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**Changes to legislation:** Financial Services Act 2012, Paragraph 15 is up to date with all changes known to be in force on or before 24 April 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details) View outstanding changes

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## SCHEDULES

### SCHEDULE 5

#### PERFORMANCE OF REGULATED ACTIVITIES

- 15 (1) Section 67 (disciplinary measures: procedure and right to refer to Tribunal) is amended as follows.
- (2) In subsections (1) and (4), for “the Authority” substitute “ a regulator ”.
- (3) In subsection (7)—
- (a) for “the Authority”, in the first place, substitute “ a regulator ”, and
  - (b) for “the Authority”, in the second place, substitute “ the regulator ”.
- (4) In subsection (9)—
- (a) for “an approved person (“A”),” substitute “ a person (“A”) in relation to whom approval has been given, ”, and
  - (b) omit the second sentence.

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#### Commencement Information

**II** Sch. 5 para. 15 in force at 1.4.2013 by S.I. 2013/423, art. 3, Sch.

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**Changes and effects yet to be applied to the whole Act associated Parts and Chapters:**

- Act power to apply conferred (temp.) by [2014 c. 21 s. 79\(4\)](#)
- Act power to apply conferred (temp.) by [2014 c. 21 s. 81\(10\)](#)