
Changes to legislation: Financial Services Act 2012, Paragraph 11 is up to date with all changes known to be in force on or before 18 April 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details) [View outstanding changes](#)

SCHEDULES

SCHEDULE 6

CONTROL OF BUSINESS TRANSFERS

- 11 (1) Paragraph 2 is amended as follows.
- (2) In the following places, for “Authority” substitute “ appropriate regulator ”
- (a) sub-paragraph (1)(b);
 - (b) in the first place in sub-paragraph (3).
- (3) In sub-paragraph (3), after “transferred” insert “ certification ”.
- (4) In sub-paragraph (6)(c), for “, the Authority” substitute “—
- (i) the PRA, if the transferee is a PRA-authorized person with a Part 4A permission or with permission under Schedule 4;
 - (ii) the FCA, if the transferee is a person with a Part 4A permission or with permission under Schedule 4 but is not a PRA-authorized person.”

Commencement Information

- 11** [Sch. 6 para. 11](#) in force at 1.4.2013 by [S.I. 2013/423](#), art. 3, [Sch.](#)

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Changes and effects yet to be applied to the whole Act associated Parts and Chapters:

- Act power to apply conferred (temp.) by [2014 c. 21 s. 79\(4\)](#)
- Act power to apply conferred (temp.) by [2014 c. 21 s. 81\(10\)](#)