
DRAFT STATUTORY INSTRUMENTS

2024 No.

The Biodiversity Gain Site Register (Financial Penalties and Fees) Regulations 2024

PART 2

Financial penalties

Applications under the Biodiversity Gain Site Register Regulations 2024: penalty for supply of false or misleading information

3. The register operator may impose a financial penalty of £5000 on a person in accordance with this Part where the register operator is satisfied, on the balance of probabilities, that false or misleading information has been or was supplied to it by that person in connection with an application referred to in regulation 7(1) of the Biodiversity Gain Site Register Regulations 2024 (applications to register land in the biodiversity gain site register) made by that person.

Notice of intent to be given before imposing penalty

4.—(1) Before imposing a financial penalty on a person under this Part, the register operator must give that person notice of its intention to do so (a “notice of intent”).

(2) A notice of intent given to a person must—

- (a) inform the person that the register operator is satisfied as specified in regulation 3;
- (b) specify the information which the register operator is satisfied was false or misleading;
- (c) identify the application in connection with which that information has been or was supplied;
- (d) inform the person that the register operator intends to impose a financial penalty of £5000 on the person under this Part;
- (e) give details of the person’s right under regulation 5 to object to the imposition of the penalty.

Right to object to proposed financial penalty

5.—(1) A person to whom a notice of intent is given under regulation 4 may, within the period of 28 days beginning with the day after the day on which the notice is given, object to the imposition of the penalty to which the notice relates on the grounds that—

- (a) the information specified under regulation 4(2)(b) in the notice of intent was not false or misleading, or
- (b) there are other compelling reasons why the penalty should not be imposed.

(2) An objection under this regulation must—

- (a) be made in writing to the register operator, and
- (b) include any evidence which supports the objection.

Final decision to impose financial penalty

6.—(1) Where the register operator has given a notice of intent to a person under regulation 4, the register operator may impose a financial penalty on that person if it decides to do so within—

- (a) the period of 56 days beginning with the day on which the register operator receives an objection made in accordance with regulation 5, or
- (b) if no such objection is received, the period of 56 days beginning with the day after the day on which the period mentioned in regulation 5(1) ended.

(2) A financial penalty is imposed on a person under this Part by giving notice to that person informing that person of the register operator's decision to impose the penalty and requiring the penalty to be paid (a "final penalty notice").

(3) A final penalty notice must require the penalty to be paid within the period of 28 days beginning with the day after the day on which the final penalty notice was given.

(4) A final penalty notice must—

- (a) state that the amount of the penalty is £5000;
- (b) state the reasons for the imposition of the penalty;
- (c) state the period within which the penalty must be paid;
- (d) explain the consequences of not paying the penalty within that period;
- (e) provide information about how to pay the penalty;
- (f) contain information about the right of appeal under regulation 8.

Withdrawal of notice of intent or final penalty notice

7.—(1) The register operator may, at any time, withdraw a notice of intent or final penalty notice.

(2) The power in paragraph (1) is to be exercised by giving notice to the person to whom the notice of intent or final penalty notice was given.

Right of appeal against final penalty notice

8.—(1) A person on whom a financial penalty is imposed under regulation 6 may appeal to the First-tier Tribunal against the register operator's decision to impose the penalty.

(2) If a person appeals under this regulation, the final penalty notice is suspended until the appeal is finally determined or withdrawn.

(3) An appeal under this regulation—

- (a) is to be a re-hearing of the register operator's decision, but
- (b) may be determined having regard to matters of which the register operator was unaware.

(4) On an appeal under this regulation, the First-tier Tribunal may either quash or confirm the final penalty notice.

Penalty recoverable as a debt

9. A financial penalty imposed under this Part is recoverable as a civil debt due to the register operator.

Proceeds to be paid into the Consolidated Fund

10. The register operator must pay the proceeds of a financial penalty imposed under this Part into the Consolidated Fund.

General provision about notices under this Part

- 11.—(1) In this Part, “notice” means notice in writing.
- (2) A notice under this Part may be given to a person by—
- (a) sending it by post to the person at the person’s proper address, or
 - (b) sending it to the person by email to the person’s appropriate email address.
- (3) A notice given to a person by sending it to that person by email is to be treated as having been given to that person 24 hours after it was sent.
- (4) For the purposes of paragraph (2)—
- (a) a person’s proper address for a notice sent by post is (subject to paragraph (5)) the address which was included under regulation 8(2)(b) of the Biodiversity Gain Site Register Regulations 2024 in the application to which the notice relates;
 - (b) a person’s appropriate email address for a notice sent by email is (subject to paragraph (6)) the email address included under regulation 8(2)(c) of the Biodiversity Gain Site Register Regulations 2024 in the application to which the notice relates.
- (5) Where, since making the application to which the notice relates, the person who made the application has notified the register operator in writing of a change of address for—
- (a) receipt of notices sent by post under the Biodiversity Gain Site Register Regulations 2024 in connection with that application, or
 - (b) receipt of notices relating to that application sent by post under these Regulations,
- the person’s proper address for a notice under this Part relating to that application is whichever postal address that person has most recently provided in writing to the register operator, whether for receipt of notices in connection with that application or for receipt of notices under these Regulations relating to that application, or both.
- (6) Where, since making the application to which the notice relates, the person who made the application has notified the register operator in writing of a change of address for—
- (a) receipt of notices sent by email under the Biodiversity Gain Site Register Regulations 2024 in connection with that application, or
 - (b) receipt of notices relating to that application sent by email under these Regulations,
- the person’s appropriate email address for a notice under this Part relating to that application is whichever email address that person has most recently provided in writing to the register operator, whether for receipt of notices in connection with that application or for receipt of notices under these Regulations relating to that application, or both.
- (7) For the purposes of this regulation, a notice relates to an application if it relates to a financial penalty under this Part for the supply of false or misleading information in connection with the application.