



Financial Services Act 2012

2012 CHAPTER 21

PART 2

AMENDMENTS OF FINANCIAL SERVICES AND MARKETS ACT 2000

Discipline and enforcement

37 Discipline and enforcement

- (1) Schedule 9 contains miscellaneous amendments of FSMA 2000 relating to discipline and enforcement.
- (2) If the Treasury consider that it is in the public interest to do so, the Treasury may by order—
 - (a) amend section 391 of FSMA 2000 by substituting for subsections (1) to (1ZB) the following—

“(1) Neither the regulator giving a warning notice nor a person to whom it is given or copied may publish the notice or any details concerning it.”, and
 - (b) repeal section 395(1)(d) and (2)(b) and (c) of that Act.

Commencement Information

- I1** S. 37 in force at 24.1.2013 for specified purposes by S.I. 2013/113, art. 2(1)(c), **Sch. Pt. 3**
- I2** S. 37 in force at 24.1.2013 for specified purposes by S.I. 2013/113, art. 2(1)(b), **Sch. Pt. 2**
- I3** S. 37(1) in force at 1.4.2013 in so far as not already in force by S.I. 2013/423, art. 3, **Sch.**
- I4** S. 37(2) in force at 1.4.2013 by S.I. 2013/423, art. 3, **Sch.**

Changes to legislation:

Financial Services Act 2012, Cross Heading: Discipline and enforcement is up to date with all changes known to be in force on or before 21 April 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations.

[View outstanding changes](#)

Changes and effects yet to be applied to the whole Act associated Parts and Chapters:

- Act power to apply conferred (temp.) by [2014 c. 21 s. 79\(4\)](#)
- Act power to apply conferred (temp.) by [2014 c. 21 s. 81\(10\)](#)