

# Trade Union and Labour Relations (Consolidation) Act 1992

## **1992 CHAPTER 52**

#### PART IV

INDUSTRIAL RELATIONS

#### CHAPTER I

COLLECTIVE BARGAINING

## Introductory

# 178 Collective agreements and collective bargaining.

- (1) In this Act "collective agreement" means any agreement or arrangement made by or on behalf of one or more trade unions and one or more employers or employers' associations and relating to one or more of the matters specified below; and "collective bargaining" means negotiations relating to or connected with one or more of those matters.
- (2) The matters referred to above are—
  - (a) terms and conditions of employment, or the physical conditions in which any workers are required to work;
  - (b) engagement or non-engagement, or termination or suspension of employment or the duties of employment, of one or more workers;
  - (c) allocation of work or the duties of employment between workers or groups of workers;
  - (d) matters of discipline;
  - (e) a worker's membership or non-membership of a trade union;
  - (f) facilities for officials of trade unions; and

- (g) machinery for negotiation or consultation, and other procedures, relating to any of the above matters, including the recognition by employers or employers' associations of the right of a trade union to represent workers in such negotiation or consultation or in the carrying out of such procedures.
- (3) In this Act "recognition", in relation to a trade union, means the recognition of the union by an employer, or two or more associated employers, to any extent, for the purpose of collective bargaining; and "recognised" and other related expressions shall be construed accordingly.

# Enforceability of collective agreements

## 179 Whether agreement intended to be a legally enforceable contract.

- (1) A collective agreement shall be conclusively presumed not to have been intended by the parties to be a legally enforceable contract unless the agreement—
  - (a) is in writing, and
  - (b) contains a provision which (however expressed) states that the parties intend that the agreement shall be a legally enforceable contract.
- (2) A collective agreement which does satisfy those conditions shall be conclusively presumed to have been intended by the parties to be a legally enforceable contract.
- (3) If a collective agreement is in writing and contains a provision which (however expressed) states that the parties intend that one or more parts of the agreement specified in that provision, but not the whole of the agreement, shall be a legally enforceable contract, then—
  - (a) the specified part or parts shall be conclusively presumed to have been intended by the parties to be a legally enforceable contract, and
  - (b) the remainder of the agreement shall be conclusively presumed not to have been intended by the parties to be such a contract.
- (4) A part of a collective agreement which by virtue of subsection (3)(b) is not a legally enforceable contract may be referred to for the purpose of interpreting a party of the agreement which is such a contract.

## 180 Effect of provisions restricting right to take industrial action.

- (1) Any terms of a collective agreement which prohibit or restrict the right of workers to engage in a strike or other industrial action, or have the effect of prohibiting or restricting that right, shall not form part of any contract between a worker and the person for whom he works unless the following conditions are met.
- (2) The conditions are that the collective agreement—
  - (a) is in writing,
  - (b) contains a provision expressly stating that those terms shall or may be incorporated in such a contract,
  - (c) is reasonably accessible at his place of work to the worker to whom it applies and is available for him to consult during working hours, and
  - (d) is one where each trade union which is a party to the agreement is an independent trade union;

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and that the contract with the worker expressly or impliedly incorporates those terms in the contract.

(3) The above provisions have effect notwithstanding anything in section 179 and notwithstanding any provision to the contrary in any agreement (including a collective agreement or a contract with any worker).

Disclosure of information for purposes of collective bargaining

# 181 General duty of employers to disclose information.

(1) An employer who recognises an independent trade union shall, for the purposes of all stages of collective bargaining about matters, and in relation to descriptions of workers, in respect of which the union is recognised by him, disclose to representatives of the union, on request, the information required by this section.

In this section and sections 182 to 185 "representative", in relation to a trade union, means an official or other person authorised by the union to carry on such collective bargaining.

- (2) The information to be disclosed is all information relating to the employer's undertaking [F1(including information relating to use of agency workers in that undertaking)] which is in his possession, or that of an associated employer, and is information—
  - (a) without which the trade union representatives would be to a material extent impeded in carrying on collective bargaining with him, and
  - (b) which it would be in accordance with good industrial relations practice that he should disclose to them for the purposes of collective bargaining.
- (3) A request by trade union representatives for information under this section shall, if the employer so requests, be in writing or be confirmed in writing.
- (4) In determining what would be in accordance with good industrial relations practice, regard shall be had to the relevant provisions of any Code of Practice issued by ACAS, but not so as to exclude any other evidence of what that practice is.
- (5) Information which an employer is required by virtue of this section to disclose to trade union representatives shall, if they so request, be disclosed or confirmed in writing.

#### **Textual Amendments**

F1 Words in s. 181(2) inserted (1.10.2011) by The Agency Workers Regulations 2010 (S.I. 2010/93), reg. 1(1), Sch. 2 para. 3

# **Modifications etc. (not altering text)**

- C1 S. 181 modified (E.W.) (2.3.1998) by S.I. 1998/218, art. 3, Sch. S. 181 modified (1.9.1999) by S.I. 1999/2256, art. 3, Sch.
- C2 Ss. 181-185 modified (E.) (1.9.2003) by The Education (Modification of Enactments Relating to Employment) (England) Order 2003 (S.I. 2003/1964), art. 3, Sch.
- C3 Ss. 181-185 modified (W.) (12.5.2006) by The Education (Modification of Enactments Relating to Employment) (Wales) Order 2006 (S.I. 2006/1073), art. 3, Sch.

# 182 Restrictions on general duty.

- (1) An employer is not required by section 181 to disclose information—
  - (a) the disclosure of which would be against the interests of national security, or
  - (b) which he could not disclose without contravening a prohibition imposed by or under an enactment, or
  - (c) which has been communicated to him in confidence, or which he has otherwise obtained in consequence of the confidence reposed in him by another person, or
  - (d) which relates specifically to an individual (unless that individual has consented to its being disclosed), or
  - (e) the disclosure of which would cause substantial injury to his undertaking for reasons other than its effect on collective bargaining, or
  - (f) obtained by him for the purpose of bringing, prosecuting or defending any legal proceedings.

In formulating the provisions of any Code of Practice relating to the disclosure of information, ACAS shall have regard to the provisions of this subsection.

- (2) In the performance of his duty under section 181 an employer is not required—
  - (a) to produce, or allow inspection of, any document (other than a document prepared for the purpose of conveying or confirming the information) or to make a copy of or extracts from any document, or
  - (b) to compile or assemble any information where the compilation or assembly would involve an amount of work or expenditure out of reasonable proportion to the value of the information in the conduct of collective bargaining.

#### **Modifications etc. (not altering text)**

- C4 S. 182 modified (E.W.) (2.3.1998) by S.I. 1998/218, art. 3, Sch. S. 182 modified (1.9.1999) by S.I. 1999/2256, art. 3, Sch.
- C5 Ss. 181-185 modified (E.) (1.9.2003) by The Education (Modification of Enactments Relating to Employment) (England) Order 2003 (S.I. 2003/1964), art. 3, Sch.
- C6 Ss. 181-185 modified (W.) (12.5.2006) by The Education (Modification of Enactments Relating to Employment) (Wales) Order 2006 (S.I. 2006/1073), art. 3, Sch.

## 183 Complaint of failure to disclose information.

- (1) A trade union may present a complaint to the Central Arbitration Committee that an employer has failed—
  - (a) to disclose to representatives of the union information which he was required to disclose to them by section 181, or
  - (b) to confirm such information in writing in accordance with that section.

The complaint must be in writing and in such form as the Committee may require.

(2) If on receipt of a complaint the Committee is of the opinion that it is reasonably likely to be settled by conciliation, it shall refer the complaint to ACAS and shall notify the trade union and employer accordingly, whereupon ACAS shall seek to promote a settlement of the matter.

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If a complaint so referred is not settled or withdrawn and ACAS is of the opinion that further attempts at conciliation are unlikely to result in a settlement, it shall inform the Committee of its opinion.

- (3) If the complaint is not referred to ACAS or, if it is so referred, on ACAS informing the Committee of its opinion that further attempts at conciliation are unlikely to result in a settlement, the Committee shall proceed to hear and determine the complaint and shall make a declaration stating whether it finds the complaint well-founded, wholly or in part, and stating the reasons for its findings.
- (4) On the hearing of a complaint any person who the Committee considers has a proper interest in the complaint is entitled to be heard by the Committee, but a failure to accord a hearing to a person other than the trade union and employer directly concerned does not affect the validity of any decision of the Committee in those proceedings.
- (5) If the Committee finds the complaint wholly or partly well-founded, the declaration shall specify—
  - (a) the information in respect of which the Committee finds that the complaint is well founded,
  - (b) the date (or, if more than one, the earliest date) on which the employer refused or failed to disclose or, as the case may be, to confirm in writing, any of the information in question, and
  - (c) a period (not being less than one week from the date of the declaration) within which the employer ought to disclose that information, or, as the case may be, to confirm it in writing.
- (6) On a hearing of a complaint under this section a certificate signed by or on behalf of a Minister of the Crown and certifying that a particular request for information could not be complied with except by disclosing information the disclosure of which would have been against the interests of national security shall be conclusive evidence of that fact.

A document which purports to be such a certificate shall be taken to be such a certificate unless the contrary is proved.

#### **Modifications etc. (not altering text)**

- C7 S. 183 modified (E.W.) (2.3.1998) by S.I. 1998/218, art. 3, **Sch.** S. 183 modified (1.9.1999) by S.I. 1999/2256, art. 3, **Sch.**
- C8 Ss. 181-185 modified (E.) (1.9.2003) by The Education (Modification of Enactments Relating to Employment) (England) Order 2003 (S.I. 2003/1964), art. 3, Sch.
- C9 Ss. 181-185 modified (W.) (12.5.2006) by The Education (Modification of Enactments Relating to Employment) (Wales) Order 2006 (S.I. 2006/1073), art. 3, Sch.

#### 184 Further complaint of failure to comply with declaration.

(1) After the expiration of the period specified in a declaration under section 183(5)(c) the trade union may present a further complaint to the Central Arbitration Committee that the employer has failed to disclose or, as the case may be, to confirm in writing to representatives of the union information specified in the declaration.

The complaint must be in writing and in such form as the Committee may require.

- (2) On receipt of a further complaint the Committee shall proceed to hear and determine the complaint and shall make a declaration stating whether they find the complaint well-founded, wholly or in part, and stating the reasons for their finding.
- (3) On the hearing of a further complaint any person who the Committee consider has a proper interest in that complaint shall be entitled to be heard by the Committee, but a failure to accord a hearing to a person other than the trade union and employer directly concerned shall not affect the validity of any decision of the Committee in those proceedings.
- (4) If the Committee find the further complaint wholly or partly well-founded the declaration shall specify the information in respect of which the Committee find that that complaint is well-founded.

## **Modifications etc. (not altering text)**

- C10 S. 184 modified (E.W.) (2.3.1998) by S.I. 1998/218, art. 3, Sch. S. 184 modified (1.9.1999) by S.I. 1999/2256, art. 3, Sch.
- C11 Ss. 181-185 modified (E.) (1.9.2003) by The Education (Modification of Enactments Relating to Employment) (England) Order 2003 (S.I. 2003/1964), art. 3, Sch.
- C12 Ss. 181-185 modified (W.) (12.5.2006) by The Education (Modification of Enactments Relating to Employment) (Wales) Order 2006 (S.I. 2006/1073), art. 3, Sch.

#### 185 Determination of claim and award.

- (1) On or after presenting a further complaint under section 184 the trade union may present to the Central Arbitration Committee a claim, in writing, in respect of one or more descriptions of employees (but not workers who are not employees) specified in the claim that their contracts should include the terms and conditions specified in the claim.
- (2) The right to present a claim expires if the employer discloses or, as the case may be, confirms in writing, to representatives of the trade union the information specified in the declaration under section 183(5) or 184(4); and a claim presented shall be treated as withdrawn if the employer does so before the Committee make an award on the claim.
- (3) If the Committee find, or have found, the further complaint wholly or partly well-founded, they may, after hearing the parties, make an award that in respect of any description of employees specified in the claim the employer shall, from a specified date, observe either—
  - (a) the terms and conditions specified in the claim; or
  - (b) other terms and conditions which the Committee consider appropriate.

The date specified may be earlier than that on which the award is made but not earlier than the date specified in accordance with section 183(5)(b) in the declaration made by the Committee on the original complaint.

- (4) An award shall be made only in respect of a description of employees, and shall comprise only terms and conditions relating to matters in respect of which the trade union making the claim is recognised by the employer.
- (5) Terms and conditions which by an award under this section an employer is required to observe in respect of an employee have effect as part of the employee's contract

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of employment as from the date specified in the award, except in so far as they are superseded or varied—

- (a) by a subsequent award under this section,
- (b) by a collective agreement between the employer and the union for the time being representing that employee, or
- (c) by express or implied agreement between the employee and the employer so far as that agreement effects an improvement in terms and conditions having effect by virtue of the award.

## (6) Where—

- (a) by virtue of any enactment, other than one contained in this section, providing for minimum remuneration or terms and conditions, a contract of employment is to have effect as modified by an award, order or other instrument under that enactment, and
- (b) by virtue of an award under this section any terms and conditions are to have effect as part of that contract,

that contract shall have effect in accordance with that award, order or other instrument or in accordance with the award under this section, whichever is the more favourable, in respect of any terms and conditions of that contract, to the employee.

(7) No award may be made under this section in respect of terms and conditions of employment which are fixed by virtue of any enactment.

## **Modifications etc. (not altering text)**

- C13 S. 185 modified (E.W.) (2.3.1998) by S.I. 1998/218, art. 3, Sch. S. 185 modified (1.9.1999) by S.I. 1999/2256, art. 3, Sch.
- C14 Ss. 181-185 modified (E.) (1.9.2003) by The Education (Modification of Enactments Relating to Employment) (England) Order 2003 (S.I. 2003/1964), art. 3, Sch.
- C15 Ss. 181-185 modified (W.) (12.5.2006) by The Education (Modification of Enactments Relating to Employment) (Wales) Order 2006 (S.I. 2006/1073), art. 3, Sch.

# Prohibition of union recognition requirements

## 186 Recognition requirement in contract for goods or services void.

A term or condition of a contract for the supply of goods or services is void in so far as it purports to require a party to the contract—

- (a) to recognise one or more trade unions (whether or not named in the contract) for the purpose of negotiating on behalf of workers, or any class of worker, employed by him, or
- (b) to negotiate or consult with, or with an official of, one or more trade unions (whether or not so named).

# 187 Refusal to deal on grounds of union exclusion prohibited.

(1) A person shall not refuse to deal with a supplier or prospective supplier of goods or services if the ground or one of the grounds for his action is that the person against whom it is taken does not, or is not likely to—

- (a) recognise one or more trade unions for the purpose of negotiating on behalf of workers, or any class of worker, employed by him, or
- (b) negotiate or consult with, or with an official of, one or more trade unions.
- (2) A person refuses to deal with a person if—
  - (a) where he maintains (in whatever form) a list of approved suppliers of goods or services, or of persons from whom tenders for the supply of goods or services may be invited, he fails to include the name of that person in that list; or
  - (b) in relation to a proposed contract for the supply of goods or services—
    - (i) he excludes that person from the group of persons from whom tenders for the supply of the goods or services are invited, or
    - (ii) he fails to permit that person to submit such a tender; or
    - [F2(iii)] he otherwise determines not to enter into acontract with that person for the supply of the goods or services. [F3 or
  - (c) he terminates a contract with that person for the supply of goods or services.
- (3) The obligation to comply with this section is a duty owed to the person with whom there is a refusal to deal and to any other person who may be adversely affected by its contravention; and a breach of the duty is actionable accordingly (subject to the defences and other incidents applying to actions for breach of statutory duty).

#### **Textual Amendments**

- F2 S. 187(2): by 1993 c. 19, s. 49(1), Sch. 7 para.23 it is provided (30.8.1993) that para. (c) shall become sub para. (iii) of para. (b); S.I. 1993/1908, art. 2(1), Sch. 1
- **F3** S. 187(2)(c) and word preceding it inserted (30.8.1993) by 1993 c. 19, s. 49(1), **Sch. 7 para.23**; S.I. 1993/1908, art. 2(1), **Sch. 1**

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Changes and effects yet to be applied to the whole Act associated Parts and Chapters: Whole provisions yet to be inserted into this Act (including any effects on those provisions):

s. 212A(1)(zb) inserted by 2023 c. 46 Sch. para. 1