

SCHEDULES

SCHEDULE 9

DISCIPLINE AND ENFORCEMENT

PART 6

NOTICE PROCEDURES

- 33 (1) Section 394 (access to Authority material) is amended as follows.
- (2) In subsection (1)—
- (a) in the opening words, for “the Authority” substitute “a regulator”, and
 - (b) in paragraph (b), for “, in the opinion of the Authority,” substitute “, in the regulator’s opinion,”.
- (3) In subsection (2), for “the Authority”, in both places, substitute “the regulator giving the notice”.
- (4) In subsection (3), for “The Authority” substitute “The regulator giving the notice”.
- (5) In subsection (4)—
- (a) for “the Authority” substitute “the regulator giving the notice”, and
 - (b) for “the Authority’s” substitute “the regulator’s”.
- (6) In subsection (5), for “the Authority” substitute “the regulator giving the notice”.
- (7) In subsection (6)—
- (a) in paragraph (a), for “the Authority” substitute “the regulator giving the notice”, and
 - (b) in paragraph (b), for “the Authority in connection with the matter to which the notice to which this section applies” substitute “the regulator giving the notice in connection with the matter to which that notice”.
- (8) In the heading, for “Authority” substitute “FCA or PRA”.