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**COUNCIL REGULATION (EC) No 338/97
of 9 December 1996
on the protection of species of wild fauna and flora by regulating trade therein**

(OJ L 61, 3.3.1997, p. 1)

Amended by:

	Official Journal		
	No	page	date
► <u>M1</u> Commission Regulation (EC) No 938/97 of 26 May 1997	L 140	1	30.5.1997
► <u>M2</u> Commission Regulation (EC) No 2307/97 of 18 November 1997	L 325	1	27.11.1997
► <u>M3</u> Commission Regulation (EC) No 2214/98 of 15 October 1998	L 279	3	16.10.1998
► <u>M4</u> Commission Regulation (EC) No 1476/1999 of 6 July 1999	L 171	5	7.7.1999
► <u>M5</u> Commission Regulation (EC) No 2724/2000 of 30 November 2000	L 320	1	18.12.2000
► <u>M6</u> Commission Regulation (EC) No 1579/2001 of 1 August 2001	L 209	14	2.8.2001
► <u>M7</u> Commission Regulation (EC) No 2476/2001 of 17 December 2001	L 334	3	18.12.2001
► <u>M8</u> Commission Regulation (EC) No 1497/2003 of 18 August 2003	L 215	3	27.8.2003
► <u>M9</u> Regulation (EC) No 1882/2003 of the European Parliament and of the Council of 29 September 2003	L 284	1	31.10.2003
► <u>M10</u> Commission Regulation (EC) No 834/2004 of 28 April 2004	L 127	40	29.4.2004
► <u>M11</u> Commission Regulation (EC) No 1332/2005 of 9 August 2005	L 215	1	19.8.2005

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► **C1** Corrigendum, OJ L 298, 1.11.1997, p. 70 (338/97)



**COUNCIL REGULATION (EC) No 338/97
of 9 December 1996**

**on the protection of species of wild fauna and flora by regulating
trade therein**

THE COUNCIL OF THE EUROPEAN UNION,

Having regard to the Treaty establishing the European Community, and in particular Article 130s (1) thereof,

Having regard to the proposal from the Commission ⁽¹⁾,

Having regard to the opinion of the Economic and Social Committee ⁽²⁾,

Acting in accordance with the procedure laid down in Article 189c of the Treaty ⁽³⁾,

- (1) Whereas Regulation (EEC) No 3626/82 ⁽⁴⁾ applies the Convention on International Trade in Endangered Species of Wild Fauna and Flora in the Community with effect from 1 January 1984; whereas the purpose of the Convention is to protect endangered species of fauna and flora through controls on international trade in specimens of those species;
- (2) Whereas, in order to improve the protection of species of wild fauna and flora which are threatened by trade or likely to be so threatened, Regulation (EEC) No 3626/82 must be replaced by a Regulation taking account of the scientific knowledge acquired since its adoption and the current structure of trade; whereas, moreover, the abolition of controls at internal borders resulting from the Single Market necessitates the adoption of stricter trade control measures at the Community's external borders, with documents and goods being checked at the customs office at the border where they are introduced;
- (3) Whereas the provisions of this Regulation do not prejudice any stricter measures which may be taken or maintained by Member States, in compliance with the Treaty, in particular with regard to the holding of specimens of species covered by this Regulation;
- (4) Whereas it is necessary to lay down objective criteria for the inclusion of species of wild fauna and flora in the Annexes to this Regulation;
- (5) Whereas the implementation of this Regulation necessitates the application of common conditions for the issue, use and presentation of documents relating to authorization of the introduction into the Community and the export or re-export from the Community of specimens of the species covered by this Regulation; whereas it is necessary to lay down specific provisions relating to the transit of specimens through the Community;
- (6) Whereas it is for a management authority of the Member State of destination, assisted by the scientific authority of that Member State and, where appropriate, taking into account any opinion of the Scientific Review Group, to decide on the requests for introduction of specimens into the Community;
- (7) Whereas it is necessary to supplement the provisions on re-export with a consultation procedure, in order to limit the risk of infringement;

⁽¹⁾ OJ No C 26, 3. 2. 1992, p. 1, and OJ No C 131, 12. 5. 1994, p. 1.

⁽²⁾ OJ No C 223, 31. 8. 1992, p. 19.

⁽³⁾ Opinion of the European Parliament of 15 December 1995 (OJ No C 17, 22. 1. 1996, p. 430). Common position of the Council of 26 February 1996 (OJ No C 196, 6. 7. 1996, p. 58) and Decision of the European Parliament of 18 September 1996 (OJ No C 320, 28. 10. 1996).

⁽⁴⁾ OJ No L 384, 31. 12. 1982, p. 1. Regulation as last amended by Commission Regulation (EC) No 558/95 (OJ No L 57, 15. 3. 1995, p. 1).

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- (8) Whereas, in order to guarantee effective protection of species of wild fauna and flora, additional restrictions may be imposed on the introduction of specimens into, and the export thereof from, the Community; whereas, with regard to live specimens, these restrictions may be supplemented by restrictions at Community level on the holding or movement of such specimens within the Community;
- (9) Whereas it is necessary to lay down specific provisions applicable to captive-born and bred, or artificially propagated specimens, to specimens which are personal or household effects, and to non-commercial loans, donations or exchanges between registered scientists and scientific institutions;
- (10) Whereas there is a need, in order to ensure the broadest possible protection for species covered by this Regulation, to lay down provisions for controlling trade and movement of specimens within the Community, and the conditions for housing specimens; whereas the certificates issued under this Regulation, which contribute to controlling these activities, must be governed by common rules on their issue, validity and use;
- (11) Whereas measures should be taken to minimize the adverse effects on live specimens of transport to their destination, from or within the Community;
- (12) Whereas, to ensure effective controls and to facilitate customs procedures, customs offices should be designated, with trained personnel responsible for carrying out the necessary formalities and corresponding checks where specimens are introduced into the Community, in order to assign them a customs-approved treatment or use within the meaning of Council Regulation (EEC) No 2913/92 of 12 October 1992 establishing the Community Customs Code ⁽¹⁾, or where they are exported or re-exported from the Community; whereas there should also be facilities guaranteeing that live specimens are adequately housed and cared for;
- (13) Whereas the implementation of this Regulation also calls for the designation of management and scientific authorities by the Member States;
- (14) Whereas informing the public and making them aware of the provisions of this Regulation, particularly at border crossing points, is likely to encourage compliance with these provisions;
- (15) Whereas, in order to ensure effective enforcement of this Regulation, Member States should closely monitor compliance with its provisions and, to that end, cooperate closely between themselves and with the Commission; whereas this requires the communication of information relating to the implementation of this Regulation;
- (16) Whereas the monitoring of levels of trade in the species of wild fauna and flora covered by this Regulation is of crucial importance for assessing the effects of trade on the conservation status of species; whereas detailed annual reports should be drawn up in a common format;
- (17) Whereas, in order to guarantee compliance with this Regulation, it is important that Member States impose sanctions for infringements in a manner which is both sufficient and appropriate to the nature and gravity of the infringement;
- (18) Whereas it is essential to lay down a Community procedure enabling the implementing provisions and amendments to the Annexes of this Regulation to be adopted within a suitable period; whereas a Committee must be set up to permit close and effective cooperation between the Member States and the Commission in this field;

⁽¹⁾ OJ No L 302, 19. 10. 1992, p. 1. Regulation as last amended by the 1994 Act of Accession.

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- (19) Whereas the multitude of biological and ecological aspects to be considered in the implementation of this Regulation requires the setting up of a Scientific Review Group, whose opinions will be forwarded by the Commission to the Committee and the management bodies of the Member States, to assist them in making their decisions,

HAS ADOPTED THIS REGULATION:

Article 1

Object

The object of this Regulation is to protect species of wild fauna and flora and to guarantee their conservation by regulating trade therein in accordance with the following Articles.

This Regulation shall apply in compliance with the objectives, principles and provisions of the Convention defined in Article 2.

Article 2

Definitions

For the purposes of this Regulation:

- (a) ‘Committee’ shall mean the Committee on Trade in Wild Fauna and Flora, established under Article 18;
- (b) ‘Convention’ shall mean the Convention on International Trade in Endangered Species of Wild Fauna and Flora (Cites);
- (c) ‘country of origin’ shall mean the country in which a specimen was taken from the wild, captive-bred or artificially propagated;
- (d) ‘import notification’ shall mean the notification given by the importer or his agent or representative, at the time of the introduction into the Community of a specimen of a species included in Annexes C or D, on a form prescribed by the Commission in accordance with the procedure laid down in Article 18;
- (e) ‘introduction from the sea’ shall mean the introduction into the Community of any specimen which was taken in, and is being introduced directly from, the marine environment not under the jurisdiction of any State, including the air-space above the sea and the sea-bed and subsoil beneath the sea;
- (f) ‘issuance’ shall mean the completion of all procedures involved in preparing and validating a permit or certificate and its delivery to the applicant;
- (g) ‘management authority’ shall mean a national administrative authority designated, in the case of a Member State, in accordance with Article 13(1)(a) or, in the case of a third country party to the Convention, in accordance with Article IX of the Convention;
- (h) ‘Member State of destination’ shall mean the Member State of destination mentioned in the document used to export or re-export a specimen; in the event of introduction from the sea, it shall mean the Member State within whose jurisdiction the place of destination of a specimen lies;
- (i) ‘offering for sale’ shall mean offering for sale and any action that may reasonably be construed as such, including advertising or causing to be advertised for sale and invitation to treat;
- (j) ‘personal or household effects’ shall mean dead specimens, parts and derivatives thereof, that are the belongings of a private individual and that form, or are intended to form, part of his normal goods and chattels;
- (k) ‘place of destination’ shall mean the place at which at the time of introduction into the Community, it is intended that specimens will normally be kept; in the case of live specimens, this shall be the

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first place where specimens are intended to be kept following any period of quarantine or other confinement for the purposes of sanitary checks and controls;

- (l) 'population' shall mean a biologically or geographically distinct total number of individuals;
- (m) 'primarily commercial purposes' shall mean all purposes the non-commercial aspects of which do not clearly predominate;
- (n) 're-export from the Community' shall mean export from the Community of any specimen that has previously been introduced;
- (o) 'reintroduction into the Community' shall mean introduction into the Community of any specimen that has previously been exported or re-exported;
- (p) 'sale' shall mean any form of sale. For the purposes of this Regulation, hire, barter or exchange shall be regarded as sale; cognate expressions shall be similarly construed;
- (q) 'scientific authority' shall mean a scientific authority designated, in the case of a Member State, in accordance with Article 13(1)(b) or, in the case of a third country party to the Convention, in accordance with Article IX of the Convention;
- (r) 'Scientific Review Group' shall mean the consultative body established under Article 17;
- (s) 'species' shall mean a species, subspecies or population thereof;
- (t) 'specimen' shall mean any animal or plant, whether alive or dead, of the species listed in Annexes A to D, any part or derivative thereof, whether or not contained in other goods, as well as any other goods which appear from an accompanying document, the packaging or a mark or label, or from any other circumstances, to be or to contain parts or derivatives of animals or plants of those species, unless such parts or derivatives are specifically exempted from the provisions of this Regulation or from the provisions relating to the Annex in which the species concerned is listed by means of an indication to that effect in the Annexes concerned.

A specimen will be considered to be a specimen of a species listed in Annexes A to D if it is, or is part of or derived from, an animal or plant at least one of whose 'parents' is of a species so listed. In cases where the 'parents' of such an animal or plant are of species listed in different Annexes, or of species only one of which is listed, the provisions of the more restrictive Annex shall apply. However, in the case of specimens of hybrid plants, if one of the 'parents' is of a species listed in Annex A, the provisions of the more restrictive Annex shall apply only if that species is annotated to that effect in the Annex;

- (u) 'trade' shall mean the introduction into the Community, including introduction from the sea, and the export and re-export therefrom, as well as the use, movement and transfer of possession within the Community, including within a Member State, of specimens subject to the provisions of this Regulation;
- (v) 'transit' shall mean the transport of specimens between two points outside the Community through the territory of the Community which are shipped to a named consignee and during which any interruption in the movement arises only from the arrangements necessitated by this form of traffic;
- (w) 'worked specimens that were acquired more than 50 years previously' shall mean specimens that were significantly altered from their natural raw state for jewellery, adornment, art, utility, or musical instruments, more than 50 years before the entry into force of this Regulation and that have been, to the satisfaction of the management authority of the Member State concerned, acquired in such conditions. Such specimens shall be considered as worked only if they are clearly in one of the aforementioned categories

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and require no further carving, crafting or manufacture to effect their purpose;

- (x) 'checks at the time of introduction, export, re-export and transit' shall mean documentary checks on the certificates, permits and notifications provided for in this Regulation and — in cases where Community provisions so provide or in other cases by representative sampling of the consignments — examination of the specimens, where appropriate accompanied by the taking of samples with a view to analysis or more detailed checks.

*Article 3***Scope**

1. Annex A shall contain:

- (a) the species listed in Appendix I to the Convention for which the Member States have not entered a reservation;
- (b) any species:
- (i) which is, or may be, in demand for utilization in the Community or for international trade and which is either threatened with extinction or so rare that any level of trade would imperil the survival of the species;
- or
- (ii) which is in a genus of which most of the species or which is a species of which most of the subspecies are listed in Annex A in accordance with the criteria in subparagraphs (a) or (b)(i) and whose listing in the Annex is essential for the effective protection of those taxa.

2. Annex B shall contain:

- (a) the species listed in Appendix II to the Convention, other than those listed in Annex A, for which the Member States have not entered a reservation;
- (b) the species listed in Appendix I to the Convention for which a reservation has been entered;
- (c) any other species not listed in Appendices I or II to the Convention:
- (i) which is subject to levels of international trade that might not be compatible:
- with its survival or with the survival of populations in certain countries, or
- with the maintenance of the total population at a level consistent with the role of the species in the ecosystems in which it occurs;

or

- (ii) whose listing in the Annex for reasons of similarity in appearance to other species listed in Annex A or Annex B, is essential in order to ensure the effectiveness of controls on trade in specimens of such species;
- (d) species in relation to which it has been established that the introduction of live specimens into the natural habitat of the Community would constitute an ecological threat to wild species of fauna and flora indigenous to the Community.

3. Annex C shall contain:

- (a) the species listed in Appendix III to the Convention, other than those listed in Annexes A or B, for which the Member States have not entered a reservation;
- (b) the species listed in Appendix II to the Convention for which a reservation has been entered.

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4. Annex D shall contain:
- (a) species not listed in Annexes A to C which are imported into the Community in such numbers as to warrant monitoring;
 - (b) the species listed in Appendix III to the Convention for which a reservation has been entered.
5. Where the conservation status of species covered by this Regulation warrants their inclusion in one of the Appendices to the Convention, the Member States shall contribute to the necessary amendments.

*Article 4***Introduction into the Community**

1. The introduction into the Community of specimens of the species listed in Annex A shall be subject to completion of the necessary checks and the prior presentation, at the border customs office at the point of introduction, of an import permit issued by a management authority of the Member State of destination.

The import permit may be issued only in accordance with the restrictions established pursuant to paragraph 6 and when the following conditions have been met:

- (a) the competent scientific authority, after considering any opinion by the Scientific Review Group, has advised that the introduction into the Community:
 - (i) would not have a harmful effect on the conservation status of the species or on the extent of the territory occupied by the relevant population of the species;
 - (ii) is taking place:
 - for one of the purposes referred to in Article 8(3)(e), (f) and (g), or
 - for other purposes which are not detrimental to the survival of the species concerned;
- (b) (i) the applicant provides documentary evidence that the specimens have been obtained in accordance with the legislation on the protection of the species concerned which, in the case of import from a third country of specimens of a species listed in the Appendices to the Convention, shall be an export permit or re-export certificate, or copy thereof, issued in accordance with the Convention by a competent authority of the country of export or re-export;
- (ii) however, the issuance of import permits for species listed in Annex A in accordance with Article 3(1)(a) shall not require such documentary evidence, but the original of any such import permit shall be withheld from the applicant pending presentation of the export permit or re-export certificate;
- (c) the competent scientific authority is satisfied that the intended accommodation for a live specimen at the place of destination is adequately equipped to conserve and care for it properly;
- (d) the management authority is satisfied that the specimen is not to be used for primarily commercial purposes;
- (e) the management authority is satisfied, following consultation with the competent scientific authority, that there are no other factors relating to the conservation of the species which militate against issuance of the import permit; and
- (f) in the case of introduction from the sea, the management authority is satisfied that any live specimen will be so prepared and shipped as to minimize the risk of injury, damage to health or cruel treatment.

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2. The introduction into the Community of specimens of the species listed in Annex B shall be subject to completion of the necessary checks and the prior presentation, at the border customs office at the point of introduction, of an import permit issued by a management authority of the Member State of destination.

The import permit may be issued only in accordance with the restrictions established pursuant to paragraph 6 and when:

- (a) the competent scientific authority, after examining available data and considering any opinion from the Scientific Review Group, is of the opinion that the introduction into the Community would not have a harmful effect on the conservation status of the species or on the extent of the territory occupied by the relevant population of the species, taking account of the current or anticipated level of trade. This opinion shall be valid for subsequent imports as long as the abovementioned aspects have not changed significantly;
- (b) the applicant provides documentary evidence that the intended accommodation for a live specimen at the place of destination is adequately equipped to conserve and care for it properly;
- (c) the conditions referred to in paragraph 1(b)(i), (e) and (f) have been met.

3. The introduction into the Community of specimens of the species listed in Annex C shall be subject to completion of the necessary checks and the prior presentation, at the border customs office at the point of introduction, of an import notification and:

- (a) in the case of export from a country mentioned in relation to the species concerned in Annex C, the applicant shall provide documentary evidence, by means of an export permit issued in accordance with the Convention by an authority of that country competent for the purpose, that the specimens have been obtained in accordance with the national legislation on the conservation of the species concerned; or
- (b) in the case of export from a country not mentioned in relation to the species concerned in Annex C or re-export from any country, the applicant shall present an export permit, a re-export certificate or a certificate of origin issued in accordance with the Convention by an authority of the exporting or re-exporting country competent for the purpose.

4. The introduction into the Community of specimens of the species listed in Annex D shall be subject to completion of the necessary checks and the prior presentation of an import notification at the border customs office at the point of introduction.

5. The conditions for the issuance of an import permit as referred to in paragraph 1(a) and (d) and in paragraph 2(a), (b) and (c) shall not apply to specimens for which the applicant provides documentary evidence:

- (a) that they had previously been legally introduced into or acquired in the Community and that they are, modified or not, being reintroduced into the Community; or
- (b) that they are worked specimens that were acquired more than 50 years previously.

6. In consultation with the countries of origin concerned, in accordance with the procedure laid down in Article 18 and taking account of any opinion from the Scientific Review Group, the Commission may establish general restrictions, or restrictions relating to certain countries of origin, on the introduction into the Community:

- (a) on the basis of the conditions referred to in paragraph 1(a)(i) or (e), of specimens of species listed in Annex A;
- (b) on the basis of the conditions referred to in paragraph 1(e) or paragraph 2(a), of specimens of species listed in Annex B; and

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- (c) of live specimens of species listed in Annex B which have a high mortality rate during shipment or for which it has been established that they are unlikely to survive in captivity for a considerable proportion of their potential life span; or
- (d) of live specimens of species for which it has been established that their introduction into the natural environment of the Community presents an ecological threat to wild species of fauna and flora indigenous to the Community.

The Commission shall on a quarterly basis publish a list of such restrictions, if any, in the *Official Journal of the European Communities*.

7. Where special cases of transshipment, air transfer or rail transport occur following introduction into the Community, derogations from completion of the checks and presentations of import documents at the border customs office at the point of introduction which are referred to in paragraphs 1 to 4 shall be granted in accordance with the procedure laid down in Article 18, in order to permit such checks and presentations to be made at another customs office designated in accordance with Article 12 (1).

Article 5

Export or re-export from the Community

1. The export or re-export from the Community of specimens of the species listed in Annex A shall be subject to completion of the necessary checks and the prior presentation, at the customs office at which the export formalities are completed, of an export permit or re-export certificate issued by a management authority of the Member State in which the specimens are located.

2. An export permit for specimens of the species listed in Annex A may be issued only when the following conditions have been met:

- (a) the competent scientific authority has advised in writing that the capture or collection of the specimens in the wild or their export will not have a harmful effect on the conservation status of the species or on the extent of the territory occupied by the relevant population of the species;
- (b) the applicant provides documentary evidence that the specimens have been obtained in accordance with the legislation in force on the protection of the species in question; where the application is made to a Member State other than the Member State of origin, such documentary evidence shall be furnished by means of a certificate stating that the specimen was taken from the wild in accordance with the legislation in force on its territory;
- (c) the management authority is satisfied that:
 - (i) any live specimen will be so prepared and shipped as to minimize the risk of injury, damage to health or cruel treatment; and
 - (ii) — the specimens of species not listed in Annex I to the Convention will not be used for primarily commercial purposes, or
 - in the case of export to a State party to the Convention of specimens of the species referred to in Article 3(1)(a) of this Regulation, an import permit has been issued;

and

- (d) the management authority of the Member State is satisfied, following consultation with the competent scientific authority, that there are no other factors relating to the conservation of the species which militate against issuance of the export permit.

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3. A re-export certificate may be issued only when the conditions referred to in paragraph 2 (c) and (d) have been met and when the applicant provides documentary evidence that the specimens:

- (a) were introduced into the Community in accordance with the provisions of this Regulation;
- (b) if introduced into the Community before the entry into force of this Regulation, were introduced in accordance with the provisions of Regulation (EEC) No 3626/82; or
- (c) if introduced into the Community before 1984, entered international trade in accordance with the provisions of the Convention; or
- (d) were legally introduced into the territory of a Member State before the provisions of the Regulations referred to in (a) and (b) or of the Convention became applicable to them, or became applicable in that Member State.

4. The export or re-export from the Community of specimens of the species listed in Annexes B and C shall be subject to completion of the necessary checks and the prior presentation, at the customs office at which the export formalities are completed, of an export permit or re-export certificate issued by a management authority of the Member State in whose territory the specimens are located.

An export permit may be issued only when the conditions referred to in paragraph 2 (a), (b), (c) (i) and (d) have been met.

A re-export certificate may be issued only when the conditions referred to in paragraph 2 (c) (i) and (d) and in paragraph 3 (a) to (d) have been met.

5. Where an application for a re-export certificate concerns specimens introduced into the Community under an import permit issued by another Member State, the management authority must first consult the management authority which issued the permit. The consultation procedures and the cases in which consultation is necessary shall be established in accordance with the procedure laid down in Article 18.

6. The conditions for the issuance of an export permit or re-export certificate as referred to in paragraph 2 (a) and (c) (ii) shall not apply to:

- (i) worked specimens that were acquired more than 50 years previously; or
- (ii) dead specimens and parts and derivatives thereof for which the applicant provides documentary evidence that they were legally acquired before the provisions of this Regulation, or of Regulation (EEC) No 3626/82 or of the Convention became applicable to them.

7. (a) The competent scientific authority in each Member State shall monitor the issuance of export permits by that Member State for specimens of species listed in Annex B and actual exports of such specimens. Whenever such scientific authority determines that the export of specimens of any such species should be limited in order to maintain that species throughout its range at a level consistent with its role in the ecosystem in which it occurs, and well above the level at which that species might become eligible for inclusion in Annex A in accordance with Article 3 (1) (a) or (b) (i), the scientific authority shall advise the competent management authority, in writing, of suitable measures to be taken to limit the issuance of export permits for specimens of that species

- (b) Whenever a management authority is advised of the measures referred to in (a), it shall inform and send comments to the Commission which shall, if appropriate, recommend restrictions on exports of the species concerned in accordance with the procedure laid down in Article 18.

▼B*Article 6***Rejection of applications for permits and certificates referred to in Articles 4, 5 and 10**

1. When a Member State rejects an application for a permit or certificate in a case of significance in respect of the objectives of this Regulation, it shall immediately inform the Commission of the rejection and of the reasons for rejection.
2. The Commission shall communicate information received in accordance with paragraph 1 to the other Member States in order to ensure uniform application of this Regulation.
3. When an application is made for a permit or certificate relating to specimens for which such an application has previously been rejected, the applicant must inform the competent authority to which the application is submitted of the previous rejection.
4. (a) Member States shall recognize the rejection of applications by the competent authorities of the other Member States, where such rejection is based on the provisions of this Regulation
 - (b) However, this need not apply where the circumstances have significantly changed or where new evidence to support an application has become available. In such cases, if a management authority issues a permit or certificate, it shall inform the Commission thereof, stating the reasons for issuance.

*Article 7***Derogations**

1. *Specimens born and bred in captivity or artificially propagated*
 - (a) Save where Article 8 applies, specimens of species listed in Annex A that have been born and bred in captivity or artificially propagated shall be treated in accordance with the provisions applicable to specimens of species listed in Annex B.
 - (b) In the case of artificially propagated plants, the provisions of Articles 4 and 5 may be waived under special conditions laid down by the Commission, relating to:
 - (i) the use of phytosanitary certificates;
 - (ii) trade by registered commercial traders and by the scientific institutions referred to in paragraph 4 of this Article; and
 - (iii) trade in hybrids.
 - (c) The criteria for determining whether a specimen has been born and bred in captivity or artificially propagated and whether for commercial purposes, as well as the special conditions referred to in (b), shall be specified by the Commission in accordance with the procedure laid down in Article 18.
2. *Transit*
 - (a) By way of derogation from Article 4, where a specimen is in transit through the Community, checks and presentation at the border customs office at the point of introduction of the prescribed permits, certificates and notifications shall not be required.
 - (b) In the case of species listed in the Annexes in accordance with Article 3 (1) and Article 3 (2) (a) and (b), the derogation referred to in (a) shall apply only where a valid export or re-export document provided for by the Convention, relating to the specimens that it accompanies and specifying the destination of the specimens, has been issued by the competent authorities of the exporting or re-exporting third country.
 - (c) If the document referred to in (b) has not been issued before export or re-export, the specimen must be seized and may, where applicable, be confiscated unless the document is submitted retrospectively in compliance with the conditions specified by the

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Commission in accordance with the procedure laid down in Article 18.

3. *Personal and household effects*

By way of derogation from Articles 4 and 5, the provisions therein shall not apply to dead specimens, parts and derivatives of species listed in Annexes A to D which are personal or household effects being introduced into the Community, or exported or re-exported therefrom, in compliance with provisions that shall be specified by the Commission in accordance with the procedure laid down in Article 18.

4. *Scientific institutions*

The documents referred to in Articles 4, 5, 8 and 9 shall not be required in the case of non-commercial loans, donations and exchanges between scientists and scientific institutions, registered by the management authorities of the States in which they are located, of herbarium specimens and other preserved, dried or embedded museum specimens, and of live plant material, bearing a label, the model for which has been determined in accordance with the procedure laid down in Article 18 or a similar label issued or approved by a management authority of a third country.

Article 8

Provisions relating to the control of commercial activities

1. The purchase, offer to purchase, acquisition for commercial purposes, display to the public for commercial purposes, use for commercial gain and sale, keeping for sale, offering for sale or transporting for sale of specimens of the species listed in Annex A shall be prohibited.

2. Member States may prohibit the holding of specimens, in particular live animals of the species listed in Annex A.

3. In accordance with the requirements of other Community legislation on the conservation of wild fauna and flora, exemption from the prohibitions referred to in paragraph 1 may be granted by issuance of a certificate to that effect by a management authority of the Member State in which the specimens are located, on a case-by-case basis where the specimens:

- (a) were acquired in, or were introduced into, the Community before the provisions relating to species listed in Appendix I to the Convention or in Annex C1 to Regulation (EEC) No 3626/82 or in Annex A became applicable to the specimens; or
- (b) are worked specimens that were acquired more than 50 years previously; or
- (c) were introduced into the Community in compliance with the provisions of this Regulation and are to be used for purposes which are not detrimental to the survival of the species concerned; or
- (d) are captive-born and bred specimens of an animal species or artificially propagated specimens of a plant species or are parts or derivatives of such specimens; or
- (e) are required under exceptional circumstances for the advancement of science or for essential biomedical purposes pursuant to Council Directive 86/609/EEC of 24 November 1986 on the approximation of laws, regulations and administrative provisions of the Member States regarding the protection of animals used for experimental and other scientific purposes⁽¹⁾ where the species in question proves to be the only one suitable for those purposes and where there are no specimens of the species which have been born and bred in captivity; or

⁽¹⁾ OJ No L 358, 18. 12. 1986, p. 1.

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- (f) are intended for breeding or propagation purposes from which conservation benefits will accrue to the species concerned; or
- (g) are intended for research or education aimed at the preservation or conservation of the species; or
- (h) originate in a Member State and were taken from the wild in accordance with the legislation in force in that Member State.

4. General derogations from the prohibitions referred to in paragraph 1 based on the conditions referred to in paragraph 3, as well as general derogations with regard to species listed in Annex A in accordance with Article 3 (1) (b) (ii) may be defined by the Commission in accordance with the procedure laid down in Article 18. Any such derogations must be in accordance with the requirements of other Community legislation on the conservation of wild fauna and flora.

5. The prohibitions referred to in paragraph 1 shall also apply to specimens of the species listed in Annex B except where it can be proved to the satisfaction of the competent authority of the Member State concerned that such specimens were acquired and, if they originated outside the Community, were introduced into it, in accordance with the legislation in force for the conservation of wild fauna and flora.

6. The competent authorities of the Member States shall have discretion to sell any specimen of the species listed in Annexes B to D they have confiscated under this Regulation, provided that it is not thus returned directly to the person or entity from whom it was confiscated or who was party to the offence. Such specimens may then be treated for all purposes as if they had been legally acquired.

Article 9

Movement of live specimens

1. Any movement within the Community of a live specimen of a species listed in Annex A from the location indicated in the import permit or in any certificate issued in compliance with this Regulation shall require prior authorization from a management authority of the Member State in which the specimen is located. In other cases of movement, the person responsible for moving the specimen must be able, where applicable, to provide proof of the legal origin of the specimen.

2. Such authorization shall:

- (a) be granted only when the competent scientific authority of such Member State or, where the movement is to another Member State, the competent scientific authority of the latter, is satisfied that the intended accommodation for a live specimen at the place of destination is adequately equipped to conserve and care for it properly;
- (b) be confirmed by issuance of a certificate; and
- (c) where applicable, be immediately communicated to a management authority of the Member State in which the specimen is to be located.

3. However, no such authorization shall be required if a live animal must be moved for the purpose of urgent veterinary treatment and is returned directly to its authorized location.

4. Where a live specimen of a species listed in Annex B is moved within the Community, the holder of the specimen may relinquish it only after ensuring that the intended recipient is adequately informed of the accommodation, equipment and practices required to ensure the specimen will be properly cared for.

5. When any live specimens are transported into, from or within the Community or are held during any period of transit or transshipment, they shall be prepared, moved and cared for in a manner such as to minimize the risk of injury, damage to health or cruel treatment and, in

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the case of animals, in conformity with Community legislation on the protection of animals during transport.

6. Under the procedure laid down in Article 18, the Commission may establish restrictions on the holding or movement of live specimens of species in relation to which restrictions on introduction into the Community have been established in accordance with Article 4 (6).

*Article 10***Certificates to be issued**

On receiving an application, together with all the requisite supporting documents, from the person concerned and provided that all the conditions governing their issuance have been fulfilled, a management authority of a Member State may issue a certificate for the purposes referred to in Article 5 (2) (b), 5 (3) and (4), Article 8 (3) and Article 9 (2) (b).

*Article 11***Validity of and special conditions for permits and certificates**

1. Without prejudice to stricter measures which the Member States may adopt or maintain, permits and certificates issued by the competent authorities of the Member States in accordance with this Regulation shall be valid throughout the Community.

2. (a) However, any such permit or certificate, as well as any permit or certificate issued on the basis of it, shall be deemed void if a competent authority or the Commission, in consultation with the competent authority which issued the permit or certificate, establishes that it was issued on the false premise that the conditions for its issuance were met

(b) Specimens situated in the territory of a Member State and covered by such documents shall be seized by the competent authorities of that Member State and may be confiscated.

3. Any permit or certificate issued in accordance with this Regulation may stipulate conditions and requirements imposed by the issuing authority to ensure compliance with the provisions thereof. Where such conditions or requirements need to be incorporated in the design of permits or certificates, Member States shall inform the Commission thereof.

4. Any import permit issued on the basis of a copy of the corresponding export permit or re-export certificate shall be valid for the introduction of specimens into the Community only when accompanied by the original of the valid export permit or re-export certificate.

5. The Commission shall establish time limits for the issuance of permits and certificates in accordance with the procedure laid down in Article 18.

*Article 12***Places of introduction and export**

1. Member States shall designate customs offices for carrying out the checks and formalities for the introduction into and export from the Community, in order to assign to them a customs-approved treatment or use, within the meaning of Regulation (EEC) No 2913/92, of specimens of species covered by this Regulation and shall state which offices are specifically intended to deal with live specimens.

2. All offices designated in accordance with paragraph 1 shall be provided with sufficient and adequately trained staff. Member States shall ensure that accommodation is provided in accordance with relevant Community legislation as regards the transport and accommodation of live animals and that, where necessary, adequate steps are taken for live plants.

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3. All offices designated in accordance with paragraph 1 shall be notified to the Commission which shall publish a list of them in the *Official Journal of the European Communities*.
4. In exceptional cases and in accordance with criteria defined under the procedure laid down in Article 18, a management authority may authorize the introduction into the Community or the export or re-export therefrom at a customs office other than one designated in accordance with paragraph 1.
5. Member States shall ensure that at border crossing-points the public are informed of the implementing provisions of this Regulation.

*Article 13***Management and scientific authorities and other competent authorities**

1. (a) Each Member State shall designate a management authority with primary responsibility for implementation of this Regulation and for communication with the Commission
 - (b) Each Member State may also designate additional management authorities and other competent authorities to assist in implementation, in which case the primary management authority shall be responsible for providing the additional authorities with all the information required for correct application of this Regulation.
2. Each Member State shall designate one or more scientific authorities with appropriate qualifications whose duties shall be separate from those of any designated management authority.
3. (a) Not later than three months before the date of application of this Regulation, Member States shall forward the names and addresses of the designated management authorities, other authorities competent to issue permits or certificates and scientific authorities to the Commission, which shall publish this information in the *Official Journal of the European Communities* within a month
 - (b) Each management authority referred to in paragraph 1 (a) shall, if so requested by the Commission, communicate to it within two months the names and specimen signatures of people authorized to sign permits or certificates, and impressions of the stamps, seals or other devices used to authenticate permits or certificates.
 - (c) Member States shall communicate to the Commission any changes in the information already provided, not later than two months after the implementation of such change.

*Article 14***Monitoring of compliance and investigation of infringements**

1. (a) The competent authorities of the Member States shall monitor compliance with the provisions of this Regulation
 - (b) If, at any time, the competent authorities have reason to believe that these provisions are being infringed, they shall take the appropriate steps to ensure compliance or to instigate legal action.
 - (c) Member States shall inform the Commission and, in the case of species listed in the Appendices to the Convention, the Convention Secretariat of any steps taken by the competent authorities in relation to significant infringements of this Regulation, including seizures and confiscations.
2. The Commission shall draw the attention of the competent authorities of the Member States to matters whose investigation it considers necessary under this Regulation. Member States shall inform the Commission and, in the case of species listed in the Appendices to the Convention, the Convention Secretariat of the outcome of any subsequent investigation.

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3. (a) An enforcement group shall be established consisting of the representatives of each Member State's authorities with responsibility for ensuring the implementation of the provisions of this Regulation. The group shall be chaired by the representative of the Commission
- (b) The enforcement group shall examine any technical question relating to the enforcement of this Regulation raised by the chairman, either on his own initiative or at the request of the members of the group or the committee.
- (c) The Commission shall convey the opinions expressed in the enforcement group to the committee.

*Article 15***Communication of information**

1. The Member States and the Commission shall communicate to one another the information necessary for implementing this Regulation.

The Member States and the Commission shall ensure that the necessary steps are taken to make the public aware and inform it of the provisions regarding implementation of the Convention and of this Regulation and of the latter's implementing measures.

2. The Commission shall communicate with the Convention Secretariat so as to ensure that the Convention is effectively implemented throughout the territory to which this Regulation applies.
3. The Commission shall immediately communicate any advice from the Scientific Review Group to the management authorities of the Member States concerned.
4. (a) The management authorities of the Member States shall communicate to the Commission before 15 June each year all the information relating to the preceding year required for drawing up the reports referred to in Article VIII.7 (a) of the Convention and equivalent information on international trade in all specimens of species listed in Annexes A, B and C and on introduction into the Community of specimens of species listed in Annex D. The information to be communicated and the format for its presentation shall be specified by the Commission in accordance with the procedure laid down in Article 18
- (b) On the basis of the information referred to in (a), the Commission shall publish before 31 October each year a statistical report on the introduction into, and the export and re-export from, the Community of specimens of the species to which this Regulation applies and shall forward to the Convention Secretariat information on the species to which the Convention applies.
- (c) Without prejudice to Article 20, the management authorities of the Member States shall, before 15 June of each second year, and for the first time in 1999, communicate to the Commission all the information relating to the preceding two years required for drawing up the reports referred to in Article VIII.7 (b) of the Convention and equivalent information on the provisions of this Regulation that fall outside the scope of the Convention. The information to be communicated and the format for its presentation shall be specified by the Commission in accordance with the procedure laid down in Article 18.

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(d) On the basis of the information referred to in (c), the Commission shall, before 31 October of each second year, and for the first time in 1999, draw up a report on the implementation and enforcement of this Regulation.

5. With a view to the preparation of amendments to the Annexes, the competent authorities of the Member States shall forward all relevant information to the Commission. The Commission shall specify the information required, in accordance with the procedure laid down in Article 18.

►C1 6. Without prejudice to Council Directive 90/313/EEC ◄ of 7 June 1990 on the freedom of access to information on the environment ⁽¹⁾, the Commission shall take appropriate measures to protect the confidentiality of information obtained in implementation of this Regulation.

*Article 16***Sanctions**

1. Member States shall take appropriate measures to ensure the imposition of sanctions for at least the following infringements of this Regulation:

- (a) introduction into, or export or re-export from, the Community of specimens without the appropriate permit or certificate or with a false, falsified or invalid permit or certificate or one altered without authorization by the issuing authority;
- (b) failure to comply with the stipulations specified on a permit or certificate issued in accordance with this Regulation;
- (c) making a false declaration or knowingly providing false information in order to obtain a permit or certificate;
- (d) using a false, falsified or invalid permit or certificate or one altered without authorization as a basis for obtaining a Community permit or certificate or for any other official purpose in connection with this Regulation;
- (e) making no import notification or a false import notification;
- (f) shipment of live specimens not properly prepared so as to minimize the risk of injury, damage to health or cruel treatment;
- (g) use of specimens of species listed in Annex A other than in accordance with the authorization given at the time of issuance of the import permit or subsequently;
- (h) trade in artificially propagated plants contrary to the provisions laid down in accordance with Article 7(1)(b);
- (i) shipment of specimens into or out of or in transit through the territory of the Community without the appropriate permit or certificate issued in accordance with this Regulation and, in the case of export or re-export from a third country party to the Convention, in accordance therewith, or without satisfactory proof of the existence of such permit or certificate;
- (j) purchase, offer to purchase, acquisition for commercial purposes, use for commercial gain, display to the public for commercial purposes, sale, keeping for sale, offering for sale or transporting for sale of specimens in contravention of Article 8;
- (k) use of a permit or certificate for any specimen other than one for which it was issued;
- (l) falsification or alteration of any permit or certificate issued in accordance with this Regulation;

⁽¹⁾ OJ No L 158, 23. 6. 1990, p. 56.

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- (m) failure to disclose rejection of an application for a Community import, export or re-export permit or certificate, in accordance with Article 6 (3).
2. The measures referred to in paragraph 1 shall be appropriate to the nature and gravity of the infringement and shall include provisions relating to the seizure and, where appropriate, confiscation of specimens.
3. Where a specimen is confiscated, it shall be entrusted to a competent authority of the Member State of confiscation which:
- (a) following consultation with a scientific authority of that Member State, shall place or otherwise dispose of the specimen under conditions which it deems to be appropriate and consistent with the purposes and provisions of the Convention and this Regulation; and
- (b) in the case of a live specimen which has been introduced into the Community, may, after consultation with the State of export, return the specimen to that State at the expense of the convicted person.
4. Where a live specimen of a species listed in Annex B or C arrives at a point of introduction into the Community without the appropriate valid permit or certificate, the specimen must be seized and may be confiscated or, if the consignee refuses to acknowledge the specimen, the competent authorities of the Member State responsible for the point of introduction may, if appropriate, refuse to accept the shipment and require the carrier to return the specimen to its place of departure.

*Article 17***The Scientific Review Group**

1. A Scientific Review Group is hereby established, consisting of the representatives of each Member State's scientific authority or authorities and chaired by the representative of the Commission.
2. (a) The Scientific Review Group shall examine any scientific question relating to the application of this Regulation — in particular concerning Article 4 (1) (a), (2) (a) and (6) — raised by the chairman, either on his own initiative or at the request of the members of the Group or the Committee.
- (b) The Commission shall convey the opinions of the Scientific Review Group to the Committee.

▼M9*Article 18*

1. The Commission shall be assisted by a committee.
2. Where reference is made to this Article, Articles 5 and 7 of Decision 1999/468/EC ⁽¹⁾ shall apply, having regard to the provisions of Article 8 thereof.

The period laid down in Article 5(6) of Decision 1999/468/EC shall be set at three months. As regards the Committee's tasks referred to in points 1 and 2 of Article 19, if, on the expiry of a period of three months from the date of referral to the Council, the Council has not acted, the proposed measures shall be adopted by the Commission.

3. The Committee shall adopt its rules of procedure.

⁽¹⁾ Council Decision 1999/468/EC of 28 June 1999 laying down the procedures for the exercise of implementing powers conferred on the Commission (OJ L 184, 17.7.1999, p. 23).

▼B*Article 19*

In accordance with the procedure laid down in Article 18, the Commission shall:

1. lay down uniform conditions and criteria for:
 - (i) the issue, validity and use of the documents referred to in Articles 4, 5, 7 (4) and 10; it shall determine the design thereof;
 - (ii) the use of phytosanitary certificates; and
 - (iii) the establishment of procedures, where necessary, for marking specimens in order to facilitate identification and ensure enforcement of the provisions;
2. adopt the measures referred to in Article 4 (6) and (7), Article 5 (5) and (7) (b), Article 7 (1) (c), (2) (c) and (3), Article 8 (4), Article 9 (6), Article 11 (5), Article 15 (4) (a), (c) and (5) and Article 21 (3);
3. amend Annexes A to D except in the case of amendments to Annex A which do not result from decisions of the Conference of the Parties to the Convention;
4. adopt, where necessary, additional measures to implement resolutions of the Conference of the Parties to the Convention, decisions or recommendations of the Standing Committee of the Convention and recommendations of the Convention Secretariat.

*Article 20***Final provisions**

Each Member State shall notify the Commission and the Convention Secretariat of the provisions which it adopts specifically for the implementation of this Regulation and of all legal instruments used and measures taken for its implementation and enforcement.

The Commission shall communicate this information to the other Member States.

Article 21

1. Regulation (EEC) No 3626/82 is hereby repealed.
2. Until the measures provided for in points 1 and 2 of Article 19 have been adopted, Member States may maintain or continue to apply the measures adopted in accordance with Regulation (EEC) No 3626/82 and Commission Regulation (EEC) No 3418/83 of 28 November 1983 laying down provisions for the uniform issue and use of the documents required for the implementation in the Community of the Convention on International Trade in Endangered Species of Wild Fauna and Flora ⁽¹⁾.
3. Two months before this Regulation is implemented the Commission, in accordance with the procedure laid down in Article 18 and in consultation with the Scientific Review Group:
 - (a) must check that there is no justification for restrictions on the introduction into the Community of the species listed in Annex C1 to Regulation (EEC) No 3626/82 which are not included in Annex A to this Regulation;
 - (b) shall adopt a Regulation amending Annex D into a representative list of species meeting the criteria laid down in Article 3 (4) (a).

Article 22

This Regulation shall enter into force on the date of its publication in the *Official Journal of the European Communities*.

It shall apply from 1 June 1997.

⁽¹⁾ OJ No L 344, 7. 12. 1983, p. 1.

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Articles 12, 13, 14 (3), 16, 17, 18, 19 and 21(3) shall apply from the date of entry into force of this Regulation.

This Regulation shall be binding in its entirety and directly applicable in all Member States.

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ANNEX

Notes on interpretation of Annexes A, B, C and D

1. Species included in these Annexes A, B, C and D are referred to:
 - (a) by the name of the species; or
 - (b) as being all of the species included in a higher taxon or designated part thereof.
2. The abbreviation 'spp.' is used to denote all species of a higher taxon.
3. Other references to taxa higher than species are for the purposes of information or classification only.
4. Species printed in bold in Annex A are listed there in consistency with their protection as provided for by Council Directive 79/409/EEC (Birds Directive) or Council Directive 92/43/EEC (Habitats Directive).
5. The following abbreviations are used for plant taxa below the level of species:
 - (a) 'ssp' is used to denote subspecies;
 - (b) 'var(s)' is used to denote variety (varieties); and
 - (c) 'fa' is used to denote forma.
6. The symbols '(I)', '(II)' and '(III)' placed against the name of a species or higher taxon refer to the Appendices of the Convention in which the species concerned are listed as indicated in notes 7 to 9. Where none of these annotations appears, the species concerned are not listed in the Appendices to the Convention.
7. (I) against the name of a species or higher taxon indicates that the species or higher taxon concerned is included in Appendix I to the Convention.
8. (II) against the name of a species or higher taxon indicates that the species or higher taxon concerned is included in Appendix II to the Convention.
9. (III) against the name of a species or higher taxon indicates that it is included in Appendix III to the Convention. In this case the country with respect to which the species or higher taxon is included in Appendix III is also indicated.
10. Hybrids may be specifically included in the Appendices but only if they from distinct and stable populations in the wild. Hybrid animals that have in their previous four generations of the lineage one or more specimens of species included in Annexes A or B shall be subject to the provisions of this Regulation just as if they were full species, even if the hybrid concerned is not specifically included in the Annexes.
11. In accordance with Article 2(t) of this Regulation, the symbol '#' followed by a number placed against the name of a species or higher taxon included in Annex B or C designates parts or derivatives which are specified in relation thereto for the purposes of the Regulation as follows:
 - #1 Designates all parts and derivatives, except:
 - (a) seeds, spores and pollen (including pollinia);
 - (b) seedling or tissue cultures obtained *in vitro*, in solid or liquid media, transported in sterile containers, and
 - (c) cut flowers of artificially propagated plants.
 - #2 Designates all parts and derivations, except:
 - (a) seeds and pollen;
 - (b) seedling or tissue cultures obtained *in vitro*, in solid or liquid media, transported in sterile containers;
 - (c) cut flowers of artificially propagated plants; and
 - (d) chemical derivatives and finished pharmaceutical products.
 - #3 Designates whole and sliced roots and parts of roots, excluding manufactured parts or derivatives such as powders, pills, extracts, tonics, teas and confectionery.

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- #4 Designates all parts and derivatives, except:
- (a) seeds, except those from Mexican cacti originating in Mexico, and pollen;
 - (b) seedling or tissue cultures obtained *in vitro*, in solid or liquid media, transported in sterile containers;
 - (c) cut flowers of artificially propagated plants;
 - (d) fruits and parts and derivatives thereof of naturalised or artificially propagated plants; and
 - (e) separate stem joints (pads) and parts and derivatives thereof of naturalised or artificially propagated plants of the genus *Opuntia* subgenus *Opuntia*.
- #5 Designates logs, sawn wood and veneer sheets;
- #6 Designates logs, sawn wood, veneer sheets and plywood
- #7 Designates logs, wood-chips and unprocessed broken material;
- #8 Designates all parts and derivatives, except:
- (a) seeds and pollen (including pollinia);
 - (b) seedling or tissue cultures obtained *in vitro*, in solid or liquid media, transported in sterile containers;
 - (c) cut flowers of artificially propagated plants; and
 - (d) fruits and parts and derivatives thereof of artificially propagated plants of the genus *Vanilla*.
- #9 Designates all parts and derivatives, except:
- those bearing a label 'Produced from *Hoodia* spp. material obtained through controlled harvesting and production in collaboration with the CITES Management Authorities of Botswana/Namibia/South Africa under Agreement No BW/NA/ZA xxxxxx'
- #10 Designates all parts and derivatives, except:
- (a) seeds and pollen; and
 - (b) finished pharmaceutical products.
12. If no annotation is placed against the name of a species or higher taxon included in Annexes B or C, this means that all readily recognisable parts or derivatives are included.
13. As none of the species or higher taxa of flora included in Annex A is annotated to the effect that its hybrids shall be treated in accordance with the provisions of Article 4.1. of the Regulation, this means that artificially propagated hybrids produced from one or more of these species or taxa may be traded with a certificate of artificial propagation, and that seeds and pollen (including pollinia), cut flowers, seedling or tissue cultures obtained *in vitro*, in solid or liquid media, transported in sterile containers of these hybrids are not subject to the provisions of the Regulation.
14. Urine, faeces and ambergris which are waste products and gained without the manipulation of the animal concerned are not subject to the provisions of the Regulation.
15. In respect of fauna species listed in Annex D, the provisions shall apply only to live specimens and whole, or substantially whole, dead specimens except for taxa which are annotated as follows to show that other parts and derivatives are also covered:
- § 1 Any whole, or substantially whole, skins, raw or tanned.
 - § 2 Any feathers or any skin or other part with feathers on it.
16. In respect of flora species listed in Annex D, the provisions shall apply only to live specimens except for taxa which are annotated as follows to show that other parts and derivatives are also covered:
- § 3 Dried and fresh plants, including, where appropriate; leaves, roots/rootstock, stems, seeds/spores, bark and fruits.

	Annex A	Annex B	Annex C	Common name
<p>MONOTREMATA <i>Tachyglossidae</i></p>		<p>FAUNA CHORDATA (CHORDATES) MAMMALIA Mammals</p>		<p>Echidnas, spiny ant eaters Long-nosed echidnas</p>
<p>DASYUROMORPHIA <i>Dasyuridae</i></p>	<p><i>Sminthopsis longicaudata</i> (I) <i>Sminthopsis psammophila</i> (I)</p>	<p><i>Zaglossus</i> spp. (II)</p>		<p>Dunnarts, marsupial planigales Long-tailed dunnart Sandhill dunnart</p>
<p><i>Thylaciniidae</i></p>	<p><i>Thylacinus cynocephalus</i> (possibly extinct) (I)</p>			<p>Tasmanian wolf, thylacine Thylacine</p>
<p>PERAMELEMORPHIA <i>Peramelidae</i></p>	<p><i>Chaeropus ecaudatus</i> (possibly extinct) (I) <i>Macrotis lagotis</i> (I) <i>Macrotis leucura</i> (I) <i>Perameles bougainville</i> (I)</p>			<p>Bandicoots Pig-footed bandicoot Greater bilby Lesser bilby Western barred bandicoot</p>
<p>DIPROTODONTIA <i>Phalangeridae</i></p>		<p><i>Phalanger orientalis</i> (II) <i>Spilocuscus maculatus</i> (II)</p>		<p>Cuscus Grey cuscus Common spotted cuscus</p>
<p><i>Vombatidae</i></p>	<p><i>Lasiorhinus krefftii</i> (I)</p>			<p>Wombats Northern hairy-nosed wombat</p>
<p><i>Macropodidae</i></p>		<p><i>Dendrolagus dorianus</i></p>		<p>Kangaroos, wallabies Unicoloured tree kangaroo</p>



	Annex A	Annex B	Annex C	Common name
Potoroidae	<p><i>Lagorchestes hirsutus</i> (I)</p> <p><i>Lagostrophus fasciatus</i> (I)</p> <p><i>Onychogalea fraenata</i> (I)</p> <p><i>Onychogalea lunata</i> (I)</p> <p><i>Bettongia</i> spp. (I)</p> <p><i>Caloprymnus campestris</i> (possibly extinct) (I)</p>	<p><i>Dendrolagus goodfellowi</i></p> <p><i>Dendrolagus inustus</i> (II)</p> <p><i>Dendrolagus matschiei</i></p> <p><i>Dendrolagus ursinus</i> (II)</p>		<p>Goodfellow's tree kangaroo</p> <p>Grizzled tree-kangaroo</p> <p>Matschie's tree kangaroo</p> <p>Vogelkop tree-kangaroo</p> <p>Rufous hare-wallaby</p> <p>Banded hare-wallaby</p> <p>Bridled nailtail wallaby</p> <p>Crescent nailtail wallaby</p> <p>Rat-kangaroos</p> <p>Bettongs</p> <p>Desert rat-kangaroo</p>
SCANDENTIA				
Tupaïidae		<i>Tupaïidae</i> spp.		Tree shrews Tree shrews
CHIROPTERA				
Phyllostomidae	<p><i>Acerodon jubatus</i> (I)</p> <p><i>Acerodon lucifer</i> (possibly extinct) (I)</p>	<p><i>Acerodon</i> spp. (II) (Except for the species included in Annex A)</p>	<i>Platyrrhinus lineatus</i> (III Uruguay)	Broad-nosed bats White-lined bat
Pteropodidae	<p><i>Pteropus insularis</i> (I)</p> <p><i>Pteropus livingstonei</i> (II)</p> <p><i>Pteropus mariannus</i> (I)</p> <p><i>Pteropus mollossinus</i> (I)</p>	<p><i>Pteropus</i> spp. (II) (Except for the species included in Annex A)</p>		Fruit bats, flying foxes Flying-foxes Golden-capped fruit bat Panay giant fruit bat Flying-foxes Truk flying-fox Comoro black flying-fox Micronesian flying-fox Pohnpei flying-fox



	Annex A	Annex B	Annex C	Common name
	<p><i>Pteropus phaeocephalus</i> (I)</p> <p><i>Pteropus pilosus</i> (I)</p> <p><i>Pteropus rodricensis</i> (II)</p> <p><i>Pteropus samoensis</i> (I)</p> <p><i>Pteropus tonganus</i> (I)</p> <p><i>Pteropus voeltzkowi</i> (II)</p>			<p>Mortlock flying-fox</p> <p>Large Palau flying-fox</p> <p>Rodrigues flying-fox</p> <p>Samoan flying-fox</p> <p>Insular flying-fox</p> <p>Pemba flying-fox</p>
PRIMATES		<p><i>PRIMATES</i> spp. (II) (Except for the species included in Annex A)</p>		<p>Primates (apes and monkeys)</p> <p>Primates</p>
Lemuridae	<p><i>Lemuridae</i> spp. (I)</p>			<p>Large Lemurs</p> <p>Large Lemurs</p>
Megaladapidae	<p><i>Megaladapidae</i> spp. (I)</p>			<p>Sportive lemurs</p> <p>Sportive lemurs</p>
Cheirogaleidae	<p><i>Cheirogaleidae</i> spp. (I)</p>			<p>Dwarf lemurs and mouse-lemurs</p> <p>Dwarf lemurs and mouse-lemurs</p>
Indridae	<p><i>Indridae</i> spp. (I)</p>			<p>Indri, sifakas and woolly lemurs</p> <p>Indri, sifakas and woolly lemurs</p>
Daubentoniidae	<p><i>Daubentonia madagascariensis</i> (I)</p>			<p>Aye-aye</p> <p>Aye-aye</p>
Tarsiidae	<p><i>Tarsius</i> spp. (II)</p>			<p>Tarsiers</p> <p>Tarsiers</p>
Callithricidae	<p><i>Callimico goeldii</i> (I)</p> <p><i>Callithrix aurita</i> (I)</p> <p><i>Callithrix flaviceps</i> (I)</p> <p><i>Leontopithecus</i> spp. (I)</p> <p><i>Saguinus bicolor</i> (I)</p> <p><i>Saguinus geoffroyi</i> (I)</p> <p><i>Saguinus leucopus</i> (I)</p>			<p>Marmosets, tamarins</p> <p>Goeldi's marmoset</p> <p>Buffy-tufted-ear marmoset</p> <p>Buffy-headed marmoset</p> <p>Lion tamarins</p> <p>Bare-faced tamarin</p> <p>Rufous-naped tamarin</p> <p>White-footed tamarin</p>



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	Annex A	Annex B	Annex C	Common name
<i>Cebidae</i>	<p><i>Saguinus oedipus</i> (I)</p> <p><i>Alouatta coibensis</i> (I)</p> <p><i>Alouatta palliata</i> (I)</p> <p><i>Alouatta pigra</i> (I)</p> <p><i>Ateles geoffroyi frontatus</i> (I)</p> <p><i>Ateles geoffroyi panamensis</i> (I)</p> <p><i>Brachyteles arachnoides</i> (I)</p> <p><i>Cacajao</i> spp. (I)</p> <p><i>Callicebus personatus</i> (II)</p> <p><i>Chiropotes albinasus</i> (I)</p> <p><i>Lagothrix flavicauda</i> (I)</p> <p><i>Saimiri oerstedii</i> (I)</p>			<p>Cotton-headed tamarin</p> <p>New-world monkeys</p> <p>Coiba Island howler</p> <p>Mantled howler</p> <p>Guatemalan howler</p> <p>Black-browed spider monkey</p> <p>Red spider monkey</p> <p>Woolly spider monkey</p> <p>Uakaris</p> <p>Masked titi</p> <p>White-nosed saki</p> <p>Yellow-tailed woolly monkey</p> <p>Central American squirrel monkey</p>
<i>Cercopithecoidea</i>	<p><i>Cercocebus galeritus</i> (I/II)</p> <p>(The subspecies <i>Cercocebusgaleritusgaleritus</i> is listed in Appendix I)</p> <p><i>Cercopithecus diana</i> (I)</p> <p><i>Cercopithecus solatus</i> (II)</p> <p><i>Colobus satanas</i> (II)</p> <p><i>Macaca silenus</i> (I)</p> <p><i>Mandrillus leucophaeus</i> (I)</p> <p><i>Mandrillus sphinx</i> (I)</p> <p><i>Nasalis concolor</i> (I)</p> <p><i>Nasalis larvatus</i> (I)</p> <p><i>Presbytis potenziani</i> (I)</p> <p><i>Procolobus pennantii</i> (I/II)</p> <p>(The species is listed in Appendix II but the subspecies <i>Procolobuspennantii</i> is listed in Appendix I)</p>			<p>Old-world monkeys</p> <p>Crested mangabey</p> <p>Diana guenon</p> <p>Sun-tailed monkey</p> <p>Black colobus</p> <p>Lion-tailed macaque</p> <p>Drill</p> <p>Mandrill</p> <p>Pig-tailed langur</p> <p>Proboscis monkey</p> <p>Mentawai leaf monkey</p> <p>Eastern red colobus</p>



	Annex A	Annex B	Annex C	Common name
<i>Hylobatidae</i>	<p><i>Procolobus preussi</i> (II)</p> <p><i>Procolobus rufomitratus</i> (I)</p> <p><i>Pygathrix</i> spp. (I)</p> <p><i>Semnopithecus entellus</i> (I)</p> <p><i>Trachypithecus francoisi</i> (II)</p> <p><i>Trachypithecus geei</i> (I)</p> <p><i>Trachypithecus johnii</i> (II)</p> <p><i>Trachypithecus pileatus</i> (I)</p>			<p>Preuss's colobus</p> <p>Tana River colobus</p> <p>Snub-nosed monkeys</p> <p>Hanuman langur</p> <p>François' leaf monkey</p> <p>Golden langur</p> <p>Nilgiri langur</p> <p>Capped langur</p> <p>Gibbons</p> <p>Gibbons</p>
<i>Hominidae</i>	<p><i>Hylobatidae</i> spp. (I)</p> <p><i>Gorilla gorilla</i> (I)</p> <p><i>Pan</i> spp. (I)</p> <p><i>Pongo pygmaeus</i> (I)</p>			<p>Chimpanzees, gorillas, orang-utan</p> <p>Gorilla</p> <p>Chimpanzees</p> <p>Orang-utan</p>
XENARTHRA				
<i>Myrmecophagidae</i>		<i>Myrmecophaga tridactyla</i> (II)		American anteaters
<i>Bradyrodidae</i>			<i>Tamandua mexicana</i> (III Guatemala)	Giant anteater
<i>Megalonychidae</i>		<i>Bradypus variegatus</i> (II)		Tamandua
<i>Dasyppodidae</i>				Three-toed sloths
				Brown-throated sloth
				Two-toed sloth
			<i>Choloepus hoffmanni</i> (III Costa Rica)	Hoffmann's two-toed sloth
			<i>Cabassous centralis</i> (III Costa Rica)	Armadillos
			<i>Cabassous tatouay</i> (III Uruguay)	Northern naked-tailed armadillo
				Greater naked-tailed armadillo



	Annex A	Annex B	Annex C	Common name
PHOLIDOTA Manidae	<i>Priodontes maximus</i> (I)	<p><i>Chaetophractus natioti</i> (II) (A zero annual export quota has been established. All specimens shall be deemed to be specimens of species included in Annex A and the trade in them shall be regulated accordingly)</p> <p><i>Manis</i> spp. (II) (A zero annual export quota has been established for <i>Manis crassicaudata</i>, <i>Manispentadactyla</i> and <i>Manis javanica</i> for specimens removed from the wild and traded for primarily commercial purposes)</p> <p><i>Ratufa</i> spp. (II)</p>		Hairy armadillo
				Giant armadillo
LAGOMORPHA Leporidae	<i>Caprolagus hispidus</i> (I)			Hares, rabbits
	<i>Romerolagus diazi</i> (I)			Hispid rabbit
	<i>Cynomys mexicanus</i> (I)			Volcano rabbit
RODENTIA Sciuridae				Ground squirrels, tree squirrels
			<i>Epixerus ebii</i> (III Ghana)	Mexican prairie dog
Anomaluridae			<i>Marmota caudata</i> (III India)	Ebian's palm squirrel
			<i>Marmota himalayana</i> (III India)	Long-tailed marmot
				Himalayan marmot
				Giant squirrels
			<i>Sciurus deppoi</i> (III Costa Rica)	Deppe's squirrel
			<i>Anomalurus beecroftii</i> (III Ghana)	African flying squirrels
		<i>Anomalurus derbianus</i> (III Ghana)	Beecroft's flying squirrel	
		<i>Anomalurus pelii</i> (III Ghana)	Lord Derby's flying squirrel	
				Pel's flying squirrel

▼ MII

	Annex A	Annex B	Annex C	Common name
Muridae	<i>Leporillus conditor</i> (I) <i>Pseudomys praeconis</i> (I) <i>Xeromys myoides</i> (I) <i>Zyzomys pedunculatus</i> (I)		<i>Idiurus macrotis</i> (III Ghana)	Long-eared flying squirrel Mice, rats Greater stick-nest rat Shark Bay mouse False water-rat Central rock-rat
Hystricidae	<i>Hystrix cristata</i> (III Ghana)			Old-world porcupines North African crested porcupine
Erethizontidae				New-world porcupines Mexican tree porcupine
Agoutidae			<i>Sphiggurus</i> (III Honduras) <i>Sphiggurus spinosus</i> (III Uruguay)	Spiny tree porcupine Paca
Dasyproctidae			<i>Agouti paca</i> (III Honduras)	Spotted paca Central american agoutis
Chinchillidae	<i>Chinchilla</i> spp. (I) (Specimens of the domesticated form are not subject to the provisions of this Regulation)		<i>Dasyprocta punctata</i> (III Honduras)	Central American agouti Chinchillas Chinchillas
CETACEA	CETACEA spp. (I/II) (1)			Cetaceans (dolphins, porpoises, whales) Cetaceans
CARNIVORA				Dogs, foxes, wolves
Canidae			<i>Canis aureus</i> (III India)	Golden jackal

▼ M11

Annex A	Annex B	Annex C	Common name
<p>Canis lupus(I/II) (All populations except those of Spain north of the Douro and Greece north of the 39th parallel. Populations of Bhutan, India, Nepal and Pakistan are listed in Appendix I; all other populations are listed in Appendix II.)</p> <p><i>Canis simensis</i></p>	<p><i>Canis lupus</i> (II) (Populations of Spain north of the Douro and Greece north of the 39th parallel)</p>		Grey wolf
<p><i>Speothos venaticus</i> (I)</p>	<p><i>Cerdocyon thous</i> (II)</p> <p><i>Chrysocyon brachyurus</i> (II)</p> <p><i>Cuon alpinus</i> (II)</p> <p><i>Pseudalopex culpaeus</i> (II)</p> <p><i>Pseudalopex griseus</i> (II)</p> <p><i>Pseudalopex gymnocercus</i> (II)</p>		Ethiopian wolf Forest fox Maned wolf Asiatic wild dog Colpeo fox Argentine grey fox Pampa fox Bush dog Bengal fox
<p><i>Ailuropoda melanoleuca</i> (I)</p> <p><i>Ailurus fulgens</i> (I)</p> <p><i>Helarctos malayanus</i> (I)</p> <p><i>Melursus ursinus</i> (I)</p> <p><i>Tremarctos ornatus</i> (I)</p>	<p><i>Vulpes cana</i> (II)</p> <p><i>Vulpes zerda</i> (II)</p> <p><i>Ursidae spp.</i> (II) (Except for the species included in Annex A)</p>	<p><i>Vulpes bengalensis</i> (III India)</p>	Blanford's fox Fennec fox Bears Bears Giant panda Lesser panda Sun bear Sloth bear Spectacled bear

Ursidae



MII

	Annex A	Annex B	Annex C	Common name
Procyonidae	<p><i>Ursus arctos</i>(I/II) (Only the populations of Bhutan, China, Mexico and Mongolia and the subspecies <i>Ursus arctos isabellinus</i> are listed in Appendix I; all other populations and subspecies are listed in Appendix II).</p> <p><i>Ursus thibetanus</i> (I)</p>			Brown bear
			<p><i>Bassaricyon gabbii</i> (III Costa Rica)</p> <p><i>Bassariscus sumichrasti</i> (III Costa Rica)</p> <p><i>Nasua narica</i> (III Honduras)</p> <p><i>Nasua nasua solitaria</i> (III Uruguay)</p> <p><i>Potos flavus</i> (III Honduras)</p>	<p>Asiatic black bear</p> <p>Coati, coatimundi</p> <p>Bushy-tailed olingo</p> <p>Central American cacomistle</p> <p>Coati</p> <p>South Brazilian coati</p> <p>Kinkajou</p> <p>Badgers, martens, weasels etc.</p> <p>Otters</p> <p>Otters</p> <p>Cameroon clawless otter</p>
Mustelidae		<p><i>Lutrinae</i> spp. (II) (Except for the species included in Annex A)</p>		
Lutrinae	<p><i>Aonyx congicus</i> (I) (Only the populations of Cameroon and Nigeria; all other populations are included in Annex B)</p> <p><i>Enhydra lutris nereis</i> (I)</p> <p><i>Lontra felina</i> (I)</p> <p><i>Lontra longicaudis</i> (I)</p> <p><i>Lontra provocax</i> (I)</p> <p><i>Lutra lutra</i> (I)</p> <p><i>Pteronura brasiliensis</i> (I)</p>			<p>Southern sea otter</p> <p>Marine otter</p> <p>South American river otter</p> <p>Southern river otter</p> <p>Eurasian otter</p> <p>Giant otter</p> <p>Honey badgers</p> <p>Honey badger</p>
Mellivorinae			<p><i>Mellivora capensis</i> (III Botswana/Ghana)</p>	

▼ M11

	Annex A	Annex B	Annex C	Common name
<i>Mephitinae</i>		<i>Conepatus humboldtii</i> (II)		Skunks Patagonian hog-nosed skunk
<i>Mustelinae</i>			<i>Eira barbara</i> (III Honduras) <i>Galictis vittata</i> (III Costa Rica) <i>Martes flavigula</i> (III India) <i>Martes foina intermedia</i> (III India) <i>Martes gwatkinsii</i> (III India)	Grisons, martens, tayra, weasels Tayra Greater grison Yellow-throated marten Stone marten Nilgiri marten Black-footed ferret
<i>Viverridae</i>	<i>Mustela nigripes</i> (I)	<i>Cryptoprocta ferox</i> (II) <i>Cynogale bennettii</i> (II) <i>Eupleres goudotii</i> (II) <i>Fossa fossana</i> (II) <i>Hemigalus derbyanus</i> (II)	<i>Arctictis binturong</i> (III India) <i>Civettictis civetta</i> (III Botswana)	Binturong, civets, falanouc, fossa, linsangs, otter-civet, palm civet Binturong African civet Fossa Otter-civet Falanouc Malagasy civet Banded palm civet Masked palm civet Common palm civet
		<i>Prionodon linsang</i> (II)	<i>Paguma larvata</i> (III India) <i>Paradoxurus hermaphroditus</i> (III India) <i>Paradoxurus jerdoni</i> (III India)	Jerdon's palm civet Banded linsang Spotted linsang Malabar large-spotted civet Large Indian civet Small Indian civet
<i>Herpestidae</i>	<i>Prionodon pardicolor</i> (I)		<i>Viverra civettina</i> (III India) <i>Viverra zibetha</i> (III India) <i>Viverricula indica</i> (III India) <i>Herpestes brachyurus fuscus</i> (III India)	Mongoose Indian brown mongoose



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	Annex A	Annex B	Annex C	Common name
<p><i>Hyaenidae</i></p> <p><i>Felidae</i></p>				
	<p><i>Acinonyx jubatus</i> (I) (Annual export quotas for live specimens and hunting trophies are granted as follows: Botswana: 5; Namibia: 150; Zimbabwe: 50. The trade in such specimens is subject to the provisions of Article 4.1. of this Regulation.)</p> <p><i>Caracal caracal</i> (I) (Only the population of Asia; all other populations are included in Annex B)</p> <p><i>Catopuma temminckii</i> (I)</p> <p><i>Felis nigripes</i> (I)</p> <p><i>Felis silvestris</i>(II)</p> <p><i>Herpailurus yagouaroundi</i>(I) (Only the populations of Central and North America; all other populations are included in Annex B)</p> <p><i>Leopardus pardalis</i> (I)</p> <p><i>Leopardus tigrinus</i> (I)</p>	<p><i>Felidae spp.</i> (II) (Except for the species included in Annex A. Specimens of the domesticated form are not subject to the provisions of this Regulation)</p>	<p><i>Herpestes edwardsii</i> (III India)</p> <p><i>Herpestes javanicus auropunctatus</i> (III India)</p> <p><i>Herpestes smithii</i> (III India)</p> <p><i>Herpestes urva</i> (III India)</p> <p><i>Herpestes vitticollis</i> (III India)</p> <p><i>Proteles cristatus</i> (III Botswana)</p>	<p>Indian grey mongoose</p> <p>Small Indian mongoose</p> <p>Ruddy mongoose</p> <p>Crab-eating mongoose</p> <p>Stripe-necked mongoose</p> <p>Aardwolf, hyenas</p> <p>Aardwolf</p> <p>Cats, cheetahs, leopards, lions, tigers etc.</p> <p>Cats</p> <p>Cheetah</p> <p>Asian Caracal</p> <p>Asiatic golden cat</p> <p>Black-footed cat</p> <p>Wild cat</p> <p>Jaguarundi</p> <p>Ocelot</p> <p>Little spotted cat</p>



	Annex A	Annex B	Annex C	Common name
<p><i>Leopardus wiedii</i> (I)</p> <p>Lynx lynx(II)</p> <p><i>Lynx pardinus</i> (I)</p> <p><i>Neofelis nebulosa</i> (I)</p> <p><i>Oncifelis geoffroyi</i> (I)</p> <p><i>Oreailurus jacobita</i> (I)</p> <p><i>Panthera leo persica</i> (I)</p> <p><i>Panthera onca</i> (I)</p> <p><i>Panthera pardus</i> (I)</p> <p><i>Panthera tigris</i> (I)</p> <p><i>Pardofelis marmorata</i> (I)</p> <p><i>Prionailurus bengalensis bengalensis</i> (I) (Only the populations of Bangladesh, India and Thailand; all other populations are included in Annex B.)</p> <p><i>Prionailurus bengalensis iriomotensis</i> (II)</p> <p><i>Prionailurus planiceps</i> (I)</p> <p><i>Prionailurus rubiginosus</i> (I) (Only the population of India; all other populations are included in Annex B.)</p> <p><i>Puma concolor coryi</i> (I)</p> <p><i>Puma concolor costaricensis</i> (I)</p> <p><i>Puma concolor cougar</i> (I)</p> <p><i>Uncia uncia</i> (I)</p> <p><i>Arctocephalus philippii</i> (II)</p> <p><i>Arctocephalus townsendi</i> (I)</p>	<p><i>Arctocephalus</i> spp (II) (Except for the species included in Annex A)</p>		<p>Margay</p> <p>Eurasian lynx</p> <p>Iberian lynx</p> <p>Clouded leopard</p> <p>Geoffroy's cat</p> <p>Andean cat</p> <p>Asiatic lion</p> <p>Jaguar</p> <p>Leopard</p> <p>Tiger</p> <p>Marbled cat</p> <p>Bengal leopard cat</p> <p>Iriomote cat</p> <p>Flat-headed cat</p> <p>Rusty-spotted cat</p> <p>Florida puma</p> <p>Costa Rican puma</p> <p>Eastern puma</p> <p>Snow leopard</p> <p>Fur seals, sealions</p> <p>Fur seals</p> <p>Juan Fernandez fur seal</p> <p>Guadelupe fur seal</p>	

Otariidae

	Annex A	Annex B	Annex C	Common name
Odobenidae		<i>Odobenus rosmarus</i> (III Canada)		Walrus Walrus
Phocidae	<i>Monachus</i> spp. (I)	<i>Mirounga leonina</i> (II)		Seals Southern elephant-seal Monk seals
PROBOSCIDEA				
Elephantidae	<i>Elephas maximus</i> (I) <i>Loxodonta africana</i> (I) — (Except for the populations of Botswana, Namibia, South Africa and Zimbabwe, which are included in Annex B)	<i>Loxodonta africana</i> (II) (Only the populations of Botswana, Namibia, South Africa (°) and Zimbabwe (°); all other populations are included in Annex A)		Elephants Asian elephant African elephant
SIRENIA				
Dugongidae	<i>Dugong dugon</i> (I)			Dugong Dugong
Trichechidae	<i>Trichechidae</i> spp. (I/II) (<i>Trichechus inunguis</i> and <i>Trichechus manatus</i> are listed in Appendix I. <i>Trichechus senegalensis</i> is listed in Appendix II.)			Manatees Manatees
PERISSODACTYLA				
Equidae	<i>Equus africanus</i> (I) (Excludes the domesticated form referenced as <i>Equus asinus</i> , which is not subject to the provisions of this Regulation) <i>Equus grevyi</i> (I) <i>Equus hemionus</i> (I/II) (The species is listed in Appendix II but subspecies <i>Equus hemionus hemionus</i> is listed in Appendix I) <i>Equus kiang</i> (II)			Horses, wild asses, zebras African ass Grevy's zebra Asiatic wild ass Kiang



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	Annex A	Annex B	Annex C	Common name
Tayassuidae		<i>Tayassuidae spp.</i> (II) (Except for the species included in Annex A and excluding the populations of <i>Pecari tajacu</i> of Mexico and the United States, which are not included in the Annexes to this Regulation) —		Peccaries Peccaries
Hippopotamidae	<i>Catagonus wagneri</i> (I)	<i>Hexaprotodon liberiensis</i> (II) <i>Hippopotamus amphibius</i> (II)		Chacoan peccary Hippopotamuses Pygmy hippopotamus Hippopotamus
Camelidae	<i>Vicugna vicugna</i> (I) (Except for the populations of: Argentina [the population of the Provinces of Jujuy and Catamarca and the semi-captive populations of the Provinces of Jujuy, Salta, Catamarca, La Rioja and San Juan]; Bolivia [the whole population]; Chile [population of the Primera Región]; and Peru [the whole population]; which are included in Annex B)	<i>Lama guanicoe</i> (II) <i>Vicugna vicugna</i> (II) (Only the populations of Argentina ^(*) [the population of the Provinces of Jujuy and Catamarca and the semi-captive populations of the Provinces of Jujuy, Salta, Catamarca, La Rioja and San Juan]; Bolivia ^(*) [the whole population]; Chile ^(*) [population of the Primera Región]; Peru ^(*) [the whole population]; all other populations are included in Annex A)		Camels, guanaco, vicuña Guanaco Vicuña
Moschidae	<i>Moschus spp.</i> (I) (Only the populations of Afghanistan, Bhutan, India, Myanmar, Nepal and Pakistan; all other populations are included in Annex B)	<i>Moschus spp.</i> (II) (Except for the populations of Afghanistan, Bhutan, India, Myanmar, Nepal and Pakistan, which are included in Annex A)		Musk deer Musk deer
Cervidae	<i>Axis calamianensis</i> (I) <i>Axis kuhlii</i> (I) <i>Axis porcinus annamiticus</i> (I) <i>Blastocercus dichotomus</i> (I)			Deer, huemuls, muntjacs, pudus Calamian deer Kuhl's hog deer Indochina hog deer Marsh deer



Annex A	Annex B	Annex C	Common name
<p><i>Cervus davaucei</i> (I)</p> <p><i>Cervus elaphus hanglu</i> (I)</p> <p><i>Cervus eldii</i> (I)</p> <p><i>Dama mesopotamica</i> (I)</p> <p><i>Hippocamelus</i> spp. (I)</p> <p><i>Megamuntiacus vuquanghensis</i> (I)</p> <p><i>Muntiacus crinifrons</i> (I)</p> <p><i>Ozotoceros bezoarticus</i> (I)</p> <p><i>Pudu puda</i> (I)</p>	<p><i>Cervus elaphus bactrianus</i> (II)</p> <p><i>Pudu mephistophiles</i> (II)</p>	<p><i>Cervus elaphus barbarus</i> (III Tunisia)</p> <p><i>Mazama americana cerasina</i> (III Guatemala)</p> <p><i>Odocoileus virginianus mayensis</i> (III Guatemala)</p>	<p>Swamp deer</p> <p>Bactrian deer</p> <p>Barbary deer</p> <p>Hangul</p> <p>Thamin</p> <p>Persian fallow deer</p> <p>Huemuls</p> <p>Middle American red brocket</p> <p>Giant muntjac</p> <p>Black muntjac</p> <p>Guatemalan white-tailed deer</p> <p>Pampas deer</p> <p>Northern pudu</p> <p>Southern pudu</p> <p>Pronghorn</p> <p>Mexican pronghorn</p>
<p><i>Antilocapra americana</i> (I) (Only the population of Mexico; no other population is included in the Annexes to this Regulation)</p> <p><i>Addax nasomaculatus</i> (I)</p> <p><i>Bos gaurus</i> (I) (Excludes the domesticated form referenced as <i>Bos frontalis</i> which is not subject to the provisions of this Regulation)</p>	<p><i>Ammotragus lervia</i> (II)</p> <p><i>Bison bison athabasca</i> (II)</p>	<p><i>Antelope cervicapra</i> (III Nepal)</p>	<p>Antelopes, cattle, duikers, gazelles, goats, sheep etc.</p> <p>Addax</p> <p>Barbary sheep</p> <p>Wood bison</p> <p>Blackbuck</p> <p>Gaur</p>

Antilocapridae

Bovidae



Annex A	Annex B	Annex C	Common name
<p><i>Bos mutus</i> (I) (Excludes the domesticated form referenced as <i>Bos grunniens</i> which is not subject to the provisions of this Regulation)</p> <p><i>Bos sauveli</i> (I)</p>			Wild yak
<p><i>Bubalus depressicornis</i> (I)</p> <p><i>Bubalus mindorensis</i> (I)</p> <p><i>Bubalus quarlesi</i> (I)</p>		<p><i>Bubalus arnee</i> (III Nepal) (Excludes the domesticated form which is referenced as <i>Bubalus bubalis</i> which is not subject to the provisions of this Regulation)</p>	Kouprey Wild Asiatic buffalo
<p><i>Capra falconeri</i> (I)</p>	<p><i>Budorcas taxicolor</i> (II)</p>		Lowland anoa Tamaraw
<p><i>Cephalophus jentinki</i> (I)</p>	<p><i>Cephalophus dorsalis</i> (II)</p>		Mountain anoa Takin Markhor
	<p><i>Cephalophus monticola</i> (II)</p> <p><i>Cephalophus ogilbyi</i> (II)</p> <p><i>Cephalophus silvicultor</i> (II)</p> <p><i>Cephalophus zebra</i> (II)</p>		Bay duiker Jentink's duiker Blue duiker Ogilby's duiker Yellow-backed duiker Banded duiker
<p><i>Gazella dama</i> (I)</p>	<p><i>Damaliscus pygargus pygargus</i> (II)</p> <p><i>Gazella cuvieri</i> (III Tunisia)</p> <p><i>Gazella dorcas</i> (III Tunisia)</p> <p><i>Gazella leptoceros</i> (III Tunisia)</p>	<p><i>Damaliscus lunatus</i> (III Ghana)</p>	Tsessebi Bontebok Edmi gazelle Dama gazelle Dorcas gazelle Rhim
<p><i>Hippotragus niger variani</i> (I)</p>	<p><i>Kobus leche</i> (II)</p>		Giant sable antelope Lechwe
<p><i>Naemorhedus baileyi</i> (I)</p> <p><i>Naemorhedus caudatus</i> (I)</p>			Red goral Chinese goral



Annex A	Annex B	Annex C	Common name
<i>Naemorhedus goral</i> (I)			Goral
<i>Naemorhedus sumatraensis</i> (I)			Mainland serow
<i>Oryx dammah</i> (I)			Scimitar-horned oryx
<i>Oryx leucoryx</i> (I)			Arabian oryx
<i>Ovis ammon hodgsonii</i> (I)			Argali
<i>Ovis ammon nigrimontana</i> (I)	<i>Ovis ammon</i> (II) (Except for the subspecies included in Annex A)		Tibetan argali
<i>Ovis orientalis ophion</i> (I)	<i>Ovis canadensis</i> (II) (Only the population of Mexico; no other population is included in the Annexes to this Regulation)		Kara Tau argali
<i>Ovis vignei vignei</i> (I)	<i>Ovis vignei</i> (II) (Except for the subspecies included in Annex A)		Mexican bighorn sheep
<i>Pantholops hodgsonii</i> (I)			Cyprus mouflon
<i>Pseudoryx nghetinhensis</i> (I)			Urial
<i>Rupicapra pyrenaica ornata</i> (I)	<i>Saiga tatarica</i> (II)		Ladakh urial
			Chiru
			Vu Quang ox
			Abruzzo chamois
			Saiga antelope
			Four-horned antelope
			Bongo
			Sitatunga



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	Annex A	Annex B	Annex C	Common name
STRUTHIONIFORMES				
Struthionidae	<i>Struthio camelus</i> (I) (Only the populations of Algeria, Burkina Faso, Cameroon, the Central African Republic, Chad, Mali, Mauritania, Morocco, the Niger, Nigeria, Senegal and the Sudan; all other populations are not included in the Annexes to this Regulation)	AVES Birds		Ostrich Ostrich
RHEIFORMES				
Rheidae	<i>Rhea pennata</i> (I) (Except for the populations of <i>Rheapennatapennata</i> of Argentina and Chile, which are included in Annex B)	<i>Rhea americana</i> (II)		Rheas Greater rhea Lesser rhea
TINAMIFORMES				
Tinamidae	<i>Tinamus solitarius</i> (I)	<i>Rhea pennata pennata</i> (II) (Only the populations of Argentina and Chile)		Lesser rhea
SPHENISCIFORMES				
Spheniscidae	<i>Spheniscus humboldti</i> (I)	<i>Spheniscus demersus</i> (II)		Tinamous Solitary tinamou
PODICIPEDIFORMES				
Podicipedidae	<i>Podilymbus gigas</i> (I)			Penguins Jackass penguin Humboldt penguin
				Grebes Atitlan Grebe



	Annex A	Annex B	Annex C	Common name
PROCELLARIIFORMES				
Diomedidae	<i>Diomedea albatrus</i> (I)			Albatrosses Short-tailed albatross
PELECANIFORMES				
Pelecanidae	<i>Pelecanus crispus</i> (I)			Pelicans Dalmatian pelican
Sulidae	<i>Papasula abbotti</i> (I)			Boobies Abbott's booby
Fregatidae	<i>Fregata andrewsi</i> (I)			Frigatebirds Christmas frigatebird
CICONIIFORMES				
Ardeidae	<i>Bubulcus ibis</i> (III Ghana) <i>Casmerodius albus</i> (III Ghana) <i>Egretta garzetta</i> (III Ghana)		<i>Ardea goliath</i> (III Ghana)	Egrets, herons Goliath heron Cattle egret Great egret Little egret
Balaenicipitidae		<i>Balaeniceps rex</i> (II)		Shoebill, whale-headed stork Shoebill
Ciconiidae	<i>Ciconia boyciana</i> (I) <i>Ciconia nigra</i> (II) <i>Ciconia stormi</i>			Storks Oriental stork Black stork Storm's stork
	<i>Jabiru mycteria</i> (I)			Saddle-billed stork
	<i>Leptoptilos dubius</i> <i>Mycteria cinerea</i> (I)		<i>Ephippiorhynchus senegalensis</i> (III Ghana) <i>Leptoptilos crumeniferus</i> (III Ghana)	Jabiru Marabou stork Greater adjutant stork Milky stork

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	Annex A	Annex B	Annex C	Common name
Threskiornithidae	<i>Geronticus calvus</i> (II) <i>Geronticus eremita</i> (I) <i>Nipponia nippon</i> (I) <i>Platalea leucorodia</i> (II) <i>Pseudibis gigantea</i>	<i>Eudocimus ruber</i> (II)	<i>Bostrychia hagedash</i> (III Ghana) <i>Bostrychia rara</i> (III Ghana)	Ibises, spoonbills Hadada ibis Spot-breasted ibis Scarlet ibis Bald ibis Waldrapp Crested ibis Eurasian spoonbill Giant ibis Sacred ibis
Phoenicopteridae	<i>Phoenicopterus ruber</i> (II)	<i>Phoenicopteridae spp.</i> (II) (Except for the species included in Annex A)	<i>Threskiornis aethiopicus</i> (III Ghana)	Flamingos Flamingos Greater flamingo
ANSERIFORMES				Ducks, geese, swans etc.
Anatidae	<i>Anas aucklandica</i> (I) <i>Anas laysanensis</i> (I) <i>Anas oustaletii</i> (I) <i>Anas querquedula</i> (III Ghana) <i>Aythya immotata</i>	<i>Anas bernieri</i> (II) <i>Anas formosa</i> (II)	<i>Alopochen aegyptiacus</i> (III Ghana) <i>Anas acuta</i> (III Ghana) <i>Anas capensis</i> (III Ghana) <i>Anas chrypeata</i> (III Ghana) <i>Anas crecca</i> (III Ghana) <i>Anas penelope</i> (III Ghana)	Egyptian goose Northern pintail Brown teal Madagascar teal Cape teal Northern shoveler Common teal Baikal teal Laysan duck Marianas mallard Eurasian wigeon Garganey Madagascar pochard



Annex A	Annex B	Annex C	Common name
<p><i>Aythya nyroca</i>(III Ghana)</p> <p><i>Branta canadensis leucopareia</i> (I)</p> <p><i>Branta ruficollis</i> (II)</p> <p><i>Branta sandvicensis</i> (I)</p> <p><i>Cairina scutulata</i> (I)</p>			<p>Ferruginous duck</p> <p>Aleutian goose</p> <p>Red-breasted goose</p> <p>Nene</p> <p>Muscovy duck</p> <p>White-winged duck</p> <p>Coscoroba swan</p> <p>Black-necked swan</p> <p>West Indian whistling-duck</p> <p>Black-bellied whistling-duck</p>
<p><i>Mergus octosetaceus</i></p> <p><i>Oxyura leucocephala</i> (II)</p> <p><i>Rhodonessa caryophyllacea</i> (possibly extinct) (I)</p> <p><i>Tadorna cristata</i></p>	<p><i>Coscoroba coscoroba</i> (II)</p> <p><i>Cygnus melanocorypha</i> (II)</p> <p><i>Dendrocygna arborea</i> (II)</p> <p><i>Oxyura jamaicensis</i></p> <p><i>Sarkidiornis melanotos</i> (II)</p>	<p><i>Cairina moschata</i> (III Honduras)</p> <p><i>Dendrocygna autumnalis</i> (III Honduras)</p> <p><i>Dendrocygna bicolor</i> (III Ghana/Honduras)</p> <p><i>Dendrocygna viduata</i> (III Ghana)</p> <p><i>Nettapus auritus</i> (III Ghana)</p> <p><i>Plectropterus gambensis</i> (III Ghana)</p> <p><i>Pteronetta harlaubii</i> (III Ghana)</p>	<p>Fulvous whistling-duck</p> <p>White-faced whistling-duck</p> <p>Brazilian merganser</p> <p>African pygmy-goose</p> <p>Ruddy duck</p> <p>White-headed duck</p> <p>Spur-winged goose</p> <p>Hartlaub's duck</p> <p>Pink-headed duck</p> <p>Comb duck</p> <p>Crested shelduck</p>



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	Annex A	Annex B	Annex C	Common name
FALCONIFORMES		FALCONIFORMES spp. (II) — (Except for the species included in Annex A and for one species of the family Cathartidae included in Annex C; the other species of that family are not included in the Annexes to this Regulation)		Diurnal birds of prey (eagles, falcons, hawks, vultures) Diurnal birds of prey
<i>Cathartidae</i>	<i>Gymnogyps californianus</i> (I)			New world vultures California condor King vulture Andean condor
<i>Pandionidae</i>	<i>Pandion haliaetus</i> (II)		<i>Sarcorampus papa</i> (III Honduras)	Ospreys Osprey
<i>Accipitridae</i>	<i>Accipiter brevipes</i> (II) <i>Accipiter gentilis</i> (II) <i>Accipiter nisus</i> (II) <i>Aegypius monachus</i> (II) <i>Aquila adalberti</i> (I) <i>Aquila chrysaetos</i> (II) <i>Aquila clanga</i> (II) <i>Aquila heliaca</i> (I) <i>Aquila pomarina</i> (II) <i>Buteo buteo</i> (II) <i>Buteo lagopus</i> (II) <i>Buteo rufinus</i> (II) <i>Chondrohierax uncinatus wilsonii</i> (I) <i>Circus gallicus</i> (II) <i>Circus aeruginosus</i> (II)			Hawk, eagles Levant sparrowhawk Northern goshawk Eurasian sparrowhawk Cinereous vulture Adalbert's eagle Golden eagle Greater spotted eagle Imperial eagle Lesser spotted eagle Common buzzard Rough legged buzzard Long-legged buzzard Cuban hook-billed kite Short-toed snake-eagle Western marsh-harrier



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Annex A	Annex B	Annex C	Common name
<p>Circus cyaneus(II) Circus macrourus(II) Circus pygargus(II) Elanus caeruleus(II) <i>Eutriorchis astur</i> (II) Gypaetus barbatus(II) Gyps fulvus(II) <i>Haliaeetus</i> spp. (I/II) (<i>Haliaeetus albicilla</i> is listed in Appendix I; the other species are listed in Appendix II) <i>Harpia harpyja</i> (I) Hieraetus fasciatus(II) Hieraetus pennatus(II) <i>Leucopternis occidentalis</i> (II) Milvus migrans(II) Milvus milvus(II) <i>Neophron percnopterus(II)</i> Pernis apivorus(II) <i>Pitheophaga jefferyi</i> (I)</p> <p><i>Falco araea</i> (I) Falco biarmicus(II) Falco cherrug(II) Falco columbarius(II) Falco eleonorae(II) <i>Falco jugger</i> (I) Falco naumanni(II) <i>Falco newtoni</i>(I) (Only the population of the Seychelles)</p>			<p>Northern harrier Pallid harrier Montagu's harrier Black-winged kite Madagascar serpent-eagle Lammergeier Eurasian griffon Sea-eagles</p> <p>Harpy eagle Bonelli's eagle Booted eagle Grey-backed hawk Black kite Red kite Egyptian vulture European honey-buzzard Great Philippine eagle Falcons Seychelles kestrel Lanner falcon Saker falcon Merlin Eleonora's falcon Laggar falcon Lesser kestrel Newton's kestrel</p>

Falconidae

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	Annex A	Annex B	Annex C	Common name
	<p><i>Falco pelegrinoides</i> (I)</p> <p><i>Falco peregrinus</i> (I)</p> <p><i>Falco punctatus</i> (I)</p> <p><i>Falco rusticolus</i> (I)</p> <p><i>Falco subbuteo</i>(II)</p> <p><i>Falco tinnunculus</i>(II)</p> <p><i>Falco vespertinus</i>(II)</p>			<p>Barbary falcon</p> <p>Peregrine falcon</p> <p>Mauritius kestrel</p> <p>Gyrfalcon</p> <p>Eurasian hobby</p> <p>Common kestrel</p> <p>Red-footed falcon</p>
GALLIFORMES				
Megapodiidae	<p><i>Macrocephalon maleo</i> (I)</p>			<p>Megapodes, scrubfowl</p> <p>Maleo</p>
Craciidae	<p><i>Crax alberti</i> (III Colombia)</p> <p><i>Crax blumenbachii</i> (I)</p> <p><i>Mitu mitu</i> (I)</p> <p><i>Oreophasis derbiana</i> (I)</p> <p><i>Penelope albipennis</i> (I)</p> <p><i>Pipile jacutinga</i> (I)</p> <p><i>Pipile pipile</i> (I)</p>	<p><i>Crax</i> spp.* (-/III) (The following species are listed in Appendix III: <i>Crax alberti</i>, <i>Crax daubentoni</i> and <i>Crax globulosa</i> for Colombia and <i>Crax rubra</i> for Colombia, Costa Rica, Guatemala and Honduras)</p> <p><i>Ortalis vetula</i> (III Guatemala/Honduras)</p> <p><i>Pauxi</i> spp. (-/III) (<i>Pauxi pauxi</i> is listed in Appendix III for Colombia)</p> <p><i>Penelopina nigra</i> (III Guatemama)</p>	<p><i>Penelope purpurascens</i></p> <p><i>Penelope</i> (III Honduras)</p>	<p>Blue-knobbed curassow</p> <p>Red-billed curassow</p> <p>Alagoas curassow</p> <p>Horned guan</p> <p>Plain chachalaca</p> <p>Helmeted curassows</p> <p>White-winged guan</p> <p>Crested guan</p> <p>Highland guan</p> <p>Black-fronted piping guan</p> <p>Trinidad piping guan</p>



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Phasianidae	Annex A	Annex B	Annex C	Common name
		<p><i>Agelastes meleagrides</i> (III Ghana)</p> <p><i>Arborophila charltonii</i> (III Malaysia)</p> <p><i>Arborophila orientalis</i> (III Malaysia)</p> <p><i>Argusianus argus</i> (II)</p>	<p><i>Agriocharis ocellata</i> (III Guatemala)</p> <p><i>Caloperdix oculea</i> (III Malaysia)</p>	<p>Grouse, guineafowl, partridges, pheasants, tragopans</p> <p>White-breasted guineafowl</p> <p>Ocellated turkey</p> <p>Chestnut-necklaced partridge</p> <p>Grey-breasted partridge</p> <p>Great argus</p> <p>Ferruginous partridge</p> <p>Cheer pheasant</p> <p>Masked bobwhite</p> <p>White eared-pheasant</p> <p>Tibetan eared-pheasant</p> <p>Brown eared-pheasant</p> <p>Grey junglefowl</p> <p>Blood pheasant</p> <p>Himalayan monal</p> <p>Chinese monal</p> <p>Sclater's monal</p> <p>Bulwer's pheasant</p> <p>Siamese fireback</p> <p>Edwards' pheasant</p> <p>Crestless fireback</p> <p>Vietnamese fireback</p> <p>Sumatran pheasant</p> <p>Crested fireback</p> <p>Imperial pheasant</p> <p>Salvadori's pheasant</p> <p>Kalij pheasant</p> <p>Swinhoe's pheasant</p>
<p><i>Catreus wallichii</i> (I)</p> <p><i>Colinus virginianus ridgwayi</i> (I)</p> <p><i>Crossoptilon crossoptilon</i> (I)</p> <p><i>Crossoptilon harmani</i> (I)</p> <p><i>Crossoptilon mantchuricum</i> (I)</p>	<p><i>Gallus sonneratii</i> (II)</p> <p><i>Ithaginis cruentus</i> (II)</p> <p><i>Lophura bulweri</i></p> <p><i>Lophura diardi</i></p> <p><i>Lophura erythrothalma</i> (III Malaysia)</p> <p><i>Lophura hatinensis</i></p> <p><i>Lophura hoogerwerfi</i></p> <p><i>Lophura ignita</i> (III Malaysia)</p> <p><i>Lophura inornata</i></p> <p><i>Lophura leucomelanos</i></p>			
<p><i>Lophophorus impejanus</i> (I)</p> <p><i>Lophophorus lhuysii</i> (I)</p> <p><i>Lophophorus sclateri</i> (I)</p> <p><i>Lophura edwardsi</i> (I)</p> <p><i>Lophura imperialis</i> (I)</p> <p><i>Lophura swinhoii</i> (I)</p>				



Annex A	Annex B	Annex C	Common name
<p><i>Odontophorus strophium</i> <i>Ophrysia superciliosa</i></p>	<p><i>Pavo muticus</i> (II) <i>Polyplectron bicalcaratum</i> (II)</p>	<p><i>Melanoperdix nigra</i> (III Malaysia)</p>	<p>Black partridge Gorgeted wood-quail Himalayan quail Green peafowl</p>
<p><i>Polyplectron emphanum</i> (I)</p>	<p><i>Polyplectron germaini</i> (II)</p>	<p><i>Polyplectron inopinatum</i> <i>Polyplectron</i> (III Malaysia)</p>	<p>Grey peacock-pheasant Palawan peacock pheasant Germain's peacock-pheasant Mountain peacock-pheasant</p>
<p><i>Rheinardia ocellata</i> (I)</p>	<p><i>Polyplectron malacense</i> (II) <i>Polyplectron schlieirmacheri</i> (II)</p>	<p><i>Rhizothera longirostris</i> (III Malaysia) <i>Rollulus rouloul</i> (III Malaysia)</p>	<p>Malayan peacock-pheasant Bornean peacock-pheasant Crested argus Long-billed partridge Crested partridge</p>
<p><i>Syrnaticus ellioti</i> (I) <i>Syrnaticus humiae</i> (I) <i>Syrnaticus mikado</i> (I) <i>Tetraogallus caspius</i> (I) <i>Tetraogallus tibetanus</i> (I)</p>			<p>Elliot's pheasant Hume's pheasant Mikado pheasant Caspian snowcock Tibetan snowcock</p>
<p><i>Tragopan blythii</i> (I) <i>Tragopan caboti</i> (I) <i>Tragopan melanocephalus</i> (I)</p>			<p>Blyth's tragopan Cabot's tragopan Western tragopan</p>
<p><i>Tympanuchus cupido attwateri</i> (I)</p>		<p><i>Tragopan satyra</i> (III Nepal)</p>	<p>Satyr tragopan Attwater's prairie-chicken</p>
<p><i>Grus americana</i> (I)</p>	<p><i>Gruidae</i> spp. (II) (Except for the species included in Annex A)</p>		<p>Cranes Cranes</p>
			<p>Whooping crane</p>

GRUIFORMES

Gruidae



	Annex A	Annex B	Annex C	Common name
<i>Rallidae</i>	<p><i>Grus canadensis</i> (I/II) (The species is listed in Appendix II but subspecies <i>Gruscanadensisnesiototes</i> and <i>Gruscanadensispullia</i> are listed in Appendix I)</p> <p>Grus grus(II)</p> <p><i>Grus japonensis</i> (I)</p> <p><i>Grus leucogeranus</i> (I)</p> <p><i>Grus monacha</i> (I)</p> <p><i>Grus nigricollis</i> (I)</p> <p><i>Grus vipio</i> (I)</p>			Sandhill crane
<i>Rhynchoetidae</i>	<p><i>Gallirallus sylvestris</i> (I)</p> <p><i>Rhynchoetos jubatus</i> (I)</p>			Common crane Red-crowned crane Siberian crane Hooded crane Black-necked crane White-necked crane
<i>Otididae</i>	<p><i>Ardeotis nigriceps</i> (I)</p> <p><i>Chlamydotis undulata</i> (I)</p> <p><i>Eupodotis indica</i> (II)</p> <p><i>Eupodotis bengalensis</i> (I)</p> <p>Otis tarda(II)</p> <p>Tetrax tetrax(II)</p>	<p><i>Otididae</i> spp. (II) (Except for the species included in Annex A)</p>		Coots, rails Lord Howe rail Kagu Kagu Bustards Bustards Indian bustard Houbara bustard Lesser florican Bengal florican Great bustard Little bustard
CHARADRIIFORMES				
<i>Burhinidae</i>	<p><i>Numenius borealis</i> (I)</p> <p><i>Numenius tenuirostris</i> (I)</p> <p><i>Tringa guttifer</i> (I)</p>		<i>Burhinus bistriatus</i> (III Guatemala)	Thick-knees Double-striped thick-knee Curlews, greenshanks Eskimo curlew Slender-billed curlew Nordmann's greenshank
<i>Scolopacidae</i>				



	Annex A	Annex B	Annex C	Common name
<i>Laridae</i>	<i>Larus relictus</i> (I)			Gulls, terns Relict gull
COLUMBIFORMES				
Columbidae	<i>Caloenas nicobarica</i> (I)	<i>Columba caribaea</i>		Doves, pigeons Nicobar pigeon
	<i>Claravis godefrida</i>			Ring-tailed pigeon
	<i>Columba livia</i>(III Ghana)		<i>Columba guinea</i> (III Ghana) <i>Columba iriditorques</i> (III Ghana)	Purple-winged ground-dove Speckled pigeon Western bronze-naped pigeon
	<i>Ducula mindorensis</i> (I)	<i>Didunculus strigirostris</i>	<i>Columba mayeri</i> (III Mauritius) <i>Columba unicincta</i> (III Ghana)	Rock pigeon Pink pigeon Afep pigeon Tooth-billed pigeon
	<i>Leptotila wellsi</i>	<i>Gallicolumba luzonica</i> (II) <i>Goura</i> spp. (II)		Mindoro zone-tailed pigeon Luzon bleeding-heart Crowned-pigeons Grenada dove Namaqua dove
	<i>Streptopelia turtur</i>(III Ghana)		<i>Oena capensis</i> (III Ghana) <i>Streptopelia decipiens</i> (III Ghana) <i>Streptopelia roseogrisea</i> (III Ghana) <i>Streptopelia semitorquata</i> (III Ghana) <i>Streptopelia senegalensis</i> (III Ghana)	Mourning collared-dove African collared-dove Red-eyed dove Laughing dove European turtle-dove Vinaceous dove African green-pigeon Bruce's green-pigeon Black-billed wood-dove Blue-spotted wood-dove

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	Annex A	Annex B	Annex C	Common name
<i>PSITTACIFORMES</i>		<p><i>PSITTACIFORMES</i> spp. (II) — (Except for the species included in Annex A and Annex C and excluding <i>Agapornis roseicollis</i>, <i>Melopsittacus undulatus</i> and <i>Nymphicus hollandicus</i>, which are not included in the Annexes to this Regulation)</p>	<p><i>Turtur brehmeri</i> (III Ghana) <i>Turtur tympanistris</i> (III Ghana)</p>	<p>Blue-headed wood-dove Tambourine dove Cockatoos, lories, macaws, parakeets, parrots etc. Parrots, etc.</p>
<i>Psittacidae</i>	<p><i>Amazona arausiaca</i> (I) <i>Amazona barbadensis</i> (I) <i>Amazona brasiliensis</i> (I) <i>Amazona finschi</i> (I) <i>Amazona guildingii</i> (I) <i>Amazona imperialis</i> (I) <i>Amazona leucocephala</i> (I) <i>Amazona ochrocephala auropalliata</i> (I) <i>Amazona ochrocephala belizensis</i> (I) <i>Amazona ochrocephala caribaea</i> (I) <i>Amazona ochrocephala oratrix</i> (I) <i>Amazona ochrocephala parvipes</i> (I) <i>Amazona ochrocephala tresmariae</i> (I) <i>Amazona pretrei</i> (I) <i>Amazona rhodocorytha</i> (I) <i>Amazona tucumana</i> (I) <i>Amazona versicolor</i> (I)</p>			<p>Amazons, cockatoos, lories, lorikeets, macaws, parakeets, parrots Red-necked parrot Yellow-shouldered parrot Red-tailed parrot Lilac-crowned parrot St Vincent parrot Imperial parrot Cuban parrot Yellow-naped parrot Yellow-headed parrot Red-spectacled parrot Red-browed parrot Tucuman parrot Saint Lucia parrot</p>



	Annex A	Annex B	Annex C	Common name
	<p><i>Amazona vinacea</i> (1)</p> <p><i>Amazona viridigenalis</i> (1)</p> <p><i>Amazona vittata</i> (1)</p> <p><i>Anodorhynchus</i> spp. (1)</p> <p><i>Ara ambigua</i> (1)</p> <p><i>Ara glaucogularis</i> (1)</p> <p><i>Ara macao</i> (1)</p> <p><i>Ara militaris</i> (1)</p> <p><i>Ara rubrogenys</i> (1)</p> <p><i>Cacatua goffini</i> (1)</p> <p><i>Cacatua haematuropygia</i> (1)</p> <p><i>Cacatua moluccensis</i> (1)</p> <p><i>Cacatua sulphurea</i> (1)</p> <p><i>Cyanopsitta spixii</i> (1)</p> <p><i>Cyanoramphus forbesi</i> (1)</p> <p><i>Cyanoramphus novaezelandiae</i> (1)</p> <p><i>Cyclopsitta diophthalma coxeni</i> (1)</p> <p><i>Eos histrio</i> (1)</p> <p><i>Eunymphicus cornutus</i> (1)</p> <p><i>Geopsittacus occidentalis</i> (possibly extinct) (1)</p> <p><i>Guarouba guarouba</i> (1)</p> <p><i>Neophema chrysogaster</i> (1)</p> <p><i>Ognorhynchus icterotis</i> (1)</p> <p><i>Pezoporus wallicus</i> (1)</p> <p><i>Pionopsitta pileata</i> (1)</p> <p><i>Probosciger aterrimus</i> (1)</p> <p><i>Propyrrhura couloni</i> (1)</p> <p><i>Propyrrhura maracana</i> (1)</p>			<p>Vinaceous parrot</p> <p>Green-cheeked parrot</p> <p>Puerto Rican parrot</p> <p>Blue macaws</p> <p>Great green macaw</p> <p>Blue-throated macaw</p> <p>Scarlet macaw</p> <p>Military macaw</p> <p>Red-fronted macaw</p> <p>Tanimbar cockatoo</p> <p>Philippine cockatoo</p> <p>Salmon-crested cockatoo</p> <p>Yellow-crested cockatoo</p> <p>Spix's macaw</p> <p>Chatham Island yellow-fronted parakeet</p> <p>Red-fronted parakeet</p> <p>Coxen's double-eyed fig parrot</p> <p>Red and blue lory</p> <p>Horned parakeet</p> <p>Night parrot</p> <p>Golden parakeet</p> <p>Orange-bellied parrot</p> <p>Yellow-eared parrot</p> <p>Ground parrot</p> <p>Pileated parrot</p> <p>Palm cockatoo</p> <p>Blue-headed macaw</p> <p>Blue-winged macaw</p>



	Annex A	Annex B	Annex C	Common name
CUCULIFORMES <i>Musophagidae</i>	<i>Psephotus chrysopterygius</i> (I) <i>Psephotus dissimilis</i> (I) <i>Psephotus pulcherrimus</i> (possibly extinct) (I) <i>Psittacula echo</i> (I) <i>Pyrrhura cruentata</i> (I) <i>Rhynchopsitta</i> spp. (I) <i>Strigops habroptilus</i> (I) <i>Vini</i> spp. (I/II) (<i>Viniultramarina</i> is listed in Appendix I, the other species are listed in Appendix II)		<i>Psittacula krameri</i> (III Ghana)	Golden-shouldered parrot Hooded parrot Paradise parrot Mauritius parakeet Rose-ringed parakeet Blue-throated parakeet Thick-billed parrots Kakapo Blue lorikeets
		<i>Corythaeola cristata</i> (III Ghana) <i>Crinifer piscator</i> (III Ghana) <i>Musophaga porphyreolopha</i> (II) <i>Musophaga violacea</i> (III Ghana) <i>Tauraco</i> spp. (II)(Except for the species included in Annex A)		Turacos Great blue turaco Western grey plantain-eater Purple-crested turaco Violet turaco Turacos Bannerman's turaco Owls Owls
STRIGIFORMES	<i>Tauraco bannermani</i> (II)	<i>STRIGIFORMES</i> spp. (II) (Except for the species included in Annex A)		Barn owls Barn owl Soumagne's owl Owls Boreal owl Short-eared owl Long-eared owl
<i>Tytonidae</i>	<i>Tyto alba</i> (II) <i>Tyto soumagnei</i> (I)			
<i>Strigidae</i>	<i>Aegolius funereus</i> (II) <i>Asio flammeus</i> (II) <i>Asio otus</i> (II)			



	Annex A	Annex B	Annex C	Common name
<i>APODIFORMES</i> <i>Trochilidae</i>	<i>Athene blewitti</i> (I)			Forest owl
	<i>Athene noctua</i> (II)			Little owl
	<i>Bubo bubo</i> (II)			Eurasian eagle-owl
	<i>Glaucidium passerinum</i> (II)			Eurasian pygmy-owl
	<i>Mimizuka gurneyi</i> (I)			Lesser eagle-owl
	<i>Ninox novaeseelandiae undulata</i> (I)			Norfolk boobook
	<i>Ninox squamipila natalis</i> (I)			Christmas hawk-owl
	<i>Nyctea scandiaca</i> (II)			Snowy owl
	<i>Otus irenae</i> (II)			Sokoke scops-owl
	<i>Otus scops</i> (II)			Eurasian scops-owl
	<i>Strix aluco</i> (II)			Tawny owl
	<i>Strix nebulosa</i> (II)			Great grey owl
	<i>Strix uralensis</i> (II)			Ural owl
	<i>Surnia ulula</i> (II)			Northern hawk owl
<i>TROGONIFORMES</i> <i>Trogonidae</i>	<i>Glaucis dohrnii</i> (I)			Hummingbirds Hummingbirds
	<i>Pharomachrus mocinno</i> (I)			Hook-billed hermit
		<i>Trochilidae</i> spp. (II) (Except for the species included in Annex A)		Quetzals Resplendent quetzal
<i>CORACIFORMES</i> <i>Bucerotidae</i>	<i>Aceros nipalensis</i> (I)			Hornbills Hornbills
	<i>Aceros subruficollis</i> (I)			Rufous-necked hornbill Plain-pouched hornbill
		<i>Aceros</i> spp. (II) (Except for the species included in Annex A)		Hornbills Hornbills
		<i>Anorrhinus</i> spp. (II) <i>Anthracoceros</i> spp. (II)		Rufous-necked hornbill Plain-pouched hornbill Hornbills Hornbills



	Annex A	Annex B	Annex C	Common name
	<i>Buceros bicornis</i> (I) <i>Buceros vigil</i> (I)	<i>Buceros</i> spp. (II) (Except for the species included in Annex A) <i>Penelopides</i> spp. (II)		Hornbills Great hornbill Helmited hornbill Hornbills
PICIFORMES Capitonidae		<i>Sennornis</i> (III Colombia) <i>ramphastinus</i>		Barbets Toucan barbet
Ramphastidae		<i>Bailloni</i> <i>bailloni</i> (III Argentina) <i>Pteroglossus aracari</i> (II) <i>Pteroglossus castanotis</i> (III Argentina) <i>Pteroglossus viridis</i> (II) <i>Ramphastos dicolorus</i> (III Argentina) <i>Ramphastos sulfuratus</i> (II) <i>Ramphastos toco</i> (II) <i>Ramphastos tucanus</i> (II) <i>Ramphastos vitellinus</i> (II) <i>Selenidera maculirostris</i> (III Argentina)		Toucans Saffron toucanet Black-necked aracari Chestnut-eared aracari Green aracari Red-breasted toucan Keel-billed toucan Toco toucan Red-billed toucan Channel-billed toucan Spot-billed toucanet
Picidae	<i>Campephilus imperialis</i> (I) <i>Dryocopus javensis richardsi</i> (I)			Woodpeckers Imperial woodpecker Tristram's woodpecker
PASSERIFORMES Cotingidae			<i>Cephalopterus ornatus</i> (III Colombia) <i>Cephalopterus penduliger</i> (III Colombia)	Cotingas Amazonian umbrella bird Long-wattled umbrella bird



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	Annex A	Annex B	Annex C	Common name
<i>Pittidae</i>	<i>Cotinga maculata</i> (I)	<i>Rupicola</i> spp. (II)		Banded cotinga
	<i>Xipholena atropurpurea</i> (I)			Cocks-of-the-rock
				White-winged cotinga
	<i>Pitta gurneyi</i> (I)	<i>Pitta guajana</i> (II)		Pittas
	<i>Pitta kochi</i> (I)	<i>Pitta nympha</i> (II)		Banded pitta
<i>Atrichornithidae</i>	<i>Atrichornis clamosus</i> (I)			Gurney's pitta
				Whiskered pitta
	<i>Pseudochelidon sirintarae</i> (I)			Fairy pitta
<i>Hirundinidae</i>				Scrub-birds
				Noisy scrub-bird
<i>Pycnonotidae</i>				Martins
				White-eyed river-martin
<i>Muscicapidae</i>		<i>Pycnonotus zeylanicus</i> (II)		Bulbuls
				Straw-headed bulbul
	<i>Bebornis rodericanus</i> (III Mauritius)			Old-world flycatchers
	<i>Dasyornis broadbenti</i> (possibly extinct) (I)	<i>Cyornis ruckii</i> (II)		Rodriguez brush-warbler
<i>Dasyornis longirostris</i> (I)			Rueck's blue-flycatcher	
			Western rufous bristlebird	
			Western bristlebird	
			Melodious laughing thrush	
		<i>Garrulax canorus</i> (II)		Silver-eared mesia
		<i>Leiothrix argentauris</i> (II)		Red-billed leiothrix
		<i>Leiothrix lutea</i> (II)		Omei shan liocichla
		<i>Liocichla omeiensis</i> (II)		White-necked rockfowl
	<i>Picathartes gymnocephalus</i> (I)			Grey-necked rockfowl
	<i>Picathartes oreas</i> (I)			Mascarene paradise-flycatcher
<i>Nectariniidae</i>		<i>Anthreptes pallidigaster</i>		Sunbirds
			<i>Terpsiphone</i> (III Mauritius)	Amani sunbird



	Annex A	Annex B	Annex C	Common name
Zosteropidae	<i>Zosterops albogularis</i> (I)	<i>Anthreptes rubritorques</i>		Banded sunbird
Meliphagidae	<i>Lichenostomus melanops cassidix</i> (I)			White-eyes White-chested white-eye
Emberizidae		<i>Gubernatrix cristata</i> (II) <i>Paroaria capitata</i> (II) <i>Paroaria coronata</i> (II) <i>Tangara fastuosa</i> (II)		Honey-eaters Helmeted honeyeater Cardinals, tanagers Yellow cardinal Yellow-billed cardinal Red-crested cardinal Seven-coloured tanager
Icteridae	<i>Agelaius flavus</i> (I)			New-world blackbirds Saffron-cowled blackbird
Fringillidae	<i>Carduelis cucullata</i> (I)	<i>Carduelis yarrellii</i> (II)		Finches Red siskin Yellow-faced siskin West African seedeater White-rumped seedeater Yellow-fronted canary
Estrildidae		<i>Amandava formosa</i> (II)	<i>Serinus canicapillus</i> (III Ghana) <i>Serinus leucopygius</i> (III Ghana) <i>Serinus mozambicus</i> (III Ghana) <i>Amadina fasciata</i> (III Ghana) <i>Amandava subflava</i> (III Ghana) <i>Estrilda astrild</i> (III Ghana) <i>Estrilda caerulescens</i> (III Ghana) <i>Estrilda melpoda</i> (III Ghana) <i>Estrilda troglodytes</i> (III Ghana) <i>Lagonosticta rara</i> (III Ghana) <i>Lagonosticta rubricata</i> (III Ghana) <i>Lagonosticta rufopicta</i> (III Ghana)	Mannikins, waxbills Cut throat Green avadavat Zebra waxbill Common waxbill Lavender waxbill Orange-cheeked waxbill Black-rumped waxbill Black-bellied firefinch African firefinch Bar-breasted firefinch

Annex A	Annex B	Annex C	Common name
	<p><i>Padda fuscata</i></p> <p><i>Padda oryzivora</i> (II)</p> <p><i>Poephila cincta cincta</i> (II)</p>	<p><i>Lagonosticta senegala</i> (III Ghana)</p> <p><i>Lagonosticta vinacea</i> (III Ghana)</p> <p><i>Lonchura bicolor</i> (III Ghana)</p> <p><i>Lonchura cantans</i> (III Ghana)</p> <p><i>Lonchura cucullata</i> (III Ghana)</p> <p><i>Lonchura fringilloides</i> (III Ghana)</p> <p><i>Mandingoa nitidula</i> (III Ghana)</p> <p><i>Nesocharis capistrata</i> (III Ghana)</p> <p><i>Nigrita bicolor</i> (III Ghana)</p> <p><i>Nigrita canicapilla</i> (III Ghana)</p> <p><i>Nigrita fusconota</i> (III Ghana)</p> <p><i>Nigrita luteifrons</i> (III Ghana)</p> <p><i>Oryzospiza atricollis</i> (III Ghana)</p> <p><i>Parmoptila rubrifrons</i> (III Ghana)</p> <p><i>Pholidornis rufiae</i> (III Ghana)</p> <p><i>Pyrenestes ostrinus</i> (III Ghana)</p> <p><i>Pytilia hypogrammica</i> (III Ghana)</p> <p><i>Pytilia phoenicoptera</i> (III Ghana)</p> <p><i>Spermophaga haematina</i> (III Ghana)</p> <p><i>Uraeginthus bengalus</i> (III Ghana)</p> <p><i>Amblyospiza albifrons</i> (III Ghana)</p> <p><i>Anaplectes rubriceps</i> (III Ghana)</p> <p><i>Anomalospiza imberbis</i> (III Ghana)</p> <p><i>Bubalornis albirostris</i> (III Ghana)</p> <p><i>Euplectes afer</i> (III Ghana)</p>	<p>Red-billed firefinch</p> <p>Black-faced firefinch</p> <p>Black-and-white mannikin</p> <p>African silverbill</p> <p>Bronze mannikin</p> <p>Magpie mannikin</p> <p>Green-backed twinstot</p> <p>Grey-headed olive-back</p> <p>Chestnut-breasted negrofinch</p> <p>Grey-headed negrofinch</p> <p>White-breasted negrofinch</p> <p>Pale-fronted negrofinch</p> <p>African quailfinch</p> <p>Timor sparrow</p> <p>Java sparrow</p> <p>Jameson's antpecker</p> <p>Tit-hylia</p> <p>Southern black-throated finch</p> <p>Black-bellied seedcracker</p> <p>Red-faced pytilia</p> <p>Red-winged pytilia</p> <p>Western bluebill</p> <p>Red-cheeked cordonbleu</p> <p>Weavers, whydahs</p> <p>Grosbeak weaver</p> <p>Red-headed weaver</p> <p>Parasitic weaver</p> <p>White-billed buffalo weaver</p> <p>Yellow-crowned bishop</p>

Annex A	Annex B	Annex C	Common name
		<i>Euplectes ardens</i> (III Ghana)	Red-collared widowbird
		<i>Euplectes franciscanus</i> (III Ghana)	Orange bishop
		<i>Euplectes hordeaceus</i> (III Ghana)	Black-winged bishop
		<i>Euplectes macrourus</i> (III Ghana)	Yellow-mantled widowbird
		<i>Malimbus cassini</i> (III Ghana)	Black-throated malimbe
		<i>Malimbus malimbicus</i> (III Ghana)	Crested malimbe
		<i>Malimbus nitens</i> (III Ghana)	Gray's malimbe
		<i>Malimbus rubricollis</i> (III Ghana)	Red-headed malimbe
		<i>Malimbus scutatus</i> (III Ghana)	Red-vented malimbe
		<i>Pachyphantes superciliosus</i> (III Ghana)	Compact weaver
		<i>Passer griseus</i> (III Ghana)	Grey-headed sparrow
		<i>Petronia dentata</i> (III Ghana)	Bush petronia
		<i>Ploceus superciliosus</i> (III Ghana)	Chestnut-crowned sparrow-weaver
		<i>Ploceus albinucha</i> (III Ghana)	Maxwell's black weaver
		<i>Ploceus aurantius</i> (III Ghana)	Orange weaver
		<i>Ploceus cucullatus</i> (III Ghana)	Village weaver
		<i>Ploceus heuglini</i> (III Ghana)	Heuglin's masked-weaver
		<i>Ploceus luteolus</i> (III Ghana)	Little weaver
		<i>Ploceus melanocephalus</i> (III Ghana)	Black-headed weaver
		<i>Ploceus nigerrimus</i> (III Ghana)	Vieillot's black weaver
		<i>Ploceus nigricollis</i> (III Ghana)	Black-necked weaver
		<i>Ploceus pelzelni</i> (III Ghana)	Slender-billed weaver
		<i>Ploceus preussi</i> (III Ghana)	Preuss's weaver
		<i>Ploceus tricolor</i> (III Ghana)	Yellow-mantled weaver
		<i>Ploceus vitellinus</i> (III Ghana)	Vitelline masked-weaver
		<i>Quelea erythrops</i> (III Ghana)	Red-headed quelea
		<i>Sporopipes frontalis</i> (III Ghana)	Speckle-fronted weaver
		<i>Vidua chalybeata</i> (III Ghana)	Village indigobird



	Annex A	Annex B	Annex C	Common name
Sturnidae		<i>Gracula religiosa</i> (II)	<i>Vidua interjecta</i> (III Ghana) <i>Vidua larvaticola</i> (III Ghana) <i>Vidua macroura</i> (III Ghana) <i>Vidua orientalis</i> (III Ghana) <i>Vidua raricola</i> (III Ghana) <i>Vidua togoensis</i> (III Ghana) <i>Vidua wilsoni</i> (III Ghana)	Uelle paradise-whydah Baka indigobird Pin-tailed whydah Northern paradise whydah Jambandu indigobird Togo paradise-whydah Pale-winged indigobird Mynas Hill myna Bali myna
Paradisaeidae	<i>Leucopsar rothschildi</i> (I)	<i>Paradisaeidae</i> spp. (II)		Birds of paradise Birds of paradise
TESTUDINATA		REPTILIA Reptiles		
Dermatemydidae		<i>Dermatemys mawii</i> (II)		Central American river turtle Central American river turtle
Platysternidae		<i>Platysternon megacephalum</i> (II)		Big-headed turtle Big-headed turtle
Emydidae	<i>Batagur baska</i> (I)	<i>Annamemys annamensis</i> (II) <i>Callagur borneoensis</i> (II)	<i>Chinemys megaloccephala</i> (III China) <i>Chinemys nigricans</i> (III China) <i>Chinemys reevesii</i> (III China)	Box turtles, freshwater turtles Annam pond turtle Batagur Painted terrapin Big-headed pond turtle Red-necked turtle Reeve's turtle
		<i>Chrysemys picta</i> <i>Clemmys insculpta</i> (II)		Wood turtle



Annex A	Annex B	Annex C	Common name
<i>Clemmys muhlenbergii</i> (I)	<i>Cuora</i> spp. (II)	<i>Geoemyda spengleri</i> (III China)	Bog turtle
<i>Geoclemmys hamiltonii</i> (I)	<i>Heosemys depressa</i> (II) <i>Heosemys grandis</i> (II) <i>Heosemys leytenis</i> (II) <i>Heosemys spinosa</i> (II) <i>Hieremys annandalii</i> (II)		Asian box turtles Black pond turtle Black-breasted leaf turtle Arakan forest turtle
<i>Kachuga tecta</i> (I)	<i>Kachuga</i> spp. (II) (Except for the species included in Annex A) <i>Leucocephalon yuwonoi</i> (II) <i>Malayemys subtrijuga</i> (II) <i>Mauremys mutica</i> (II)	<i>Mauremys iversoni</i> (III China)	Giant Asian turtle Philippine pond turtle Spiny turtle Yellow-headed temple turtle Roofed turtles Indian sawback turtle Sulawesi forest turtle Malayan snail-eating turtle Fujian pond turtle Yellow pond turtle
<i>Melanochelys tricarinata</i> (I) <i>Morenia ocellata</i> (I)	<i>Notochelys platynota</i> (II) <i>Orlitia borneensis</i> (II) <i>Pyxidea mouhotii</i> (II)	<i>Mauremys pritchardi</i> (III China)	Pritchard's pond turtle Three-keeled land tortoise Burmese swamp turtle Malayan flat-shelled turtle
	<i>Siebenrockiella crassicollis</i> (II)	<i>Ocadia glyphistoma</i> (III China) <i>Ocadia philippeni</i> (III China) <i>Ocadia sinensis</i> (III China) <i>Sacalia bealei</i> (III China) <i>Sacalia pseudocellata</i> (III China) <i>Sacalia quadriocellata</i> (III China)	Notch-mouthed stripe-necked turtle Philippine's stripe-necked turtle Chinese stripe-necked turtle Malayan giant turtle Keeled bix turtle Beal's eyed turtle Chinese false-eyed turtle Four-eyed turtle Black marsh turtle



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	Annex A	Annex B	Annex C	Common name
<i>Testudinidae</i>	<p><i>Terrapene coahuila</i> (I)</p> <p><i>Geochelone nigra</i> (I)</p> <p><i>Geochelone radiata</i> (I)</p> <p><i>Geochelone yniphora</i> (I)</p> <p><i>Gopherus flavomarginatus</i> (I)</p> <p><i>Malacochersus tornieri</i> (II)</p> <p><i>Psammobates geometricus</i> (I)</p> <p><i>Pyxis arachnoides</i> (I)</p> <p><i>Pyxis planicauda</i> (I)</p> <p><i>Testudo graeca</i>(II)</p> <p><i>Testudo hermanni</i>(II)</p> <p><i>Testudo kleinmanni</i> (I)</p> <p><i>Testudo marginata</i>(II)</p> <p><i>Testudo wernerii</i> (I)</p> <p><i>Cheloniidae</i> spp. (I)</p> <p><i>Dermochelys coriacea</i> (I)</p>	<p><i>Terrapene</i> spp. (II) (Except for the species included in Annex A)</p> <p><i>Trachemys scripta elegans</i></p> <p><i>Testudinidae</i> spp. (II) (Except for the species included in Annex A; a zero annual export quota has been established for <i>Geochelonesulcata</i> for specimens removed from the wild and traded for primarily commercial purposes.)</p> <p><i>Amyda cartilaginea</i> (II)</p>		<p>Box-turtles</p> <p>Aquatic box turtle</p> <p>Red-eared terrapin</p> <p>Tortoises</p> <p>Tortoises</p> <p>Galapagos giant tortoise</p> <p>Radiated tortoise</p> <p>Angonoka</p> <p>Bolson tortoise</p> <p>Pancake tortoise</p> <p>Geometric tortoise</p> <p>Madagascar spider tortoise</p> <p>Madagascar flat-shelled tortoise</p> <p>Spur-thighed tortoise</p> <p>Hermann's tortoise</p> <p>Egyptian tortoise</p> <p>Marginated tortoise</p> <p>Sea turtles</p> <p>Sea turtles</p> <p>Leatherback turtle</p> <p>Leatherback turtle</p> <p>Softshell turtles, terrapins</p> <p>Southeast Asian soft-shelled turtle</p>
<i>Cheloniidae</i>				
<i>Dermochelyidae</i>				
<i>Trionychidae</i>				



	Annex A	Annex B	Annex C	Common name
<i>Pelomedusidae</i>	<i>Apalone ater</i> (I)			Cuatro Ciénegas soft-shell turtle
	<i>Aspideretes gangeticus</i> (I)			Indian soft-shell turtle
	<i>Aspideretes hurum</i> (I)			Peacock soft-shell turtle
	<i>Aspideretes nigricans</i> (I)	<i>Chitra</i> spp. (II)		Black soft-shell turtle
		<i>Lissemys punctata</i> (II)		Narrow-headed softshell turtles
		<i>Pelochelys</i> spp. (II)	<i>Palea steindachneri</i> (III China)	Indo-Gangetic flap-shelled turtle
			<i>Pelodiscus axenaria</i> (III China)	Wattle-necked softshell turtle
			<i>Pelodiscus maaekii</i> (III China)	Giant softshell turtles
			<i>Pelodiscus parviformis</i> (III China)	
			<i>Pelodiscus sinensis</i> (III China)	
<i>Carettocheilydidae</i>			<i>Rafetus swinhoei</i> (III China)	Chinese softshell turtle
			<i>Trionyx triunguis</i> (III Ghana)	Yangtze softshell turtle
				African soft-shell turtle
		<i>Erymnochelys madagascariensis</i> (II)		Afro-American sideneck turtles
		<i>Peltocephalus dumeriliana</i> (II)	<i>Pelomedusa subrufa</i> (III Ghana)	Madagascar sideneck turtle
				Helmeted turtle
			<i>Pelusios adansonii</i> (III Ghana)	Big-headed sideneck turtle
			<i>Pelusios castaneus</i> (III Ghana)	Adanson's mud turtle
			<i>Pelusios gabonensis</i> (III Ghana)	West African mud turtle
		<i>Podocnemis</i> spp. (II)	<i>Pelusios niger</i> (III Ghana)	African forest turtle
<i>Chelidae</i>				West African black forest turtle
		<i>Carettochelys insculpta</i> (II)		Sideneck turtles
		<i>Chelodina mccordi</i> (II)		Pig-nosed turtles
	<i>Pseudemidura umbrina</i> (I)			Pig-nosed turtle
				Austro-American sideneck turtles
				Roti snake-necked turtle
				Western swamp turtle



	Annex A	Annex B	Annex C	Common name
<i>CROCODYLIA</i>		<i>CROCODYLIA</i> spp. (II) (Except for the species included in Annex A)		Caimans, crocodiles, alligators Caimans, crocodiles, alligators
<i>Alligatoridae</i>	<p><i>Alligator sinensis</i> (I)</p> <p><i>Caiman crocodilus apaporiensis</i> (I)</p> <p><i>Caiman latirostris</i> (I) (Except for the population of Argentina, which is included in Annex B)</p> <p><i>Melanosuchus niger</i> (I) (Except for the population of Ecuador, which is included in Annex B, and is subject to a zero annual export quota until an annual export quota has been approved by the CITES Secretariat and the IUCN/SSC Crocodile Specialist Group)</p>			<p>Alligators, caimans</p> <p>Chinese alligator</p> <p>Rio Apaporis spectacled caiman</p> <p>Broad-nosed caiman</p> <p>Black caiman</p>
<i>Crocodylidae</i>	<p><i>Crocodylus acutus</i> (I) (Except for the population of Cuba, which is included in Annex B)</p> <p><i>Crocodylus cataphractus</i> (I)</p> <p><i>Crocodylus intermedius</i> (I)</p> <p><i>Crocodylus mindorensis</i> (I)</p> <p><i>Crocodylus moreletii</i> (I)</p> <p><i>Crocodylus niloticus</i> (I) (Except for the populations of Botswana, Ethiopia, Kenya, Madagascar, Malawi, Mozambique, Namibia, South Africa, Uganda, the United Republic of Tanzania [subject to an annual export quota of no more than 1 600 wild specimens including hunted trophies, in addition to ranches specimens], Zambia and Zimbabwe; these populations are included in Annex B)</p>			<p>Crocodyles</p> <p>American crocodile</p> <p>African slender-snouted crocodile</p> <p>Orinoco crocodile</p> <p>Philippine crocodile</p> <p>Morelet's crocodile</p> <p>Nile crocodile</p>



	Annex A	Annex B	Annex C	Common name
Iguanidae	<i>Brookesia perarmata</i> (I)	<i>Calumma</i> spp. (II)		Dwarf spiny chameleon
	Chamaeleo chamaeleon (II)	<i>Chamaeleo</i> spp. (II) (Except for the species included in Annex A)		Madagascar Chameleons Chameleons
	<i>Brachylophus</i> spp. (I)	<i>Furcifer</i> spp. (II)		European chameleon
	<i>Cyclura</i> spp. (I)	<i>Amblyrhynchus cristatus</i> (II)		Madagascar Chameleons
	<i>Sauromalus varius</i> (I)	<i>Conolophus</i> spp. (II)		Iguanas Galapagos marine iguana
		<i>Iguana</i> spp. (II)		Fiji iguanas
		<i>Liolaemus gravenhorstii</i>		Galapagos land iguanas
		<i>Phrynosoma coronatum</i> (II)		Ground iguanas
				Iguanas
				Striped ground iguana
Lacertidae				Coast horned lizard
				San Esteban Island chuckwalla
Cordylidae				Lizards
				Hierro giant lizard
				Lilford's wall lizard
				Ibiza wall lizard
Teiidae				Spiny-tailed lizards
				Girdled lizards
Scincidae				Caiman lizards, tegu lizards
				Dragon lizard
Xenosauridae				Caiman lizards
				Tegus
				Skinks Prehensile-tailed skink
				Chinese crocodile lizard Chinese crocodile lizard



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	Annex A	Annex B	Annex C	Common name
<i>Helodermatidae</i>				
<i>Varanidae</i>	<p><i>Varanus bengalensis</i> (I)</p> <p><i>Varanus flavescens</i> (I)</p> <p><i>Varanus griseus</i> (I)</p> <p><i>Varanus komodoensis</i> (I)</p> <p><i>Varanus nebulosus</i> (I)</p> <p><i>Varanus olivaceus</i> (II)</p>	<p><i>Heloderma</i> spp. (II)</p> <p><i>Varanus</i> spp. (II) (Except for the species included in Annex A)</p>		<p>Gila monster and bearded lizard</p> <p>Gila monster and bearded lizard</p> <p>Monitor lizards</p> <p>Monitor lizards</p> <p>Indian monitor</p> <p>Yellow monitor</p> <p>Desert monitor</p> <p>Komodo dragon</p> <p>Clouded monitor</p> <p>Gray's monitor</p> <p>Snakes</p> <p>Mexican dwarf boa</p> <p>Mexican dwarf boa</p>
SERPENTES				
<i>Loxocemidae</i>		<i>Loxocemidae</i> spp. (II)		Pythons
<i>Pythonidae</i>		<i>Pythonidae</i> spp. (II) (Except for the species included in Annex A)		Pythons
	<i>Python molurus molurus</i> (I)			Indian python
<i>Boidae</i>		<i>Boidae</i> spp. (II) (Except for the species included in Annex A)		Boas
	<i>Acrantophis</i> spp. (I)			Boas
	<i>Boa constrictor occidentalis</i> (I)			Madagascar ground boas
	<i>Epicrates inornatus</i> (I)			Argentine boa constrictor
	<i>Epicrates monensis</i> (I)			Puerto Rican boa
	<i>Epicrates subflavus</i> (I)			Virgin Island tree boa
	<i>Eryx jaculus</i> (II)			Jamaican boa
	<i>Sanzinia madagascariensis</i> (I)			Spotted sand boa
				Madagascar tree boa



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	Annex A	Annex B	Annex C	Common name
Bolyeriidae	<i>Bolyeria multocarinata</i> (I) <i>Casarea dussumieri</i> (I)	<i>Bolyeriidae</i> spp. (II) (Except for the species included in Annex A)		Round Island boas Round Island boas Round Island boa Round Island keel-sealed boa
Tropidophiidae		<i>Tropidophiidae</i> spp. (II)		Wood boas Wood boas
Colubridae		<i>Clelia clelia</i> (II) <i>Cyclagras gigas</i> (II) <i>Dromicus chamissonis</i> <i>Elachistodon westermanni</i> (II) <i>Ptyas mucosus</i> (II)	<i>Aretium schistosum</i> (III India) <i>Cerberus rhynchops</i> (III India)	Typical snakes, water snakes, whip snakes Olive keel-back Dog-faced water snake Mussurana False cobra Chilean ground snake Indian egg-eating snake Common rat snake
Elapidae		<i>Hoplocephalus bungaroides</i> (II) <i>Naja atra</i> (II) <i>Naja kaouthia</i> (II) <i>Naja mandalayensis</i> (II) <i>Naja naja</i> (II) <i>Naja oxiata</i> (II) <i>Naja philippinensis</i> (II) <i>Naja sagueitifera</i> (II) <i>Naja samarensis</i> (II) <i>Naja siamensis</i> (II)	<i>Xenochrophis piscator</i> (III India) <i>Micrurus diastema</i> (III Honduras) <i>Micrurus nigrocinctus</i> (III Honduras)	Cobras, coral snakes Broad-headed snake Atlantic coral snake Central American coral snake Chinese spitting cobra Monocellate cobra Indian cobra Central Asian cobra North Philippine spitting cobra Andaman cobra South-east Philippine spitting cobra Indochinese spitting cobra

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	Annex A	Annex B	Annex C	Common name
Viperidae	<p><i>Vipera latifji</i></p> <p><i>Vipera ursinii</i> (I) (Only the population of Europe, except the area which formerly constituted the USSR; these latter populations are not included in the Annexes to this Regulation)</p>	<p><i>Naja sputatrix</i> (II)</p> <p><i>Naja sumatrana</i> (II)</p> <p><i>Ophiophagus hannah</i> (II)</p> <p><i>Crotalus durissusunicolor</i></p> <p><i>Crotalus willardi</i></p>	<p><i>Crotalus durissus</i> (III Honduras)</p> <p><i>Daboia russelii</i> (III India)</p>	<p>South Indonesian spitting cobra</p> <p>Golden spitting cobra</p> <p>King cobra</p> <p>Vipers</p> <p>Neotropical rattlesnake</p> <p>Aruba rattlesnake</p> <p>Ridge-nosed rattlesnake</p> <p>Russell's viper</p> <p>Latifi's viper</p> <p>Orsini's viper</p>
ANURA		<i>Vipera wagneri</i> (II)		Wagner's viper
Bufonidae	<p><i>Altiphrynoides</i> spp. (I)</p> <p><i>Atelopus zeteki</i> (I)</p> <p><i>Bufo periglenes</i> (I)</p> <p><i>Bufo superciliaris</i> (I)</p> <p><i>Nectophrynoides</i> spp. (I)</p> <p><i>Nimbaphrynoides</i> spp. (I)</p> <p><i>Spinophrynoides</i> spp. (I)</p>	<p>AMPHIBIA</p> <p>Amphibians</p>		<p>Toads</p> <p>Malcolm's Ethiopian toad</p> <p>Golden frog</p> <p>Golden toad</p> <p>Cameroon toad</p> <p>African viviparous toads</p> <p>Nimba toads</p> <p>Osgood's Ethiopian toad</p> <p>Poison frogs</p> <p>Poison-arrow frogs</p> <p>Poison-arrow frogs</p> <p>Poison-arrow frogs</p>
Dendrobatidae		<p><i>Dendrobates</i> spp. (II)</p> <p><i>Epipedobates</i> spp. (II)</p> <p><i>Minyobates</i> spp. (II)</p>		

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	Annex A	Annex B	Annex C	Common name
<i>Mantellidae</i>		<i>Phyllobates</i> spp.(II)		Poison-arrow frogs
<i>Microhylidae</i>	<i>Dyscophus antongilii</i> (I)	<i>Mantella</i> spp. (II)		Mantella frogs Mantella frogs
<i>Ranidae</i>		<i>Scaphiophryne gottlebei</i> (II)		Tomato frogs Tomato frog
		<i>Conraua goliath</i>		Red rain frog
		<i>Euphyctis hexadactylus</i> (II)		Frogs
		<i>Hoplobatrachus tigrinus</i> (II)		Goliath frog
		<i>Rana catesbeiana</i>		Six-fingered frog
<i>Myobatrachidae</i>		<i>Rheobatrachus</i> spp. (II) (Except for the species included in Annex A)		Tiger frog
CAUDATA				American bullfrog
<i>Ambystomidae</i>	<i>Rheobatrachus silus</i> (II)	<i>Ambystoma dumerilii</i> (II)		Gastric brooding frogs Gastric brooding frog
		<i>Ambystoma mexicanum</i> (II)		Platypus frog
<i>Cryptobranchidae</i>	<i>Andrias</i> spp. (I)			Axolotls Lake Patzcuaro salamander
				Axolotl
				Giant salamanders Giant salamanders
ORECTOLOBIFORMES		ELASMOBRANCHII (Sharks and rays)		
<i>Rhincodontidae</i>		<i>Rhincodon typus</i> (II)		Whale sharks Whale shark
LAMNIFORMES				
<i>Lamnidae</i>		<i>Carcharodon carcharias</i> (II)		Great white shark Great white shark

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	Annex A	Annex B	Annex C	Common name
<i>Cetorhinidae</i>		<i>Cetorhinus maximus</i> (II)		Basking Sharks Basking shark
<i>ACIPENSERIFORMES</i>		ACTINOPTERYGII Fish		
<i>Acipenseridae</i>	<i>Acipenser brevirostrum</i> (I) <i>Acipenser sturio</i> (I)	<i>ACIPENSERIFORMES</i> spp. (II) (Except for the species included in Annex A)		Sturgeons and paddlefish
<i>OSTEOGLOSSIFORMES</i>				Sturgeons
<i>Osteoglossidae</i>		<i>Arapaima gigas</i> (II)		Shortnose sturgeon Common sturgeon
<i>CYPRINIFORMES</i>	<i>Scleropages formosus</i> (I)			Arapaimas, bonytongues
<i>Cyprinidae</i>	<i>Probarbus jullieni</i> (I)			Arapaima Asian arowana
<i>Catostomidae</i>	<i>Chasmistes cujus</i> (I)	<i>Caecobarbus geertsi</i> (II)		Blind carps, plaecesok
<i>SILURIFORMES</i>	<i>Pangasianodon gigas</i> (I)			African blind barb fish Ikan temoleh
Pangasidae				Cui-ui Cui-ui
<i>SYNGNATHIFORMES</i>				Pangasid catfish Giant catfish
<i>Syngnathidae</i>		<i>Hippocampus</i> spp. (II)		Pipefishes, seahorses Seahorses
<i>PERCIFORMES</i>				Wrasses
<i>Labridae</i>		<i>Cheilinus undulatus</i> (II)		Humphead wrasse

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	Annex A	Annex B	Annex C	Common name
<i>Sciaenidae</i>	<i>Totoaba macdonaldi</i> (I)			Totoabas Totoaba
COELACANTHIFORMES		SARCOPTERYGII Lungfishes		
<i>Coelacanthidae</i>	<i>Latimeria</i> spp. (I)			Coelacanth Coelacanth
CERATODONTIFORMES				
<i>Ceratodontidae</i>		<i>Neoceratodus forsteri</i> (II)		Australian lungfishes Australian lungfish
		ECHINODERMATA (STARFISH, BRITTLE STARS, SEA URCHINS AND SEA CUCUMBERS)		
		HOLOTHUROIDEA Sea cucumbers		
ASPODOCHIROTIDA				
<i>Stichopodidae</i>			<i>Isostichopus fuscus</i> (III Ecuador)	Sea cucumbers
		ARTHROPODA (ARTHROPODS)		
		ARACHNIDA Spiders and scorpions		
SCORPIONES				Scorpions
<i>Scorpionidae</i>		<i>Pandinus dictator</i> (II) <i>Pandinus gambiensis</i> (II) <i>Pandinus imperator</i> (II)		Giant Senegalese scorpion Emperor scorpion
ARANEAE		<i>Aphonopelma albiceps</i> (II) <i>Aphonopelma pallidum</i> (II) <i>Brachypelma</i> spp (II)		Red-kneed tarantulas, tarantulas Chihuahua rose-grey tarantula Central American tarantulas
<i>Theraphosidae</i>				

	Annex A	Annex B	Annex C	Common name
<p>COLEOPTERA <i>Lucanidae</i></p>				<p>Beetles Stag beetles Cape stag beetles Butterflies</p>
<p>LEPIDOPTERA <i>Papilionidae</i></p>		<p>INSECTA Insects</p> <p><i>Atrophaneura jophon</i> (II) <i>Atrophaneura palu</i> <i>Atrophaneura pandiyana</i> (II) <i>Baronia brevicornis</i> <i>Bhutanitis</i> spp. (II) <i>Graphium sandawanum</i> <i>Graphium stresemanni</i> <i>Ornithoptera</i> spp. (II) (except for the species included in Annex A)</p>	<p><i>Colophon</i> spp. (III South Africa)</p>	<p>Birdwing and swallowtail butterflies Sri Lankan rose Palu swallowtail butterfly Short-horned baronia Swallowtail butterflies Apo swallowtail butterfly Birdwing butterflies Queen Alexandra's birdwing Luzon peacock swallowtail Homerus swallowtail Corsican swallowtail Broad-tailed swallowtail Madagascan emperor swallowtail Fluminense swallowtail butterfly Hahnel's amazonian swallowtail butterfly</p>
	<p><i>Ornithoptera alexandrae</i> (I) <i>Papilio chikae</i> (I) <i>Papilio homerus</i> (I) <i>Papilio hospiton</i> (I)</p>			

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Annex A	Annex B	Annex C	Common name
<i>Parnassius apollo</i> (II)	<i>Teinopalpus</i> spp. (II) <i>Trogonoptera</i> spp. (II) <i>Troides</i> spp. (II)		Mountain apollo Kaiser-I-Hind butterflies Birdwing butterflies Birdwing butterflies
	ANNELIDA (SEGMENTED WORMS AND LEECHES) HIRUDINOIDEA Leeches		
ARHYNCHOBELLA <i>Hirudinidae</i>	<i>Hirudo medicinalis</i> (II) MOLLUSCA (MOLLUSCS) BIVALVIA Bivalve molluscs (clams, mussels etc.)		Leeches Medicinal leech
VENERIDA <i>Tridacnidae</i>	<i>Tridacnidae</i> spp. (II)		Giant clams Giant clams
UNIONIDA <i>Unionidae</i>	<i>Conradilla caelata</i> (I) <i>Dromus dromas</i> (I) <i>Epioblasma curtisii</i> (I) <i>Epioblasma florentina</i> (I) <i>Epioblasma sampsonii</i> (I) <i>Epioblasma sulcata perobliqua</i> (I) <i>Epioblasma torulosa gubernaculum</i> (I) <i>Epioblasma torulosa torulosa</i> (I)	<i>Cyprogenia aberti</i> (II)	Freshwater mussels, pearly mussels Birdwing pearly mussel Western fanshell mussel Dromedary pearly mussel Curtis' pearly mussel Yellow-blossom pearly mussel Wabash riffleshell White catspaw mussel Green-blossom pearly mussel Northern riffleshell Turberled-blossom pearly mussel



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Annex A	Annex B	Annex C	Common name
<p><i>Epioblasma turgidula</i> (I) <i>Epioblasma walkeri</i> (I) <i>Fusconaia cuneolus</i> (I) <i>Fusconaia edgariana</i> (I) <i>Lampsilis higginsii</i> (I) <i>Lampsilis orbiculata orbiculata</i> (I) <i>Lampsilis satur</i> (I) <i>Lampsilis virescens</i> (I) <i>Plethobasus cicatricosus</i> (I) <i>Plethobasus cooperianus</i> (I)</p>	<p><i>Pleurobema clava</i> (II)</p>		<p>Turgid-blossom pearly mussel Tan riffleshell Fine-rayed pigtoe pearly mussel Shiny pigtoe pearly mussel Higgins' eye pearly mussel Pink mucket pearly mussel Sandback pocketbook mussel Alabama lamp pearly mussel White warty-back pearly mussel Orange-footed pimpleback mussel Clubshell pearly mussel Rough pigtoe pearly mussel Fat pocketbook pearly mussel Cumberland monkey-face pearly mussel Appalachian monkey-face mussel Pale lilliput pearly mussel Nicklin's pearly mussel Tampico pearly mussel Cumberland bean pearly mussel</p>
<p><i>Pleurobema plenum</i> (I) <i>Potamilus capax</i> (I) <i>Quadrula intermedia</i> (I) <i>Quadrula sparsa</i> (I) <i>Toxolasma cylindrellus</i> (I) <i>Unio nickliniana</i> (I) <i>Unio tampicoensis tecomatensis</i> (I) <i>Villosa trabalis</i> (I)</p>	<p><i>Lithophaga lithophaga</i> (II)</p>		<p>Marine mussels European date mussel</p>
<p>MYTILOIDA Mytilidae</p>	<p>GASTROPODA Slugs, snails and conches</p>		<p>Agate snails, oatu tree snails Little agate shells</p>
<p>STYLOMMATOPHORA Achatinellidae</p>	<p><i>Achatinella</i> spp. (I)</p>		

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Annex A	Annex B	Annex C	Common name
<p>Caudofoveata</p> <p>MESOGASTROPODA</p> <p>Strombidae</p>	<p><i>Papustyla pulcherrima</i> (II)</p> <p><i>Strombus gigas</i> (II)</p>		<p>Green tree snail</p> <p>Manus green tree snail</p> <p>Conches</p> <p>Queen conch</p>
<p>Cnidaria</p> <p>HELIOPORACEA</p> <p>Heliopteridae</p> <p>STOLONIFERA</p> <p>Tubiporidae</p> <p>ANTIPATHARIA</p> <p>SCLERACTINIA</p>	<p>Cnidaria (CORALS, FIRE CORALS, SEA ANEMONES)</p> <p>ANTHOZOA</p> <p>Corals, sea anemones</p> <p><i>Heliopteridae</i> spp. (II) (*)</p> <p><i>Tubiporidae</i> spp. (II) (*)</p> <p>ANTIPATHARIA spp. (II)</p> <p>SCLERACTINIA spp. (II) (*)</p>		<p>Blue coral</p> <p>Blue coral</p> <p>Organpipe corals</p> <p>Organpipe corals</p> <p>Black corals</p> <p>Stony corals</p>
<p>MILLEPORINA</p> <p>Milleporidae</p> <p>STYLASTERINA</p> <p>Stylasteridae</p>	<p>Sea ferns, fire corals, stinging medusas</p> <p><i>Milleporidae</i> spp. (II) (*)</p> <p><i>Stylasteridae</i> spp. (II) (*)</p> <p>FLORA</p>		<p>Wello fire corals</p> <p>Wello fire corals</p> <p>Lace corals</p> <p>Lace corals</p>
<p>AGAVACEAE</p>	<p><i>Agave arizonica</i> (I)</p> <p><i>Agave parviflora</i> (I)</p> <p><i>Agave victoriae-reginae</i> (II) #1</p>		<p>Agaves</p> <p>New River agave</p> <p>Santa Cruz striped agave</p> <p>Queen Victoria agave</p>



	Annex A	Annex B	Annex C	Common name
AMARYLLIDACEAE	<i>Nolina interrata</i> (I)			Dehesa bear-grass
APOCYNACEAE	<i>Pachypodium ambongense</i> (I) <i>Pachypodium baronii</i> (I) <i>Pachypodium decaryi</i> (I)	<i>Galanthus</i> spp. (II) #1 <i>Sternbergia</i> spp. (II) #1 <i>Hoodia</i> spp. (II) #9 <i>Pachypodium</i> spp. (II) (Except for the species included in Annex A)#1		Amaryllids Snowdrops Sternbergias Dogbanes Hoodia Elephant trunks
ARALIACEAE		<i>Rauwolfia serpentina</i> (II) #2 <i>Panax ginseng</i> (II) + (Only the population of the Russian Federation; no other population is included in the Annexes to this Regulation) #3 <i>Panax quinquefolius</i> (II) #3		Snake-root devil-pepper Aralias Ginseng
ARAUCARIACEAE	<i>Araucaria araucana</i> (I)			American ginseng Auricularias Monkey-puzzle tree
BERBERIDACEAE		<i>Podophyllum hexandrum</i> (II) #2		Barberries Himalayan may-apple
BROMELIACEAE		<i>Tillandsia harrisii</i> (II) #1 <i>Tillandsia kammii</i> (II) #1 <i>Tillandsia kautskyi</i> (II) #1 <i>Tillandsia mauryana</i> (II) #1 <i>Tillandsia sprengeliana</i> (II) #1 <i>Tillandsia sucrei</i> (II) #1 <i>Tillandsia xerographica</i> (II) #1		Air plants, bromelias Harris' tillandsia Kamm's tillandsia Kautsky's tillandsia Maury's tillandsia Sprengel's tillandsia Sucre tillandsia Xerographic tillandsia



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CACTACEAE	Annex A	Annex B	Annex C	Common name
	<p><i>Ariocarpus</i> spp. (1)</p> <p><i>Astrophytum asterias</i> (1)</p> <p><i>Aztekium ritteri</i> (1)</p> <p><i>Coryphantha werdermannii</i> (1)</p> <p><i>Discocactus</i> spp. (1)</p> <p><i>Echinocereus ferreirianus</i> ssp. <i>lindsayi</i> (1)</p> <p><i>Echinocereus schmollii</i> (1)</p> <p><i>Escobaria minima</i> (1)</p> <p><i>Escobaria sneedii</i> (1)</p> <p><i>Mammillaria pectinifera</i>(1)</p> <p><i>Mammillaria solisioides</i> (1)</p> <p><i>Melocactus conoideus</i> (1)</p> <p><i>Melocactus deinacanthus</i> (1)</p> <p><i>Melocactus glaucescens</i> (1)</p> <p><i>Melocactus paucispinus</i> (1)</p> <p><i>Oregonia denegrii</i> (1)</p> <p><i>Pachycereus militaris</i> (1)</p> <p><i>Pediocactus bradyi</i> (1)</p> <p><i>Pediocactus knowltonii</i> (1)</p> <p><i>Pediocactus paradinei</i> (1)</p> <p><i>Pediocactus peeblesianus</i> (1)</p> <p><i>Pediocactus sileri</i> (1)</p> <p><i>Pelecypora</i> spp. (1)</p> <p><i>Sclerocactus brevihamatus</i> ssp. <i>tobuschii</i> (1)</p>	<p>CACTACEAE spp. (1) (Except for the species included in Annex A) (9) #4</p>		<p>Cacti</p> <p>Cacti</p> <p>Living rock cacti</p> <p>Star cactus</p> <p>Aztec cactus</p> <p>Jobali pincushion cactus</p> <p>Discocacti</p> <p>Lindsay's hedgehog cacti</p> <p>Lamb's-tail cactus</p> <p>Nelle's cactus</p> <p>Sneed's pincushion cactus</p> <p>Conchilinqu</p> <p>Pitayita</p> <p>Conelike Turk's-cap cactus</p> <p>Wonderfully-bristled Turk's cap cactus</p> <p>Woolly waxy-stemmed Turk's-cap cactus</p> <p>Few-spined Turk's-cap cactus</p> <p>Artichoke cactus</p> <p>Grenadier's cap</p> <p>Brady's pincushion cactus</p> <p>Knowlton's cactus</p> <p>Houserock valley cactus</p> <p>Peebles's Navajo cactus</p> <p>Siler's pincushion cactus</p> <p>Pine cane cactus</p> <p>Tobusch fishhook cactus</p>



	Annex A	Annex B	Annex C	Common name
	<p><i>Sclerocactus erectocentrus</i> (I)</p> <p><i>Sclerocactus glaucus</i> (I)</p> <p><i>Sclerocactus mariposensis</i> (I)</p> <p><i>Sclerocactus mesae-verdae</i> (I)</p> <p><i>Sclerocactus nyensis</i> (I)</p> <p><i>Sclerocactus papyracanthus</i> (I)</p> <p><i>Sclerocactus pubispinus</i> (I)</p> <p><i>Sclerocactus wrightiae</i> (I)</p> <p><i>Strombocactus</i> spp. (I)</p> <p><i>Turbincarpus</i> spp. (I)</p> <p><i>Uebelmannia</i> spp. (I)</p>			<p>Needle-spined pineapple cactus</p> <p>Uinta Basin hookless cactus</p> <p>Mariposa cactus</p> <p>Mesa Verde cactus</p> <p>Tonopah fishhook cactus</p> <p>Grama-grass cactus</p> <p>Great-Basin fishhook cactus</p> <p>Wright's fishhook cactus</p> <p>Peyote</p> <p>Turbincarps</p> <p>Uebelmann cacti</p> <p>Ajos</p> <p>Ajillo</p> <p>Asters, daisies, costus</p>
CARYOCARACEAE		<i>Caryocar costaricense</i> (II) #1		Costus
COMPOSITAE (ASTERACEAE)	<i>Saussurea costus</i> (I) (also known as <i>S. Costus</i> or <i>Aucklandia</i>)			Dudleyas, crassulas
CRASSULACEAE		<i>Dudleya stolonifera</i> (II) #1		Laguna beach dudleya
CUPRESSACEAE	<i>Fitzroya cupressoides</i> (I)	<i>Dudleya traskiae</i> (II)		Santa Barbara Island dudleya
CYATHEACEAE	<i>Pilgerodendron uviferum</i> (I)			Cypresses
				Alerce
				Pilgerodendron
				Tree ferns
				Tree ferns
CYCADACEAE		<i>Cyathea</i> spp. (II) #1		Cycads
				Cycads
	<i>Cycas beddomei</i> (I)			Beddome's cycad



	Annex A	Annex B	Annex C	Common name
DIAPENSIACEAE		<i>Shoritia galacifolia</i> (II) #1		Ocotee bells Ocotee bells
DICKSONIACEAE		<i>Cibotium barometz</i> (II) #1 <i>Dicksonia</i> spp. (II) (Only the populations of the Americas; no other populations are included in the Annexes to this Regulation: includes <i>Dicksonia berteriana</i> , <i>D. externa</i> , <i>D. sellowiana</i> and <i>D. stuebelii</i>) #1		Tree ferns Tree ferns
DIDIEREACEAE		<i>DIDIEREACEAE</i> spp. (II) #1		Didiereas Alluaudias, didiereas
DIOSCOREACEAE		<i>Dioscorea deltoidea</i> (II) #1		Yams Elephant's foot
DROSERACEAE		<i>Dionaea muscipula</i> (II) #1		Sundews Venus fly-trap
EUPHORBIACEAE	<i>Euphorbia ambovombensis</i> (I) <i>Euphorbia capsaintemariensis</i> (I)	<i>Euphorbia</i> spp. (II) (Except for the species included in Annex A; succulent species only; artificially propagated specimens of cultivars of <i>Euphorbia trigona</i> , artificially propagated, crested, fan-shaped or colour mutants of <i>Euphorbia lactea</i> , when grafted on artificially propagated root stock of <i>Euphorbia nerifolia</i> and artificially propagated specimens of cultivars of <i>Euphorbia</i> "Mili") when they are traded in shipments of 100 or more plants and readily recognisable as artificially propagated specimens, are not subject to the provisions of this Regulation) #1		Spurges Euphorbias



	Annex A	Annex B	Annex C	Common name
FOUQUIERIACEAE	<p><i>Euphorbia cremersii</i> (I)</p> <p><i>Euphorbia cylindrifolia</i> (I)</p> <p><i>Euphorbia decaryi</i> (I)</p> <p><i>Euphorbia francoisii</i> (I)</p> <p><i>Euphorbia handiensis</i>(II)</p> <p><i>Euphorbia lambii</i>(II)</p> <p><i>Euphorbia moratii</i> (I)</p> <p><i>Euphorbia parvicyclophora</i> (I)</p> <p><i>Euphorbia quartziticola</i> (I)</p> <p><i>Euphorbia tulearensis</i> (I)</p> <p><i>Euphorbia stygiana</i>(II)</p>	<p><i>Fouquieria columnaris</i> (II) #1</p>		<p>Ocotillos, boojums</p> <p>Boojum tree</p> <p>Arbol del barril</p>
GNETACEAE	<p><i>Fouquieria fasciculata</i> (I)</p> <p><i>Fouquieria purpusii</i> (I)</p>		<p><i>Gnetum montanum</i> (III Nepal) #1</p>	<p>Joint firs</p>
JUGLANDACEAE		<p><i>Oreomunnea pterocarpa</i> (II) #1</p>		<p>Walnuts, gavilan</p> <p>Gavilan</p>
LEGUMINOSAE (FABACEAE)	<p><i>Dalbergia nigra</i> (I)</p>	<p><i>Pericopsis elata</i> (II) #5</p> <p><i>Platymiscium pleiostachyum</i> (II) #1</p> <p><i>Pterocarpus santalinus</i> (II) #7</p>	<p><i>Dipteryx panamensis</i> (III Costa Rica)</p>	<p>Legumes</p> <p>Brazilian rosewood</p> <p>Almendro</p> <p>Afromosia</p> <p>Quira macawood</p> <p>Red sandalwood</p>

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LILIACEAE	Annex A	Annex B	Annex C	Common name
	<p><i>Aloe albida</i> (I)</p> <p><i>Aloe albiflora</i> (I)</p> <p><i>Aloe alfredii</i> (I)</p> <p><i>Aloe bakeri</i> (I)</p> <p><i>Aloe bellatula</i> (I)</p> <p><i>Aloe calcitrophila</i> (I)</p> <p><i>Aloe compressa</i> (I)</p> <p><i>Aloe delphinensis</i> (I)</p> <p><i>Aloe descoingsii</i> (I)</p> <p><i>Aloe fragilis</i> (I)</p> <p><i>Aloe havorthioides</i> (I)</p> <p><i>Aloe helenae</i> (I)</p> <p><i>Aloe laeta</i> (I)</p> <p><i>Aloe parallelifolia</i> (I)</p> <p><i>Aloe parvula</i> (I)</p> <p><i>Aloe pillansii</i> (I)</p> <p><i>Aloe polyphylla</i> (I)</p> <p><i>Aloe rauhii</i> (I)</p> <p><i>Aloe suzannae</i> (I)</p> <p><i>Aloe versicolor</i> (I)</p> <p><i>Aloe vossii</i> (I)</p>	<p><i>Aloe</i> spp. (II) (Except for the species included in Annex A and <i>Aloe vera</i>; also known as <i>Aloe barbadensis</i>, which is not included in the Annexes to this Regulation) #1</p>	<p><i>Magnolia liliifera</i> var. <i>obovata</i> (III Nepal) #1</p>	<p>Lilies</p> <p>Aloes</p> <p>Magnolias</p> <p>Safan</p>
MAGNOLIACEAE				

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	Annex A	Annex B	Annex C	Common name
MELIACEAE		<p><i>Swietenia humilis</i> (II) #1</p> <p><i>Swietenia mahagoni</i> (II) #5</p> <p><i>Swietenia macrophylla</i> (II) (Population of the Neotropics - includes Central and South America and the Caribbean) #6</p>	<i>Cedrela odorata</i> (III) Population of Colombia, Population of Peru) #5	<p>Mahoganies</p> <p>Honduras mahogany</p> <p>Caribbean mahogany</p> <p>Big-leaf mahogany</p>
NEPENTHACEAE	<p><i>Nepenthes khasiana</i> (I)</p> <p><i>Nepenthes rajah</i> (I)</p>	<p><i>Nepenthes</i> spp. (II) (Except for the species included in Annex A) #1</p>		<p>Pitcher plants (old-world)</p> <p>Tropical pitcher plants</p> <p>Indian pitcher plant</p> <p>Giant tropical pitcher plant</p>
ORCHIDACEAE	<p>For all of the following Annex A species, tissue cultures obtained in vitro, in solid or liquid media, transported in sterile containers, are not subject to the provisions of this Regulation)</p> <p><i>Aerangis ellisii</i> (I)</p> <p><i>Cephalanthera cucullata</i>(II)</p> <p><i>Cypripedium calceolus</i>(II)</p> <p><i>Dendrobium cruentum</i> (I)</p> <p><i>Goodyera macrophylla</i>(II)</p> <p><i>Laelia jongheana</i> (I)</p> <p><i>Laelia lobata</i> (I)</p> <p><i>Liparis loeselii</i>(II)</p> <p><i>Ophrys argolica</i>(II)</p>	<p><i>ORCHIDACEAE</i> spp. (II) (Except for the species included in Annex A) (10)#8</p>		<p>Orchids</p> <p>Orchids</p> <p>Hooded helleborine</p> <p>Lady's slipper orchid</p> <p>Madeiraan lady's-tresses</p> <p>Fen orchid</p> <p>Eyed bee orchid</p>



	Annex A	Annex B	Annex C	Common name
OROBANCHACEAE	<i>Ophrys lunulata</i> (II)			Crescent orchids
	<i>Orchis scopolorum</i> (II)			Madeira orchid
PALMAE (ARECACEAE)	<i>Paphiopedilum</i> spp. (I)			Asian slipper orchids
	<i>Peristeria elata</i> (I)			Holy ghost orchid
	<i>Phragmipedium</i> spp. (I)			South American slipper orchids
	<i>Renanthera imschootiana</i> (I)			Red vanda
PAPAVERACEAE	<i>Spiranthes aestivalis</i> (II)			Autumn lady's-tresses
		<i>Cistanche deserticola</i> (II) #1		Broomrapes
		<i>Beccariophoenix madagascariensis</i> (II)		Desert cistanche
		<i>Lenurophoenix halleuxii</i> (II)		Palms
		<i>Marojejya darianii</i> (II)		Butterfly palm
		<i>Neodypsis decaryi</i> (II) #1		Hovitra varimena
		<i>Ravenea louvelii</i> (II)		Ravimbe
		<i>Ravenea rivularis</i> (II)		Triangle palm
		<i>Satranala decussifoliae</i> (II)		Lakamarefo
		<i>Voanioala gerardii</i> (II)		Gora
PINACEAE				Satranabe
				Voanioala
PODOCARPACEAE				Poppies
	<i>Abies guatemalensis</i> (I)		<i>Meconopsis regia</i> (III Nepal) #1	Himalayan poppy
				Pine family
				Guatemalan fir
	<i>Podocarpus parlatorei</i> (I)		<i>Podocarpus neriifolius</i> (III Nepal) #1	Podocarps

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	Annex A	Annex B	Annex C	Common name
<i>PORTULACACEAE</i>		<i>Anacampseros</i> spp. (II) #1 <i>Avonia</i> spp. #1 <i>Lewisia serrata</i> (II) #1		Portulacas, purslanes Purselanes Saw-toothed lewisia
<i>PRIMULACEAE</i>		<i>Cyclamen</i> spp. (II) (1)#1		Primulas, cyclamens Cyclamens
<i>PROTEACEAE</i>		<i>Orothamnus zeyheri</i> (II) #1 <i>Protea odorata</i> (II) #1 <i>Adonis vernalis</i> (II) #2 <i>Hydrastis canadensis</i> (II) #3		Proteas Marsh-rose Ground-rose Buttercups Yellow adonis
<i>RANUNCULACEAE</i>		<i>Prunus africana</i> (II) #1		Roses, cherries African cherry
<i>ROSACEAE</i>				
<i>RUBIACEAE</i>				
<i>SARRACENIACEAE</i>	<i>Balmea stormiae</i> (I) <i>Sarracenia rubra</i> ssp. <i>alabamensis</i> (I) <i>Sarracenia rubra</i> ssp. <i>jonesii</i> (I) <i>Sarracenia oreophila</i> (I)	<i>Sarracenia</i> spp. (II) (Except for the species included on Annex A) #1		Ayugue Pitcher plants (new world) Pitcher plants Alabama canebrake pitcher plant
<i>SCROPHULARIACEAE</i>		<i>Picrorhiza kurrooa</i> (II) #3		Mountain sweet pitcher plant Green pitcher plant
<i>STANGERIACEAE</i>		<i>Bowenia</i> spp. (II) #1		Figworts Indian gentian
<i>TAXACEAE</i>	<i>Stangeria eritopus</i> (I)	<i>Taxus chinensis</i> (II) (12)#10		Stangerias (cycads) Cycads Stangeria Yews Chinese yew

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	Annex A	Annex B	Annex C	Common name
TROCHODENDRACEAE (TETRACENTRACEAE)		<i>Taxus cuspidata</i> (II) ⁽¹⁾ #10 <i>Taxus fuana</i> (II) ⁽²⁾ #10 <i>Taxus sumatrana</i> (II) ⁽¹⁾ #10 <i>Taxus wallichiana</i> (II) #10	<i>Tetracentron sinense</i> (III Nepal) #1	Japanese yew Tibetan yew Sumatran yew Himalayan yew Tetracentrons
THYMELEACEAE (AQUILARIACEAE)		<i>Aquilaria</i> spp. (II) #1 <i>Gonystylus</i> spp. (II) #1 <i>Gyrinops</i> spp. (II) #1		Agarwood, ramin
VALERIANACEAE		<i>Nardostachys grandiflora</i> #3		Agarwood Ramin Agarwood Valerians
WELWITSCHIACEAE		<i>Welwitschia mirabilis</i> (II) #1		Welwitschias
ZAMIACEAE	<i>Ceratozamia</i> spp. (I) <i>Chigua</i> spp. (I) <i>Encephalartos</i> spp. (I) <i>Microcycas calocoma</i> (I)	ZAMIACEAE spp. (II) (Except for the species included on Annex A) #1		Welwitschia Cycads Horncones
ZINGIBERACEAE		<i>Hedychium philippinense</i> (II) #1		Bread palms Palm corcho Ginger lilies
ZYGOPHYLLACEAE		<i>Guaiacum</i> spp. (II) #2		Philippine garland-flower Lignum-vitae Lignum-vitae

(1) All species are listed in Appendix II except *Lipotes vexillifer*, *Platanista* spp., *Berardius* spp., *Hyperoodon* spp., *Orcaella brevirostris*, *Physeter catodon* (includes synonym *Physeter macrocephalus*), *Sotalia* spp., *Soisa* spp., *Neophocaena phocaenoides*, *Phocoena sinus*, *Eschrichtius robustus* (includes synonym *Eschrichtius glaucus*), *Balaenoptera* spp. (except population of West Greenland of *Balaenoptera acutorostrata*), *Megaptera novaeangliae*, *Balaena mysticetus*, *Eubalaena* spp. (formerly included in genus *Balaena*) and *Caperea marginata* and *Caperea* spp. taken by the people of Greenland under licence granted by the competent authority concerned, shall be treated as belonging to Annex B. A zero annual export quota is established for live specimens from the Black Sea population of *Tursiops truncatus* removed from the wild and traded for primarily commercial purposes.



- (f) Populations of Botswana, Namibia and South Africa (listed in Annex B):
 For the exclusive purpose of allowing: 1) trade in hunting trophies for non-commercial purposes; 2) trade in live animals for in situ conservation programmes; 3) trade in hides; 4) trade in leather goods for non-commercial purposes for Botswana; for commercial or non-commercial purposes for Namibia and South Africa; 5) trade in hair for commercial or non-commercial purposes for Namibia; 6) trade in individually marked and certified ekipas incorporated in finished jewellery for non-commercial purposes for Namibia; 7) trade in registered raw ivory (for Botswana and Namibia, whole tusks and pieces; for South Africa, whole tusks and cut pieces of ivory that are both 20 cm or more in length and one kilogramme or more in weight) subject to the following: i) only registered government-owned stocks, originating in the State (excluding seized ivory and ivory of unknown origin) and, in the case of South Africa, only ivory originating from the Kruger National Park; ii) only to trading partners that have been verified by the Secretariat, in consultation with the Standing Committee, to have sufficient national legislation and domestic trade controls to ensure that the imported ivory will not be re-exported and will be managed in accordance with all requirements of Resolution Conf.10.10. (Rev. CoP12) concerning domestic manufacturing and trade; iii) not before the Secretariat has verified the prospective importing countries, and the MIKE programme has reported to the Secretariat on the baseline information (e.g. elephant population numbers, incidence of illegal killing); iv) a maximum of 20,000 kg (Botswana), 10,000 kg (Namibia) and 30,000 kg (South Africa) of ivory may be traded, and despatched in a single shipment under strict supervision of the Secretariat; v) the proceeds of the trade are used exclusively for elephant conservation and community conservation and development programmes within or adjacent to the elephant range; vi) only after the Standing Committee has agreed that the above conditions have been met. On a proposal from the Secretariat, the Standing Committee can decide to cease this trade to cease partially or completely in the event of non-compliance by exporting or importing countries or in the case of proven detrimental impacts of the trade on other elephant populations. All other specimens shall be deemed to be specimens of species included in Annex A and the trade in them shall be regulated accordingly.
- (g) Population of Zimbabwe (listed in Annex B):
 For the exclusive purpose of allowing: 1) export of hunting trophies for non-commercial purposes; 2) export of live animals to appropriate and acceptable destinations; 3) export of hides; 4) export of leather goods and ivory carvings for non-commercial purposes. All other specimens shall be deemed to be specimens of species included in Annex A and the trade in them shall be regulated accordingly. To ensure that where a) destinations for live animals are to be 'appropriate and acceptable' and/or b) the purpose of the import is to be 'non-commercial', export permits and re-export certificates may be issued only after the issuing Management Authority has received, from the Management Authority of the State of import, a certification to the effect that: in case a), in analogy to Article 4, 1(c) of this Regulation, the holding facility has been reviewed by the competent Scientific Authority, and the proposed recipient has been found to be suitably equipped to house and care for the animals; and/or in case b), in analogy to Article 4, paragraph 1(d), the Management Authority is satisfied that the specimens will not be used for primarily commercial purposes.
- (h) Population of Argentina (listed in Annex B):
 For the exclusive purpose of allowing international trade in wool sheared from live vicuñas of the populations included in Annex B, in cloth and in derived manufactured products and other handicraft artefacts. The reverse side of the cloth must bear the logotype adopted by the range States of the species, which are signatories to the Convenio para la Conservación y Manejo de la Vicuña, and the selvages the words 'VICUÑA-ARGENTINA'. Other products must bear a label including the logotype and the designation 'VICUÑA-ARGENTINA-ARTESANIA'. All other specimens shall be regulated accordingly.
- (i) Population of Bolivia (listed in Annex B):
 For the exclusive purpose of allowing international trade in products made from wool sheared from live vicuñas of the populations included in Annex B, in cloth and in derived manufactured products and other handicraft artefacts. The reverse side of the cloth must bear the logotype adopted by the range States of the species, which are signatories to the Convenio para la Conservación y Manejo de la Vicuña, and the selvages the words 'VICUÑA-BOLIVIA'. All other specimens shall be deemed to be specimens of species included in Annex A and the trade in them shall be regulated accordingly.
- (j) Population of Chile (listed in Annex B):
 For the exclusive purpose of allowing international trade in wool sheared from live vicuñas of the populations included in Annex B, and in cloth and items made thereof, including luxury handicrafts and knitted articles. The reverse side of the cloth must bear the logotype adopted by the range States of the species, which are signatories to the Convenio para la Conservación y Manejo de la Vicuña, and the selvages the words 'VICUÑA-CHILE-ARTESANIA'. All other specimens shall be deemed to be specimens of species included in Annex A and the trade in them shall be regulated accordingly.
- (k) Population of Peru (listed in Annex B):
 For the exclusive purpose of allowing international trade in wool sheared from live vicuñas of the populations included in Annex B, and in the stock extant at the time of the ninth meeting of the Conference of the Parties (November 1994) of 3249 kg of wool, and in cloth and items made thereof, including luxury handicrafts and knitted articles. The reverse side of the cloth must bear the logotype adopted by the range States of the species, which are signatories to the Convenio para la Conservación y Manejo de la Vicuña, and the selvages the words 'VICUÑA-PERU'. Other products must bear a label including the logotype and the designation 'VICUÑA-PERU-ARTESANIA'. All other specimens shall be deemed to be specimens of species included in Annex A and the trade in them shall be regulated accordingly.
- (l) The following are not subject to the provisions of this Regulation:
 Fossils
 Coral sand, that is to say material consisting entirely or in part of finely crushed fragments of dead coral no larger than 2 mm in diameter and which may also contain, amongst other things, the remains of Foraminifera, mollusc and crustacean shell, and coralline algae
 Coral fragments (including gravel and rubble), that is to say unconsolidated fragments of broken finger-like dead coral and other material between 2 and 30 mm in diameter.
 Artificially propagated specimens of the following hybrids and/or cultivars are not subject to the provisions of this Regulation:
- *Hatiora x graeseri*
 - *Schlumbergera x buckleyi*
 - *Schlumbergera russelliana x Schlumbergera truncata*
 - *Schlumbergera orssichiana x Schlumbergera truncata*
 - *Schlumbergera opuntioides x Schlumbergera truncata*
 - *Schlumbergera truncata* (cultivars)



- *Cactaceae* spp. *colour mutants* lacking chlorophyll, grafted on the following grafting stocks: *Harrisia* 'Jusbertii', *Hylocereus trigonus* or *Hylocereus undatus*
- *Opuntia microdasys* (cultivars)

(10) Artificially propagated specimens of hybrids of the genera *Cymbidium*, *Dendrobium*, *Phalaenopsis*, and *Vanda* are not subject to the provisions of the Regulation when: a) specimens are traded in shipments consisting of individual containers (i.e. cartons, boxes, or crates) containing 20 or more plants of the same hybrid each; and b) the plants within each container that can be readily recognized as artificially propagated specimens, exhibiting a high degree of uniformity and healthiness; and c) shipments are accompanied by documentation, such as an invoice, which clearly states the number of plants of each hybrid.

Artificially propagated specimens of the following hybrids:

Cymbidium: Interspecific hybrids within the genus and intergeneric hybrids

Dendrobium: Interspecific hybrids within the genus known in horticulture as 'nobile-types' and 'phalaenopsis-types'

Phalaenopsis: Interspecific hybrids within the genus and intergeneric hybrids

Vanda: Interspecific hybrids within the genus and intergeneric hybrids are not subject to the provisions of the Regulation when: a) they are traded in flowering state, i.e. with at least one open flower per specimen, with reflexed petals; b) they are professionally processed for commercial retail sale, e.g. labelled with printed labels and packaged with printed packages; c) they can be readily recognized as artificially propagated specimens by exhibiting a high degree of cleanliness, undamaged inflorescences, intact root systems and general absence of damage or injury that could be attributable to plants originating in the wild; d) plants do not exhibit characteristics of wild origin, such as damage by insects or other animals, fungi or algae adhering to leaves, or mechanical damage to inflorescences, roots, leaves or other parts resulting from collection; and e) labels or packages indicate the trade name of the specimen, the country of artificial propagation or, in case of international trade during the production process, the country where the specimen was labelled and packaged; and labels or packages show a photograph of the flower, or demonstrate by other means the appropriate use of labels and packages in an easily verifiable way.

Plants not clearly qualifying for the exemption must be accompanied by appropriate CITES documents.

(11) Artificially propagated specimens of cultivars of *Cyclamen persicum* are not subject to the provisions of this Regulation. However, the exemption does not apply to such specimens traded as dormant tubers.

(12) Exempt: whole artificially propagated plants in pots or other small containers, each consignment being accompanied by a label or document stating the name of the taxon or taxa and the text 'artificially propagated'.

	<i>Annex D</i>	<i>Common name</i>
CARNIVORA		
<i>Canidae</i>		
	FAUNA	
	CHORDATA (CHORDATES)	
	MAMMALIA	
	Mammals	
	<i>Vulpes vulpes griffithi</i> (III India) § 1	Dogs, foxes, wolves
	<i>Vulpes vulpes montana</i> (III India) § 1	Red fox
	<i>Vulpes vulpes pusilla</i> (III India) § 1	Red fox
	<i>Mustela altaica</i> (III India) § 1	Red fox
<i>Mustelidae</i>	<i>Mustela erminea ferghanae</i> (III India) § 1	Mountain weasel
	<i>Mustela kathiah</i> (III India) § 1	Stoat
	<i>Mustela sibirica</i> (III India) § 1	Yellow-bellied weasel
		Siberian weasel
	AVES	
	Birds	
ANSERIFORMES		
<i>Anatidae</i>		
	<i>Anas melleri</i>	Ducks, geese, swans
		Meller's duck
GALLIFORMES		
<i>Megapodiidae</i>		
	<i>Megapodius wallacei</i>	Megapodes, scrubfowl
		Moluccan scrubfowl
<i>Cracidae</i>		
	<i>Penelope pileata</i>	Chachalas, currassows, guans
		White-crested guan
<i>Phasianidae</i>		
	<i>Arborophila gingica</i>	Grouse, guinea fowl, partridges, pheasants, tragopans
	<i>Symnaticus reevesii</i> § 2	White-necklaced partridge
		Reeves's pheasant
COLUMBIFORMES		
<i>Columbidae</i>		
	<i>Columba oenops</i>	Doves, pigeons
		Peruvian pigeon

	<i>Annex D</i>	<i>Common name</i>
	<i>Ducula pickeringii</i>	Grey imperial-pigeon
	<i>Galliolumba criniger</i>	Mindanao bleeding-heart
	<i>Ptilinopus marchei</i>	Flame-breasted fruit-dove
	<i>Turacoena modesta</i>	Black cuckoo-dove
<i>PASSERIFORMES</i>		
<i>Cotingidae</i>		Cotingas
	<i>Procnias nudicollis</i>	Bare-throated bellbird
<i>Pittidae</i>		Pittas
	<i>Pitta nipalensis</i>	Blue-naped pitta
	<i>Pitta steerii</i>	Azure-breasted pitta
<i>Bombycillidae</i>		Waxwings
	<i>Bombycilla japonica</i>	Japanese waxwing
<i>Muscicapidae</i>		Old-world flycatchers
	<i>Cochoa azurea</i>	Javan cochoa
	<i>Cochoa purpurea</i>	Purple cochoa
	<i>Garrulax formosus</i>	Red-winged laughingthrush
	<i>Garrulax galbanus</i>	Yellow-throated laughingthrush
	<i>Garrulax milnei</i>	Red-tailed laughing thrush
	<i>Niltava davidi</i>	Fujian niltava
	<i>Stachyris whiteheadi</i>	Chestnut-faced babbler
	<i>Swynnertonia swynnertoni</i> (Also referenced as <i>Pogonicichla swynnertoni</i>)	Swynnerton's robin
	<i>Turdus dissimilis</i>	Black-breasted thrush
<i>Sittidae</i>		Nuthatches
	<i>Sitta magna</i>	Giant nuthatch
	<i>Sitta yunnanensis</i>	Yunnan nuthatch
<i>Emberizidae</i>		Cardinals, seedeaters, tanagers
	<i>Dacnis nigripes</i>	Black-legged dacnis
	<i>Sporophila falcirostris</i>	Temminck's seedeater

	<i>Annex D</i>	<i>Common name</i>
<i>Icteridae</i>	<i>Sporophila frontalis</i> <i>Sporophila hypochroma</i> <i>Sporophila palustris</i>	Buffy-throated seedeater Grey-and-chestnut seedeater Marsh seedeater
<i>Fringillidae</i>	<i>Sturnella militaris</i> <i>Carpodacus roborowskii</i> <i>Carduelis ambigua</i> <i>Carduelis atrata</i> <i>Pyrrhula erythaca</i> <i>Serinus canicollis</i> <i>Serinus hypostictus</i> (Frequently traded as <i>Serinus citrinelloides</i>)	New-world blackbirds Pampas meadow/lark Finches Tibetan rosefinch Black-headed greenfinch Black siskin Grey-headed bullfinch Cape canary East African citril Mannikins, waxbills
<i>Estrildidae</i>	<i>Amandava amandava</i> <i>Cryptospiza reichenovii</i> <i>Erythrura coloria</i> <i>Erythrura viridifacies</i> <i>Estrilda quarinia</i> (Frequently traded as <i>Estrilda melanotis</i>) <i>Hypargos niveoguttatus</i> <i>Lonchura griseicapilla</i> <i>Lonchura punctulata</i> <i>Lonchura stygia</i>	Red avadavat Red-faced crimson-wing Red-eared parrotfinch Green-faced parrotfinch Yellow-bellied waxbill Peters's twinspot Grey-headed silverbill Scaly-breasted munia Black munia
<i>Sturnidae</i>	<i>Cosmopsarus regius</i> <i>Mino dumontii</i> <i>Sturnus erythropygius</i>	Mynahs, starlings Golden-breasted starling Yellow-faced myna White-headed starling
<i>Corvidae</i>	<i>Cyanocorax caeruleus</i> <i>Cyanocorax dickeyi</i>	Crows, magpies, jays Azure jay Tufted jay



MII

	Annex D	Common name
<i>TESTUDINATA</i>		
<i>Emydidae</i>		Box turtles, freshwater turtles Indian black turtle
<i>SAURIA</i>		
<i>Gekkonidae</i>	<i>Melanocheilus trijuga</i>	Geckos New Caledonia bumpy gecko Guichenot's giant gecko New Caledonia giant gecko Small-scaled wonder gecko Common wonder gecko
<i>Scincidae</i>	<i>Rhacodactylus auriculatus</i> <i>Rhacodactylus ciliatus</i> <i>Rhacodactylus leachianus</i> <i>Teratoscincus microlepis</i> <i>Teratoscincus scincus</i>	Skinks Crocodile skink New Guinea helmet skink
<i>Cordylidae</i>	<i>Tribolonotus gracilis</i> <i>Tribolonotus novaeguineae</i>	Spiny-tailed lizards Karsten's girdled lizard Four-lined girdled lizard
<i>Colubridae</i>	<i>Zonosaurus karsteni</i> <i>Zonosaurus quadrilineatus</i>	
	<i>Elaphe carinata</i> § 1 <i>Elaphe radiata</i> § 1 <i>Elaphe taeniura</i> § 1 <i>Enhydryis bocourti</i> § 1 <i>Homalopsis buccata</i> § 1 <i>Langaha nasuta</i> <i>Leioheterodon madagascariensis</i> <i>Ptyas korros</i> § 1 <i>Rhabdophis subminiatus</i> § 1	Typical snakes, water snakes, whip snakes Taiwan stink snake Radiated rat snake Taiwan beauty snake Bocourt's water snake Masked water snake Northern leafnose snake Madagascar menarana snake Indian rat snake Redneck keelback

REPTILIA

Reptiles

▼ M11

	<i>Annex D</i>	<i>Common name</i>
<i>Viperidae</i>		Vipers
	<i>Calloselasma rhodostoma</i> § 1	Malayan pit viper
<i>Hydrophiidae</i>		Sea snakes
	<i>Lapemis curtus</i> (Includes <i>Lapemis hardwickii</i>) § 1	Shaw's sea snake
<i>AGAVACEAE</i>	FLORA	Agaves
	<i>Calibanus hookeri</i>	
	<i>Dasyilirion longissimum</i>	Beargrass
<i>ARACEAE</i>		Arums
	<i>Arisaema dracontium</i>	Green dragon
	<i>Arisaema erubescens</i>	
	<i>Arisaema galeatum</i>	
	<i>Arisaema nepenthoides</i>	
	<i>Arisaema sikokianum</i>	
	<i>Arisaema thunbergii</i> var. <i>urashima</i>	
	<i>Arisaema tortuosum</i>	
	<i>Biarum davisii</i> ssp. <i>marmarisense</i>	
	<i>Biarum ditschianum</i>	
<i>COMPOSITAE</i> (<i>ASTERACEAE</i>)		Asters, daisies, costus
	<i>Arnica montana</i> § 3	Mountain tobacco
	<i>Othonna cacalioides</i>	
	<i>Othonna clavifolia</i>	
	<i>Othonna hallii</i>	
	<i>Othonna herrei</i>	
	<i>Othonna lepidocaulis</i>	
	<i>Othonna retrorsa</i>	
<i>ERICACEAE</i>	<i>Arctostaphylos uva-ursi</i> § 3	Heathers, rhododendrons Bearberry

▼ M11

	<i>Annex D</i>	<i>Common name</i>
<i>GENTIANACEAE</i>	<i>Gentiana lutea</i> § 3	Gentians Great yellow gentian
<i>LYCOPODIACEAE</i>	<i>Lycopodium clavatum</i> § 3	Clubmosses Staghorn clubmoss
<i>MENYANTHACEAE</i>	<i>Menyanthes trifoliata</i> § 3	Bogbeans Bogbean
<i>PARMELLACEAE</i>	<i>Cetraria islandica</i> § 3	Parmelioid lichens Icelandic moss
<i>PASSIFLORACEAE</i>	<i>Adenia glauca</i> <i>Adenia pechuelli</i>	Desert roses Desert rose Desert rose
<i>PORTULACACEAE</i>	<i>Ceraria carrissoana</i> <i>Ceraria fruticulosa</i>	Portulacs, purslanes
<i>LILIACEAE</i>	<i>Trillium pusillum</i> <i>Trillium rugelii</i> <i>Trillium sessile</i>	Lilies
<i>PEDALIACEAE</i>	<i>Harpagophytum</i> spp. § 3	Wakerobin wood-lily Sesame, devil's claw Devil's claw
<i>SELAGINELLACEAE</i>	<i>Selaginella lepidophylla</i>	Club mosses, spike mosses Rose of Jericho