

**2014 No. 1447 (C. 55)**

**FINANCIAL SERVICES AND MARKETS**

**The Financial Services Act 2012 (Commencement No. 4) Order  
2014**

*Made* - - - -

*4th June 2014*

The Treasury makes the following Order in exercise of the powers conferred by section 122(3) and (4) of the Financial Services Act 2012(a).

**Citation and interpretation**

**1.**—(1) This Order may be cited as the Financial Services Act 2012 (Commencement No. 4) Order 2014.

(2) In this Order—

“the Act” means the Banking Act 2009(b); and

“group companies” means banking group companies within the meaning given by section 81D of the Act(c).

**Provisions of the Financial Services Act 2012 coming into force on 5th June 2014**

**2.** 5th June 2014 is the appointed day for the coming into force of the following provisions of the Financial Services Act 2012 for the purpose of making orders or regulations—

- (a) section 100(1);
- (b) section 100(5) so far as it inserts—
  - (i) section 81C(2) of the Act, but only for the purpose of enabling the power conferred by section 47 of the Act to be exercised in relation to group companies;
  - (ii) section 81D of the Act;
- (c) section 100(6) and (7);
- (d) section 101(1);
- (e) section 101(5) so far as it inserts section 89A(1) of the Act, but only for the purpose of enabling the powers conferred by sections 47, 60 and 81D(1) of the Act to be exercised in relation to—
  - (i) investment firms;
  - (ii) companies in the same group as an investment firm which are group companies;
- (f) section 101(7), (8) and (9);
- (g) section 102(1); and

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(a) 2012 c.21.

(b) 2009 c. 1.

(c) Section 81D is inserted by the Financial Services Act 2012, section 100(5).

- (h) section 102(6) so far as it inserts—
  - (i) section 89B(1) of the Act<sup>(a)</sup>, but only for the purpose of enabling the powers conferred by sections 47, 48 and 81D(1) of the Act to be exercised in relation to—
    - (aa) recognised central counterparties;
    - (bb) companies in the same group as a recognised central counterparty which are group companies;
  - (ii) section 89G of the Act<sup>(b)</sup>.

*David Evennett*  
*Anne Milton*

4th June 2014

Two of the Lords Commissioners of Her Majesty's Treasury

### **EXPLANATORY NOTE**

*(This note is not part of the Order)*

This Order brings into force sections 100, 101 and 102 of the Financial Services Act 2012 (c.21) (“the Act”) on 5th June 2014 for the purposes of making orders or regulations. This is the fourth commencement order to be made under the Act.

This Order will have no effect on the costs of business and the voluntary sector independent of the provision this Order brings into force. For that reason, no impact assessment has been produced for this instrument.

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(a) Section 89B was amended by S.I. 2013/504.  
(b) Section 89G was amended by S.I. 2013/504.

## NOTE AS TO EARLIER COMMENCEMENT ORDERS

*(This note is not part of the Order)*

<i>Provision</i>	<i>Date of Commencement</i>	<i>S.I. No.</i>
Sections 1, 4, 5 and 6 (partly)	19.02.2013	S.I. 2013/113
Sections 4 and 6 (partly)	24.01.2013	S.I. 2013/113
Sections 1 to 6 (so far as not already in force)	01.04.2013	S.I. 2013/423
Sections 7, 8 and 9	24.01.2013	S.I. 2013/113
Sections 10 to 48 (so far as not already in force)	01.04.2013	S.I. 2013/423
Sections 11, 12, 14, 15, 16, 18, 19, 24, 27, 29, 30, 33, 35, 37, 38, 39, 40, 41, 42, 43 and 45 (partly)	24.01.2013	S.I. 2013/113
Sections 17, 18 and 39 (partly)	19.03.2013	S.I. 2013/651
Paragraphs (d), (j) and (n) of subsection (1), and subsections (2) and (3) of section 48	24.01.2013	S.I. 2013/113
Sections 49 and 50 to 52	24.01.2013	S.I. 2013/113
Sections 53 to 56	01.04.2013	S.I. 2013/423
Sections 57 and 64	24.01.2013	S.I. 2013/113
Sections 58 to 63	01.04.2013	S.I. 2013/423
Sections 65 and 66 (partly)	24.01.2013	S.I. 2013/113
Sections 65 and 66 (so far as not already in force)	01.04.2013	S.I. 2013/423
Section 67	24.01.2013	S.I. 2013/113
Sections 68 to 82	01.04.2013	S.I. 2013/423
Section 80 (partly)	24.01.2013	S.I. 2013/113
Section 83	24.01.2013	S.I. 2013/113
Sections 84 to 87 (partly)	24.01.2013	S.I. 2013/113
Sections 84 to 87 (so far as not already in force)	01.04.2013	S.I. 2013/423
Sections 88 to 92	24.01.2013	S.I. 2013/113
Sections 93 and 94	24.01.2013	S.I. 2013/113
Sections 95, 97 and 103 to 107	01.04.2013	S.I. 2013/423
Sections 110 and 112 (partly)	24.01.2013	S.I. 2013/113
Sections 110 and 112 (so far as not already in force)	01.04.2013	S.I. 2013/423
Section 111	01.04.2013	S.I. 2013/423
Section 114 (partly)	24.01.2013	S.I. 2013/113
Section 114 (so far as not already in force)	01.04.2013	S.I. 2013/423
Section 119(1) and (2)	24.01.2013	S.I. 2013/113
Schedules 1 to 19 (so far as not already in force)	01.04.2013	S.I. 2013/423
Schedule 4 (partly)	27.02.2013	S.I. 2013/423
Schedule 7 (partly)	24.01.2013	S.I. 2013/113
Schedules 20 and 21	24.01.2013	S.I. 2013/113

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