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SCHEDULES

SCHEDULE 1

MONETARY PENALTY NOTICES

PART 1

MONETARY PENALTY NOTICES

Consultation requirements before service of monetary penalty notices

- 4 (1) The Commissioner must proceed in accordance with sub-paragraphs (2) to (7) before serving a monetary penalty notice on a person.
- (2) The Commissioner must serve a notice of intent on the person.
- (3) A notice of intent is a notice that the Commissioner proposes to serve a monetary penalty notice on the person.
- (4) A notice of intent served on a person must, in particular—
- (a) state the name and address of the person,
 - (b) state the grounds on which the Commissioner proposes to serve the monetary penalty notice,
 - (c) provide an indication of the amount of the monetary penalty that the Commissioner proposes to impose and the Commissioner's grounds for deciding that amount,
 - (d) state whether the monetary penalty notice is to include any enforcement obligation and, if so, what the obligation is and the grounds for including it,
 - (e) state the date on which the Commissioner proposes to serve the monetary penalty notice,
 - (f) inform the person that the person may make written representations in relation to the Commissioner's proposal within a period specified in the notice, and
 - (g) inform the person that the person may, within a period specified in the notice, request an oral hearing before the Commissioner in order to make representations of the kind mentioned in sub-paragraph (6)(b).
- (5) No period specified as mentioned in sub-paragraph (4)(f) or (g) may be less than 21 days beginning with the day after the day on which the notice is served.
- (6) Where the person has requested an oral hearing within the period specified for the purpose in the notice—
- (a) the Commissioner must arrange such a hearing, and
 - (b) the person may make representations at the hearing about—
 - (i) any matter falling within section 7(3)(c), or

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- (ii) any other matter relating to the Commissioner's proposal which, by virtue of section 56, the person would be unable to raise on an appeal under paragraph 8.
- (7) The Commissioner must consider any representations which have been made by the person in accordance with the notice or sub-paragraph (6).
- (8) If the Commissioner decides not to serve a monetary penalty notice on a person as a result of any representations which have been made by the person in accordance with a notice of intent or sub-paragraph (6), the Commissioner must inform the person of that fact.

Commencement Information

II Sch. 1 para. 4 in force at 27.6.2018 by S.I. 2018/652, reg. 7(g)

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Changes and effects yet to be applied to the whole Act associated Parts and Chapters:

Whole provisions yet to be inserted into this Act (including any effects on those provisions):

- Pt. 7A inserted by [2024 c. 9 s. 2](#)
- Pt. 7B inserted by [2024 c. 9 s. 5](#)
- s. 2(1)(ja)(jb) inserted by [2024 c. 9 s. 6\(3\)\(c\)](#)
- s. 11(3A)(3B) inserted by [2024 c. 9 s. 12\(3\)](#)
- s. 12(2B)-(2D) inserted by [2024 c. 9 s. 14\(4\)](#)
- s. 12(5A)(5B) inserted by [2024 c. 9 s. 14\(6\)](#)
- s. 26(2)(a) words renumbered as s. 26(2)(a) by [2024 c. 9 s. 22\(2\)\(a\)](#)
- s. 26(2)(b) and word inserted by [2024 c. 9 s. 22\(2\)\(b\)](#)
- s. 26(2A)-(2F) inserted by [2024 c. 9 s. 22\(3\)](#)
- s. 58(4)(e) inserted by [2023 c. 41 Sch. 13 para. 9](#)
- s. 62(5A)-(5C) inserted by [2024 c. 9 s. 15\(4\)](#)
- s. 87(4)(aa) inserted by [2024 c. 9 s. 16\(2\)\(c\)](#)
- s. 87(4A) inserted by [2024 c. 9 s. 16\(3\)](#)
- s. 87(6A)(6B) inserted by [2024 c. 9 s. 20\(2\)](#)
- s. 90(4)-(4B) substituted for s. 90(4) by [2024 c. 9 s. 18\(2\)\(a\)](#)
- s. 90(5A) inserted by [2024 c. 9 s. 18\(2\)\(c\)](#)
- s. 90(9A)(9B) inserted by [2024 c. 9 s. 18\(2\)\(d\)](#)
- s. 90(11A) inserted by [2024 c. 9 s. 18\(2\)\(f\)](#)
- s. 90(14)-(16) inserted by [2024 c. 9 s. 18\(2\)\(g\)](#)
- s. 94A inserted by [2024 c. 9 s. 20\(4\)](#)
- s. 111(3)(a) words renumbered as s. 111(3)(a) by [2024 c. 9 s. 23\(2\)\(a\)](#)
- s. 111(3)(b) and word inserted by [2024 c. 9 s. 23\(2\)\(b\)](#)
- s. 111(6)(a) words renumbered as s. 111(6)(a) by [2024 c. 9 s. 23\(3\)\(a\)](#)
- s. 111(6)(b) and word inserted by [2024 c. 9 s. 23\(3\)\(b\)](#)
- s. 111(7A)-(7E) inserted by [2024 c. 9 s. 23\(4\)](#)
- s. 121(4) inserted by [2024 c. 9 s. 25](#)
- s. 195195A substituted for s. 195 by [2024 c. 9 s. 27\(2\)](#)
- s. 200(1)(a) words renumbered as s. 200(1)(a) by [2024 c. 9 s. 1\(4\)\(a\)\(i\)](#)
- s. 200(1)(b) and word inserted by [2024 c. 9 s. 1\(4\)\(a\)\(ii\)](#)
- s. 200(2)(a) words renumbered as s. 200(2)(a) by [2024 c. 9 s. 1\(4\)\(b\)\(i\)](#)
- s. 200(2)(b) and word inserted by [2024 c. 9 s. 1\(4\)\(b\)\(ii\)](#)
- s. 202(5) inserted by [2024 c. 9 s. 4\(2\)\(c\)](#)
- s. 206(8) inserted by [2024 c. 9 s. 4\(3\)\(b\)](#)
- s. 219(9) inserted by [2024 c. 9 s. 4\(4\)\(c\)](#)
- s. 220(6) inserted by [2024 c. 9 s. 1\(7\)\(b\)](#)
- s. 220(7) inserted by [2024 c. 9 s. 4\(5\)\(b\)](#)
- s. 225(4)(a) words renumbered as s. 225(4)(a) by [2024 c. 9 s. 1\(8\)\(a\)\(i\)](#)
- s. 225(4)(b) and word inserted by [2024 c. 9 s. 1\(8\)\(a\)\(ii\)](#)
- s. 225(13)(a) words renumbered as s. 225(13)(a) by [2024 c. 9 s. 1\(8\)\(b\)\(i\)](#)
- s. 225(13)(b) and word inserted by [2024 c. 9 s. 1\(8\)\(b\)\(ii\)](#)
- s. 225(15) inserted by [2024 c. 9 s. 4\(6\)\(c\)](#)
- s. 227(6A)(6B) inserted by [2024 c. 9 s. 7\(2\)](#)
- s. 227(8)-(8D) substituted for s. 227(8)(9) by [2024 c. 9 s. 8\(2\)](#)
- s. 227(10A) inserted by [2024 c. 9 s. 8\(4\)](#)
- s. 227(14) inserted by [2024 c. 9 s. 8\(6\)](#)
- s. 228(6) inserted by [2024 c. 9 s. 7\(3\)](#)
- s. 228A inserted by [2024 c. 9 s. 9](#)
- s. 229(3E) inserted by [2024 c. 9 s. 10\(2\)\(b\)](#)

- s. 229(8)(fb) inserted by 2024 c. 9 s. 27(3)
- s. 230(1)(d) and word inserted by 2024 c. 9 s. 10(3)(b)
- s. 231(10) inserted by 2024 c. 9 s. 10(4)(b)
- s. 235A inserted by 2024 c. 9 s. 11(1)
- s. 255(5A)(5B) inserted by 2024 c. 9 s. 20(6)
- s. 256A inserted by 2024 c. 9 s. 20(7)
- s. 257(3)-(3B) substituted for s. 257(3) by 2024 c. 9 s. 18(5)(a)
- s. 257(4A) inserted by 2024 c. 9 s. 18(5)(c)
- s. 257(8A)(8B) inserted by 2024 c. 9 s. 18(5)(d)
- s. 257(10A) inserted by 2024 c. 9 s. 18(5)(f)
- s. 257(13)-(15) inserted by 2024 c. 9 s. 18(5)(g)
- s. 258A258B inserted by 2024 c. 9 s. 21(2)
- s. 261(5A)(5B) inserted by 2024 c. 9 s. 13(3)
- s. 261(10)(c) and word inserted by 2024 c. 9 s. 19(2)(b)
- s. 267(3)(ja) inserted by 2024 c. 9 s. 21(3)(b)
- s. 267(5)(aa) inserted by 2024 c. 9 s. 14(8)
- Sch. 2A inserted by 2024 c. 9 s. 14(9)
- Sch. 3 para. 12A and cross-heading inserted by 2024 c. 9 s. 28(2)
- Sch. 3 para. 2526 inserted by 2024 c. 9 s. 28(3)