206 of the National Health Service (Wales) Act 2006 or an independent hospital as defined by section 2 of the Care Standards Act 2000.]
- (8) “NHS body” has such meaning as may be prescribed by regulations made for the purposes of this section by—
- (a) the Secretary of State, in relation to bodies in England, or
  - (b) the National Assembly for Wales, in relation to bodies in Wales.
- (9) “Applicable period” means—
- (a) in relation to accommodation in a hospital, 28 days, and
  - (b) in relation to accommodation in a care home, 8 weeks.

---

*Changes to legislation: Mental Capacity Act 2005, Cross Heading: Independent mental capacity advocate service is up to date with all changes known to be in force on or before 04 January 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details) View outstanding changes*

---

- (10) <sup>F14</sup>For the purposes of subsection (1), a person appointed under Part 10 of Schedule A1 to be P's representative is not, by virtue of that appointment, engaged in providing care or treatment for P in a professional capacity or for remuneration.]

#### Textual Amendments

- F10** S. 38(2A) substituted (16.5.2019 for specified purposes) by [Mental Capacity \(Amendment\) Act 2019 \(c. 18\), s. 6\(3\), Sch. 2 para. 5\(2\)](#)
- F11** Words in s. 38(3) inserted (16.5.2019 for specified purposes) by [Mental Capacity \(Amendment\) Act 2019 \(c. 18\), s. 6\(3\), Sch. 2 para. 5\(3\)](#)
- F12** S. 38(6) substituted (2.4.2018) by [The Regulation and Inspection of Social Care \(Wales\) Act 2016 \(Consequential Amendments\) Regulations 2018 \(S.I. 2018/195\), regs. 2\(1\), 9](#)
- F13** S. 38(7) substituted (1.10.2010) by [The Health and Social Care Act 2008 \(Consequential Amendments No.2\) Order 2010 \(S.I. 2010/813\), art. 17\(3\)](#)
- F14** S. 38(10) omitted (16.5.2019 for specified purposes) by virtue of [Mental Capacity \(Amendment\) Act 2019 \(c. 18\), s. 6\(3\), Sch. 2 para. 5\(4\)](#)

#### Commencement Information

- I4** S. 38 wholly in force at 1.10.2007; s. 38 not in force at Royal Assent see s. 68(1)-(3); s. 38 in force for E. at 1.11.2006 for certain purposes and otherwise 1.4.2007 by [S.I. 2006/2814, art. 5](#); s. 38 in force at 1.10.2007 for W. by [S.I. 2007/856, art. 5](#)

### 39 Provision of accommodation by local authority

- (1) This section applies if a local authority propose to make arrangements—
- for the provision of residential accommodation for a person (“P”) who lacks capacity to agree to the arrangements, or
  - for a change in P's residential accommodation,
- and are satisfied that there is no person, other than one engaged in providing care or treatment for P in a professional capacity or for remuneration, whom it would be appropriate for them to consult in determining what would be in P's best interests.

<sup>F15</sup>(1A) But this section applies only if—

- in the case of a local authority in England, subsection (1B) applies;
- in the case of a local authority in Wales, subsection (2) applies.]

<sup>F16</sup>(1B) This subsection applies if the accommodation is to be provided in accordance with—

- Part 1 of the Care Act 2014, or
- section 117 of the Mental Health Act.]

- (2) <sup>F17</sup>This subsection applies] if the accommodation is to be provided in accordance with—

- <sup>F18</sup>(a) Part 4 of the Social Services and Well-being (Wales) Act 2014; or]
- section 117 of the Mental Health Act,

<sup>F19</sup>...

- (3) This section does not apply if P is accommodated as a result of an obligation imposed on him under the Mental Health Act.

(3A) <sup>F20</sup>And this section does not apply if—

---

**Changes to legislation:** *Mental Capacity Act 2005, Cross Heading: Independent mental capacity advocate service is up to date with all changes known to be in force on or before 04 January 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details) View outstanding changes*

---

- (a) an independent mental capacity advocate is appointed under paragraph 42 of Schedule AA1 to represent and support P, and
  - (b) the arrangements which are authorised or proposed under Schedule AA1 in respect of P include arrangements for P to be accommodated in the residential accommodation referred to in this section.]
- <sup>F21</sup>(4) Before making the arrangements [<sup>F22</sup>mentioned in subsection (1)] , the local authority must instruct an independent mental capacity advocate to represent P unless they are satisfied that—
- (a) the accommodation is likely to be provided for a continuous period of less than 8 weeks, or
  - (b) the arrangements need to be made as a matter of urgency.
- (5) If the local authority—
- (a) did not instruct an independent mental capacity advocate to represent P before making the arrangements because they were satisfied that subsection (4)(a) or (b) applied, but
  - (b) subsequently have reason to believe that the accommodation is likely to be provided for a continuous period that will end 8 weeks or more after the day on which accommodation was first provided in accordance with the arrangements,
- they must instruct an independent mental capacity advocate to represent P.
- (6) The local authority must, in deciding what arrangements to make for P, take into account any information given, or submissions made, by the independent mental capacity advocate.
- (7) [<sup>F23</sup> For the purposes of subsection (1), a person appointed under Part 10 of Schedule A1 to be P's representative is not, by virtue of that appointment, engaged in providing care or treatment for P in a professional capacity or for remuneration. ]

#### Textual Amendments

- F15** S. 39(1A) inserted (1.4.2015) by [The Care Act 2014 and Children and Families Act 2014 \(Consequential Amendments\) Order 2015 \(S.I. 2015/914\)](#), art. 1(2), **Sch. para. 79(2)** (with arts. 1(3), 3)
- F16** S. 39(1B) inserted (1.4.2015) by [The Care Act 2014 and Children and Families Act 2014 \(Consequential Amendments\) Order 2015 \(S.I. 2015/914\)](#), art. 1(2), **Sch. para. 79(3)** (with arts. 1(3), 3)
- F17** Words in s. 39(2) substituted (1.4.2015) by [The Care Act 2014 and Children and Families Act 2014 \(Consequential Amendments\) Order 2015 \(S.I. 2015/914\)](#), art. 1(2), **Sch. para. 79(4)** (with arts. 1(3), 3)
- F18** S. 39(2)(a) substituted (6.4.2016) by [The Social Services and Well-being \(Wales\) Act 2014 \(Consequential Amendments\) Regulations 2016 \(S.I. 2016/413\)](#), regs. 2(1), **227(a)**
- F19** Words in s. 39(2)(b) omitted (6.4.2016) by virtue of [The Social Services and Well-being \(Wales\) Act 2014 \(Consequential Amendments\) Regulations 2016 \(S.I. 2016/413\)](#), regs. 2(1), **227(b)**
- F20** S. 39(3A) substituted (16.5.2019 for specified purposes) by [Mental Capacity \(Amendment\) Act 2019 \(c. 18\)](#), s. 6(3), **Sch. 2 para. 6(2)**
- F21** S. 39(3A) inserted (1.4.2009) by [Mental Health Act 2007 \(c. 12\)](#), ss. 50, 56, **Sch. 9 para. 5(2)**; S.I. 2009/139, **art. 2(e)** (with art. 3)
- F22** Words in s. 39(4) inserted (16.5.2019 for specified purposes) by [Mental Capacity \(Amendment\) Act 2019 \(c. 18\)](#), s. 6(3), **Sch. 2 para. 6(3)**

**Changes to legislation:** Mental Capacity Act 2005, Cross Heading: Independent mental capacity advocate service is up to date with all changes known to be in force on or before 04 January 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details) View outstanding changes

**F23** S. 39(7) omitted (16.5.2019 for specified purposes) by virtue of [Mental Capacity \(Amendment\) Act 2019 \(c. 18\)](#), s. 6(3), [Sch. 2 para. 6\(4\)](#)

#### Commencement Information

**I5** S. 39 wholly in force at 1.10.2007; s. 39 not in force at Royal Assent see s. 68(1)-(3); s. 39 in force for E. at 1.11.2006 for certain purposes and otherwise 1.4.2007 by [S.I. 2006/2814](#), [art. 5](#); s. 39 in force at 1.10.2007 for W. by [S.I. 2007/856](#), [art. 5](#)

### 39A Person becomes subject to Schedule A1

[<sup>F24</sup>(1) This section applies if—

- (a) a person (“P”) becomes subject to Schedule A1, and
- (b) the managing authority of the relevant hospital or care home are satisfied that there is no person, other than one engaged in providing care or treatment for P in a professional capacity or for remuneration, whom it would be appropriate to consult in determining what would be in P's best interests.

- (2) The managing authority must notify the supervisory body that this section applies.
- (3) The supervisory body must instruct an independent mental capacity advocate to represent P.
- (4) Schedule A1 makes provision about the role of an independent mental capacity advocate appointed under this section.
- (5) This section is subject to paragraph 161 of Schedule A1.
- (6) For the purposes of subsection (1), a person appointed under Part 10 of Schedule A1 to be P's representative is not, by virtue of that appointment, engaged in providing care or treatment for P in a professional capacity or for remuneration.]

#### Textual Amendments

**F24** Ss. 39A-39E omitted (16.5.2019 for specified purposes) by virtue of [Mental Capacity \(Amendment\) Act 2019 \(c. 18\)](#), s. 6(3), [Sch. 2 para. 7](#)

### 39B Section 39A: supplementary provision

[<sup>F24</sup>(1) This section applies for the purposes of section 39A.

- (2) P becomes subject to Schedule A1 in any of the following cases.
- (3) The first case is where an urgent authorisation is given in relation to P under paragraph 76(2) of Schedule A1 (urgent authorisation given before request made for standard authorisation).
- (4) The second case is where the following conditions are met.
- (5) The first condition is that a request is made under Schedule A1 for a standard authorisation to be given in relation to P (“the requested authorisation”).
- (6) The second condition is that no urgent authorisation was given under paragraph 76(2) of Schedule A1 before that request was made.



---

**Changes to legislation:** *Mental Capacity Act 2005, Cross Heading: Independent mental capacity advocate service is up to date with all changes known to be in force on or before 04 January 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details) View outstanding changes*

---

- (7) The third condition is that the requested authorisation will not be in force on or before, or immediately after, the expiry of an existing standard authorisation.
- (8) The expiry of a standard authorisation is the date when the authorisation is expected to cease to be in force.
- (9) The third case is where, under paragraph 69 of Schedule A1, the supervisory body select a person to carry out an assessment of whether or not the relevant person is a detained resident.]

---

**Textual Amendments**

**F24** Ss. 39A-39E omitted (16.5.2019 for specified purposes) by virtue of [Mental Capacity \(Amendment\) Act 2019 \(c. 18\)](#), s. 6(3), [Sch. 2 para. 7](#)

### **39C Person unrepresented whilst subject to Schedule A1**

- [<sup>F24</sup>(1) This section applies if—
- (a) an authorisation under Schedule A1 is in force in relation to a person (“P”),
  - (b) the appointment of a person as P’s representative ends in accordance with regulations made under Part 10 of Schedule A1, and
  - (c) the managing authority of the relevant hospital or care home are satisfied that there is no person, other than one engaged in providing care or treatment for P in a professional capacity or for remuneration, whom it would be appropriate to consult in determining what would be in P’s best interests.
- (2) The managing authority must notify the supervisory body that this section applies.
- (3) The supervisory body must instruct an independent mental capacity advocate to represent P.
- (4) Paragraph 159 of Schedule A1 makes provision about the role of an independent mental capacity advocate appointed under this section.
- (5) The appointment of an independent mental capacity advocate under this section ends when a new appointment of a person as P’s representative is made in accordance with Part 10 of Schedule A1.
- (6) For the purposes of subsection (1), a person appointed under Part 10 of Schedule A1 to be P’s representative is not, by virtue of that appointment, engaged in providing care or treatment for P in a professional capacity or for remuneration.]

---

**Textual Amendments**

**F24** Ss. 39A-39E omitted (16.5.2019 for specified purposes) by virtue of [Mental Capacity \(Amendment\) Act 2019 \(c. 18\)](#), s. 6(3), [Sch. 2 para. 7](#)

### **39D Person subject to Schedule A1 without paid representative**

- [<sup>F24</sup>(1) This section applies if—
- (a) an authorisation under Schedule A1 is in force in relation to a person (“P”),
  - (b) P has a representative (“R”) appointed under Part 10 of Schedule A1, and

---

*Changes to legislation: Mental Capacity Act 2005, Cross Heading: Independent mental capacity advocate service is up to date with all changes known to be in force on or before 04 January 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details) View outstanding changes*

---

- (c) R is not being paid under regulations under Part 10 of Schedule A1 for acting as P's representative.
- (2) The supervisory body must instruct an independent mental capacity advocate to represent P in any of the following cases.
  - (3) The first case is where P makes a request to the supervisory body to instruct an advocate.
  - (4) The second case is where R makes a request to the supervisory body to instruct an advocate.
  - (5) The third case is where the supervisory body have reason to believe one or more of the following—
    - (a) that, without the help of an advocate, P and R would be unable to exercise one or both of the relevant rights;
    - (b) that P and R have each failed to exercise a relevant right when it would have been reasonable to exercise it;
    - (c) that P and R are each unlikely to exercise a relevant right when it would be reasonable to exercise it.
  - (6) The duty in subsection (2) is subject to section 39E.
  - (7) If an advocate is appointed under this section, the advocate is, in particular, to take such steps as are practicable to help P and R to understand the following matters—
    - (a) the effect of the authorisation;
    - (b) the purpose of the authorisation;
    - (c) the duration of the authorisation;
    - (d) any conditions to which the authorisation is subject;
    - (e) the reasons why each assessor who carried out an assessment in connection with the request for the authorisation, or in connection with a review of the authorisation, decided that P met the qualifying requirement in question;
    - (f) the relevant rights;
    - (g) how to exercise the relevant rights.
  - (8) The advocate is, in particular, to take such steps as are practicable to help P or R—
    - (a) to exercise the right to apply to court, if it appears to the advocate that P or R wishes to exercise that right, or
    - (b) to exercise the right of review, if it appears to the advocate that P or R wishes to exercise that right.
  - (9) If the advocate helps P or R to exercise the right of review—
    - (a) the advocate may make submissions to the supervisory body on the question of whether a qualifying requirement is reviewable;
    - (b) the advocate may give information, or make submissions, to any assessor carrying out a review assessment.
  - (10) In this section—
    - “relevant rights” means—
      - (a) the right to apply to court, and
      - (b) the right of review;
 “right to apply to court” means the right to make an application to the court to exercise its jurisdiction under section 21A;

---

**Changes to legislation:** *Mental Capacity Act 2005, Cross Heading: Independent mental capacity advocate service is up to date with all changes known to be in force on or before 04 January 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details) View outstanding changes*

---

“right of review” means the right under Part 8 of Schedule A1 to request a review.]

---

**Textual Amendments**

**F24** Ss. 39A-39E omitted (16.5.2019 for specified purposes) by virtue of [Mental Capacity \(Amendment\) Act 2019 \(c. 18\)](#), s. 6(3), [Sch. 2 para. 7](#)

### **39E Limitation on duty to instruct advocate under section 39D**

- [<sup>F24</sup>(1) This section applies if an advocate is already representing P in accordance with an instruction under section 39D.
- (2) Section 39D(2) does not require another advocate to be instructed, unless the following conditions are met.
- (3) The first condition is that the existing advocate was instructed—
- because of a request by R, or
  - because the supervisory body had reason to believe one or more of the things in section 39D(5).
- (4) The second condition is that the other advocate would be instructed because of a request by P.]

---

**Textual Amendments**

**F24** Ss. 39A-39E omitted (16.5.2019 for specified purposes) by virtue of [Mental Capacity \(Amendment\) Act 2019 \(c. 18\)](#), s. 6(3), [Sch. 2 para. 7](#)

### **40 Exceptions**

- [<sup>F25</sup>(1)] The duty imposed by section 37(3), 38(3) or (4) [<sup>F26</sup>, 39(4) or (5), 39A(3), 39C(3) or 39D(2)] [<sup>F26</sup> or 39(4) or (5)] [<sup>F27</sup> does not apply where there is—
- a person nominated by P (in whatever manner) as a person to be consulted on matters to which that duty relates,
  - a donee of a lasting power of attorney created by P who is authorised to make decisions in relation to those matters, or
  - a deputy appointed by the court for P with power to make decisions in relation to those matters.
- (2) [<sup>F28</sup>A person appointed under Part 10 of Schedule A1 to be P's representative is not, by virtue of that appointment, a person nominated by P as a person to be consulted in matters to which a duty mentioned in subsection (1) relates.]

---

**Textual Amendments**

- F25** S. 40 renumbered as s. 40(1) (1.4.2009) by virtue of [The Mental Health Act 2007 \(c. 12\)](#), ss. 50, 56, [Sch. 9 para. 7\(2\)](#); [S.I. 2009/139](#), [art. 2\(e\)](#) (with [art. 3](#))
- F26** Words in s. 40(1) substituted (16.5.2019 for specified purposes) by [Mental Capacity \(Amendment\) Act 2019 \(c. 18\)](#), s. 6(3), [Sch. 2 para. 8\(a\)](#)

---

**Changes to legislation:** *Mental Capacity Act 2005, Cross Heading: Independent mental capacity advocate service is up to date with all changes known to be in force on or before 04 January 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details) View outstanding changes*

---

- F27** Words in s. 40(1) substituted (1.4.2009) by [Mental Health Act 2007 \(c. 12\)](#), ss. 50, 56, [Sch. 9 para. 7\(3\)](#); [S.I. 2009/139](#), [art. 2\(e\)](#) (with [art. 3](#))
- F28** S. 40(2) omitted (16.5.2019 for specified purposes) by virtue of [Mental Capacity \(Amendment\) Act 2019 \(c. 18\)](#), s. 6(3), [Sch. 2 para. 8\(b\)](#)

---

**Commencement Information**

- I6** S. 40 wholly in force at 1.10.2007; s. 40 not in force at Royal Assent see s. 68(1)-(3); s. 40 in force for E. at 1.11.2006 for certain purposes and otherwise 1.4.2007 by [S.I. 2006/2814](#), [art. 5](#); s. 40 in force at 1.10.2007 for W. by [S.I. 2007/856](#), [art. 5](#)

**41 Power to adjust role of independent mental capacity advocate**

- (1) The appropriate authority may make regulations—
- (a) expanding the role of independent mental capacity advocates in relation to persons who lack capacity, and
  - (b) adjusting the obligation to make arrangements imposed by section 35.
- (2) The regulations may, in particular—
- (a) prescribe circumstances (different to those set out in sections 37, 38 and 39) in which an independent mental capacity advocate must, or circumstances in which one may, be instructed by a person of a prescribed description to represent a person who lacks capacity, and
  - (b) include provision similar to any made by section 37, 38, 39 or 40.
- (3) “Appropriate authority” has the same meaning as in section 35.

---

**Commencement Information**

- I7** S. 41 wholly in force at 1.10.2007; s. 41 not in force at Royal Assent see s. 68(1)-(3); s. 41 in force for E. at 1.11.2006 for certain purposes and otherwise 1.4.2007 by [S.I. 2006/2814](#), [art. 5](#); s. 41 in force at 1.10.2007 for W. by [S.I. 2007/856](#), [art. 5](#)

**Changes to legislation:**

Mental Capacity Act 2005, Cross Heading: Independent mental capacity advocate service is up to date with all changes known to be in force on or before 04 January 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations.

[View outstanding changes](#)

**Changes and effects yet to be applied to the whole Act associated Parts and Chapters:**

Whole provisions yet to be inserted into this Act (including any effects on those provisions):

- s. 58(4)(ca) inserted by [2023 c. 42 Sch. para. 10](#)
- Sch. 1 para. 4A inserted by [2023 c. 42 Sch. para. 3](#)
- Sch. 1 para. 10A and cross-heading inserted by [2023 c. 42 Sch. para. 6](#)
- Sch. 1 para. 13A inserted by [2023 c. 42 Sch. para. 7\(2\)](#)
- Sch. 1 para. 16(1A) inserted by [2023 c. 42 Sch. para. 8\(b\)](#)