

**Changes to legislation:** Financial Services Act 2012, Cross Heading: Companies Act 2006 (c. 46) is up to date with all changes known to be in force on or before 10 April 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details) View outstanding changes

## SCHEDULES

### SCHEDULE 18

#### FURTHER MINOR AND CONSEQUENTIAL AMENDMENTS

#### PART 2

#### AMENDMENTS OF OTHER ACTS OF PARLIAMENT

##### *Companies Act 2006 (c. 46)*

110 The Companies Act 2006 is amended as follows.

##### **Commencement Information**

**I1** Sch. 18 para. 110 in force at 1.4.2013 by S.I. 2013/423, art. 3, Sch.

111 In section 384 (companies excluded from small companies regime), in subsection (2)(c), for “Part 4” substitute “ Part 4A ”.

##### **Commencement Information**

**I2** Sch. 18 para. 111 in force at 1.4.2013 by S.I. 2013/423, art. 3, Sch.

112 (1) Section 461 (permitted disclosure of information obtained under compulsory powers) is amended as follows.

(2) In subsection (3), for paragraph (e) and the “or” following it, substitute—

- (e) “(e) the Financial Conduct Authority,
- (ea) the Prudential Regulation Authority, or”.

(3) In subsection (4)(g), for “Financial Services Authority” substitute “ Financial Conduct Authority or the Prudential Regulation Authority ”.

##### **Commencement Information**

**I3** Sch. 18 para. 112 in force at 1.4.2013 by S.I. 2013/423, art. 3, Sch.

113 In section 467 (companies excluded from being treated as medium-sized), in subsection (1)(b)(i) and (2)(c), for “Part 4” substitute “ Part 4A ”.

##### **Commencement Information**

**I4** Sch. 18 para. 113 in force at 1.4.2013 by S.I. 2013/423, art. 3, Sch.

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- 114 In section 470 (power to apply provisions to banking partnerships), in subsection (2), for “Part 4” substitute “ Part 4A ”.

**Commencement Information**

**I5** Sch. 18 para. 114 in force at 1.4.2013 by S.I. 2013/423, art. 3, Sch.

- 115 In section 474 (definitions), in subsection (1), in the definition of “e-money issuer”, for “Part 4” substitute “ Part 4A ”.

**Commencement Information**

**I6** Sch. 18 para. 115 in force at 1.4.2013 by S.I. 2013/423, art. 3, Sch.

- 116 In section 539 (definitions), in subsection (1), in the definition of “e-money issuer”, for “Part 4” substitute “ Part 4A ”.

**Commencement Information**

**I7** Sch. 18 para. 116 in force at 1.4.2013 by S.I. 2013/423, art. 3, Sch.

- 117 (1) Section 843 (realised profits and losses of long-term insurance business) is amended as follows.
- (2) In subsection (2)(b), for “section 142(2)” substitute “ Part 9A ”.
- (3) In subsection (6)(a), for “Part 10” substitute “ Part 9A ”.

**Commencement Information**

**I8** Sch. 18 para. 117 in force at 1.4.2013 by S.I. 2013/423, art. 3, Sch.

- 118 In section 948 (restrictions on disclosure), in subsection (7)—
- (a) for paragraph (a) substitute—
- “(a) the Financial Conduct Authority;  
 (aa) the Prudential Regulation Authority;  
 (ab) the Bank of England;”, and
- (b) in paragraph (c), for “Financial Services Authority” substitute “ Financial Conduct Authority or the Prudential Regulation Authority or similar to the regulatory functions of the Bank of England ”.

**Commencement Information**

**I9** Sch. 18 para. 118 in force at 1.4.2013 by S.I. 2013/423, art. 3, Sch.

- 119 In section 950 (Panel on Takeovers and Mergers: duty of co-operation), in subsection (1)—
- (a) for paragraph (a) substitute—
- “(a) the Financial Conduct Authority;  
 (aa) the Prudential Regulation Authority;

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- (ab) the Bank of England;” and
- (b) in paragraph (c), for “Financial Services Authority” substitute “ Financial Conduct Authority or the Prudential Regulation Authority or similar to the regulatory functions of the Bank of England ”.

**Commencement Information**

**I10** Sch. 18 para. 119 in force at 1.4.2013 by S.I. 2013/423, art. 3, Sch.

- 120 In section 995 (petition by Secretary of State), in subsection (1)—
- (a) in paragraph (c), for “or the Financial Services Authority” substitute “ , the Financial Conduct Authority, the Prudential Regulation Authority or the Bank of England ”, and
- (b) in paragraph (d), for “or the Financial Services Authority” substitute “ , the Financial Conduct Authority, the Prudential Regulation Authority or the Bank of England ”.

**Commencement Information**

**I11** Sch. 18 para. 120 in force at 1.4.2013 by S.I. 2013/423, art. 3, Sch.

- 121 In section 1164 (meaning of “banking company” and “banking group”), in subsection (2), for “Part 4” substitute “ Part 4A ”.

**Commencement Information**

**I12** Sch. 18 para. 121 in force at 1.4.2013 by S.I. 2013/423, art. 3, Sch.

- 122 In section 1165 (meaning of “insurance company” etc.), in subsection (2), for “Part 4” substitute “ Part 4A ”.

**Commencement Information**

**I13** Sch. 18 para. 122 in force at 1.4.2013 by S.I. 2013/423, art. 3, Sch.

- 123 (1) Schedule 2 (specified persons and descriptions of disclosure for the purposes of section 948) is amended as follows.
- (2) In Part 1, in section (A) (United Kingdom), for paragraph 5 substitute—
- “**5** The Financial Conduct Authority.
- 5A** The Prudential Regulation Authority.”
- (3) In Part 2, in section (A) (United Kingdom)—
- (a) in paragraph 11, for “paragraph 7 of Schedule 1 to the Financial Services and Markets Act 2000” substitute “ section 84 of the Financial Services Act 2012 ”,
- (b) in paragraph 12, for “section 15 of the Financial Services and Markets Act 2000” substitute “ section 69 of the Financial Services Act 2012 ”,
- (c) in paragraph 37, for “Financial Services Authority” substitute “ Financial Conduct Authority or the Prudential Regulation Authority ”,

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- (d) in paragraph 49, for paragraph (a) substitute—
  - “(a) a decision of the Financial Conduct Authority;
  - (aa) a decision of the Prudential Regulation Authority;”.
- (4) In Part 3, in paragraph 1(2), for “Financial Services Authority” substitute “ Financial Conduct Authority or the Prudential Regulation Authority or similar to the regulatory functions of the Bank of England ”.

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**Commencement Information**

**I14** Sch. 18 para. 123 in force at 1.4.2013 by S.I. 2013/423, art. 3, Sch.

- 124 (1) Schedule 11A (specified persons, description, disclosures etc. for the purposes of section 1224A) is amended as follows.
- (2) In Part 1, for paragraph 5 substitute—
    - “5 The Financial Conduct Authority.
    - 5A The Prudential Regulation Authority.”
  - (3) In Part 2—
    - (a) in paragraph 28, for “paragraph 7 of Schedule 1 to the Financial Services and Markets Act 2000” substitute “ section 84 of the Financial Services Act 2012 ”,
    - (b) in paragraph 29, for “section 15 of the Financial Services and Markets Act 2000 (c. 8)” substitute “ section 69 of the Financial Services Act 2012 ”,
    - (c) in paragraph 52, for “Financial Services Authority” substitute “ Financial Conduct Authority or the Prudential Regulation Authority ”, and
    - (d) in paragraph 71, for paragraph (a) substitute—
      - “(a) a decision of the Financial Conduct Authority;
      - (aa) a decision of the Prudential Regulation Authority;”.

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**Commencement Information**

**I15** Sch. 18 para. 124 in force at 1.4.2013 by S.I. 2013/423, art. 3, Sch.

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**Changes and effects yet to be applied to the whole Act associated Parts and Chapters:**

- Act power to apply conferred (temp.) by [2014 c. 21 s. 79\(4\)](#)
- Act power to apply conferred (temp.) by [2014 c. 21 s. 81\(10\)](#)