
STATUTORY INSTRUMENTS

2001 No. 3625

FINANCIAL SERVICES AND MARKETS

The Financial Services and Markets Act
2000 (Control of Business Transfers)
(Requirements on Applicants) Regulations 2001

<i>Made</i>	- - - -	<i>7th November 2001</i>
<i>Laid before Parliament</i>		<i>8th November 2001</i>
<i>Coming into force</i>		<i>1st December 2001</i>

**THE FINANCIAL SERVICES AND MARKETS ACT
2000 (CONTROL OF BUSINESS TRANSFERS)
(REQUIREMENTS ON APPLICANTS) REGULATIONS 2001**

1. Citation, commencement and interpretation
 2. Meaning of “commitment”
 3. Transfer of an insurance business
 4. (1) Subject to paragraph (2), the court may not determine...
 5. Transfer of a banking business
 6. (1) Subject to paragraph (2), the court may not determine...
- Signature
Explanatory Note

Changes to legislation:

There are outstanding changes not yet made by the legislation.gov.uk editorial team to The Financial Services and Markets Act 2000 (Control of Business Transfers) (Requirements on Applicants) Regulations 2001. Any changes that have already been made by the team appear in the content and are referenced with annotations.

[View outstanding changes](#)

Changes and effects yet to be applied to :

- Regulations applied by S.I. 2001/3626 arts. 3-5
- reg. 1(2) words inserted by S.I. 2009/1390 reg. 2(a)
- reg. 1(2) words omitted by S.I. 2019/632 reg. 183(2)
- reg. 2 omitted by S.I. 2019/632 reg. 183(3)
- reg. 2 words substituted by S.I. 2004/3379 reg. 20
- reg. 2 words substituted by S.I. 2015/575 Sch. 2 para. 15
- reg. 3 modified by S.I. 2019/710 Sch. para. 458
- reg. 3(2)(a) substituted by S.I. 2019/632 reg. 183(4)
- reg. 3(2)(a)(iii) words inserted by S.I. 2007/3255 reg. 2(2)(b)
- reg. 3(2)(a)(ii) word omitted by S.I. 2007/3255 reg. 2(2)(a)
- reg. 3(3)(a) words substituted by S.I. 2013/472 Sch. 2 para. 62(a)
- reg. 3(5) words substituted by S.I. 2013/472 Sch. 2 para. 62(b)
- reg. 3(6) words substituted by S.I. 2011/1265 art. 20
- reg. 3(6) words substituted by S.I. 2013/472 Sch. 2 para. 62(c)
- reg. 4(1) words inserted by S.I. 2007/3255 reg. 2(3)
- reg. 4(1)(b) words substituted by S.I. 2013/472 Sch. 2 para. 62(a)
- reg. 4(2) words substituted by S.I. 2007/3255 reg. 2(4)
- reg. 4(2) words substituted by S.I. 2008/1467 reg. 2(c)
- reg. 4(2) words substituted by S.I. 2019/632 reg. 183(5)(a)
- reg. 5 heading words substituted by S.I. 2009/1390 reg. 2(b)
- reg. 5(1) words inserted by S.I. 2009/1390 reg. 2(c)
- reg. 5(3)(a) words substituted by S.I. 2013/472 Sch. 2 para. 62(a)
- reg. 5(5) words substituted by S.I. 2013/472 Sch. 2 para. 62(d)
- reg. 6(1) words inserted by S.I. 2009/1390 reg. 2(d)
- reg. 6(1)(b) words substituted by S.I. 2013/472 Sch. 2 para. 62(a)

Changes and effects yet to be applied to the whole Instrument associated Parts and Chapters:

Whole provisions yet to be inserted into this Instrument (including any effects on those provisions):

- reg. 3(2)(a)(iv) inserted by S.I. 2007/3255 reg. 2(2)(c)
- reg. 3(2)(a)(iv) word omitted by S.I. 2008/1467 reg. 2(a)
- reg. 3(2)(c) and word added by S.I. 2008/1467 reg. 2(b)
- reg. 4(3) inserted by S.I. 2007/3255 reg. 2(5)
- reg. 4(3) omitted by S.I. 2019/632 reg. 183(5)(b)