
Changes to legislation: Financial Services Act 2012, Paragraph 40 is up to date with all changes known to be in force on or before 11 April 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details) View outstanding changes

SCHEDULES

SCHEDULE 4

EEA PASSPORT RIGHTS AND TREATY RIGHTS

PART 4

AMENDMENTS OF PART 13 OF FSMA 2000: POWERS OF INTERVENTION

- 40 (1) Section 199A (management companies: loss of authorisation) is amended as follows.
- (2) In subsection (2), for “Authority”, in both places, substitute “appropriate regulator”.
- (3) For subsection (4) substitute—
- “ (4) In this section—
- “the appropriate regulator” means whichever of the FCA and the PRA is the competent authority for the purposes of the UCITS directive;
- “collective investment scheme” has the same meaning as in Part 17.”

Commencement Information

- 11** Sch. 4 para. 40 in force at 27.2.2013 for specified purposes by S.I. 2013/423, art. 2
- 12** Sch. 4 para. 40 in force at 1.4.2013 in so far as not already in force by S.I. 2013/423, art. 3, Sch.

Changes to legislation:

Financial Services Act 2012, Paragraph 40 is up to date with all changes known to be in force on or before 11 April 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations.

[View outstanding changes](#)

Changes and effects yet to be applied to the whole Act associated Parts and Chapters:

- Act power to apply conferred (temp.) by [2014 c. 21 s. 79\(4\)](#)
- Act power to apply conferred (temp.) by [2014 c. 21 s. 81\(10\)](#)