
STATUTORY INSTRUMENTS

2006 No. 2383

FINANCIAL SERVICES AND MARKETS

**The Financial Services and Markets Act 2000
(Regulated Activities) (Amendment) (No.2) Order 2006**

Approved by both Houses of Parliament

Made - - - - 12th September 2006

Laid before Parliament 13th September 2006

Coming into force in accordance with article 1(2)

**THE FINANCIAL SERVICES AND MARKETS ACT 2000
(REGULATED ACTIVITIES) (AMENDMENT) (NO.2) ORDER 2006**

PART 1

1. Citation and commencement

PART 2

2. Amendments of the Financial Services and Markets Act 2000 (Regulated Activities) Order 2001
3. Definitions
4. Arranging regulated home reversion plans and regulated home purchase plans
5. Exclusion of arrangements not causing a deal
6. Exclusion where a person provides a means of communication between parties
7. Exclusion where the arranger is a party to the contract or plan
8. Exclusion of arrangements where transaction is with or through an authorised person
9. Exclusion made in the course of administration by an authorised person
10. Exclusion of arrangements which consist of introduction to an authorised person etc. for independent advice
11. Exclusion of certain other arrangements consisting of an introduction to an authorised person etc.
12. Other exclusions: arranging
13. Advising on regulated home reversion plans and regulated home purchase plans

Status: This is the original version (as it was originally made). This item of legislation is currently only available in its original format.

14. Exclusion of advice given in newspapers etc.
15. Exclusion of advice given in the course of administration by authorised person
16. Other exclusions: advising
17. Regulated mortgage contracts
18. Entering into and administering regulated home reversion plans and regulated home purchase plans
19. Exclusion of trustees, nominees and personal representatives
20. Exclusion of activities carried on in the course of a profession or non-investment business
21. Overseas persons
22. Business Angel-led Enterprise Capital Funds: interpretation
23. Specified investments
24. Rights to or interests in investments

PART 3

25. Amendments of the Consumer Credit Act 1974
26. Amendments of the Companies Act 1985
27. Amendments of the Law of Property (Miscellaneous Provisions) Act 1989
28. Amendment of the Financial Services and Markets Act 2000

PART 4

29. Amendments of the Financial Services and Markets Act 2000 (Carrying on Regulated Activities by Way of Business) Order 2001
30. Amendments of the Financial Services and Markets Act 2000 (Exemption) Order 2001
31. Amendments of the Financial Services and Markets Act 2000 (Appointed Representatives) Regulations 2001
32. Amendments of the Financial Services and Markets Act 2000 (Professions) (Non-Exempt Activities) Order 2001
33. Amendment of the Money Laundering Regulations 2003
34. Amendments of the Consumer Credit (Advertisement) Regulations 2004
35. Amendments of the Financial Services and Markets Act 2000 (Financial Promotion) Order 2005

PART 5

36. Interpretation
37. Interim permission
38. Interim approval
39. Application of the Authority's rules etc. to persons with an interim permission or an interim approval
40. Application of the Act etc.
Signature

SCHEDULE — APPLICATION OF THE ACT AND THE REGULATED ACTIVITIES ORDER TO PERSONS WITH AN INTERIM PERMISSION OR AN INTERIM APPROVAL

1. Paragraphs 2 and 3 apply to every person with an...

2. For the purposes of section 20 (authorised persons acting without...
3. A person's interim permission is to be disregarded for the...
4. Paragraphs 5(1) and 6 to 10 apply to a person...
5. (1) A person with an interim permission is to be...
6. For the purposes of section 21(2) (restrictions on financial promotion),...
7. A person with an interim permission may still be an...
8. Subsection (3)(a) of section 213 (the compensation scheme) does not...
9. In article 29 of the Regulated Activities Order (arranging deals...
10. In sub-paragraph (a) of both paragraphs (2) and (3) of...

Explanatory Note