
Changes to legislation: Financial Services Act 2012, Paragraph 33 is up to date with all changes known to be in force on or before 06 April 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details) View outstanding changes

SCHEDULES

SCHEDULE 9

DISCIPLINE AND ENFORCEMENT

PART 6

NOTICE PROCEDURES

- 33 (1) Section 394 (access to Authority material) is amended as follows.
- (2) In subsection (1)—
- (a) in the opening words, for “the Authority” substitute “ a regulator ”, and
 - (b) in paragraph (b), for “, in the opinion of the Authority,” substitute “ , in the regulator’s opinion, ”.
- (3) In subsection (2), for “the Authority”, in both places, substitute “ the regulator giving the notice ”.
- (4) In subsection (3), for “The Authority” substitute “ The regulator giving the notice ”.
- (5) In subsection (4)—
- (a) for “the Authority” substitute “ the regulator giving the notice ”, and
 - (b) for “the Authority’s” substitute “the regulator’s”.
- (6) In subsection (5), for “the Authority” substitute “ the regulator giving the notice ”.
- (7) In subsection (6)—
- (a) in paragraph (a), for “the Authority” substitute “ the regulator giving the notice ”, and
 - (b) in paragraph (b), for “the Authority in connection with the matter to which the notice to which this section applies” substitute “ the regulator giving the notice in connection with the matter to which that notice ”.
- (8) In the heading, for “Authority” substitute “ FCA or PRA ”.

Commencement Information

II Sch. 9 para. 33 in force at 1.4.2013 by S.I. 2013/423, art. 3, Sch.

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Changes and effects yet to be applied to the whole Act associated Parts and Chapters:

- Act power to apply conferred (temp.) by [2014 c. 21 s. 79\(4\)](#)
- Act power to apply conferred (temp.) by [2014 c. 21 s. 81\(10\)](#)