

---

STATUTORY INSTRUMENTS

---

**2018 No. 640**

**The East Suffolk (Local Government Changes) Order 2018**

**PART 3**

**Transitional functions and their discharge**

**Interpretation of Part 3**

**6.** In this Part—

“shadow authority” means an authority (not being a local authority) which will become a local authority on 1st April 2019;

“shadow executive” has the meaning given in article 8(1); and

“the shadow period” means the period beginning with the day on which this Order comes into force and ending on the fourth day after the 2019 election day.

**East Suffolk Council as shadow authority**

7.—(1) During the shadow period, East Suffolk Council is a shadow authority for the purposes of the following provisions of this Part.

(2) Subject to paragraph (5), the members of the shadow authority are the persons who, on the coming into force of this Order, are councillors of the District Councils.

(3) Those persons are to be members of the shadow authority throughout the shadow period notwithstanding the dissolution of the District Councils on 1st April 2019.

(4) The proper officer of the District Councils must convene, and make all necessary arrangements for, the first meeting of the shadow authority, which is to be held not later than 14 days after the coming into force of this Order.

(5) Where, after the coming into force of this Order and before 1st October 2018, a by-election is held to fill a casual vacancy arising in any ward of the District Councils, the person elected at the election is to be a member of the shadow authority.

**Duties of shadow authority: executive arrangements, code of conduct and members' allowances**

8.—(1) At its first meeting, the shadow authority must, in accordance with paragraphs (2) and (3), create a leader and cabinet executive within the meaning of Part 1A of the Local Government Act 2000<sup>(1)</sup> (arrangements with respect to local authority governance) (“the shadow executive”).

(2) Section 9C of the 2000 Act has effect, for the purposes of paragraph (1), as if subsection (5) were omitted; and the shadow executive is to consist of—

(a) the two persons who are for the time being the leaders of the District Councils;

---

(1) Part 1A was inserted by the Localism Act 2011 (c. 20), section 21 and Schedule 2.

- (b) eight persons nominated by Suffolk Coastal District Council, each of whom is for the time being a member of that Council; and
  - (c) eight persons nominated by Waveney District Council, each of whom is for the time being a member of that Council.
- (3) At its first meeting, the shadow authority must elect the leader and deputy leader of the shadow executive from among the members of the shadow executive.
- (4) The District Councils must co-operate in the establishment of the shadow executive.
- (5) The persons who, immediately before 1st April 2019, are the members of the shadow executive shall—
- (a) continue as members of that executive, and
  - (b) on and after 1st April 2019, shall be the members of the East Suffolk Council’s executive for the purposes of Part 1A of the Local Government Act 2000,
- until the end of the shadow period, notwithstanding the dissolution on that date of the District Councils by which they were nominated.
- (6) If a member of the executive constituted as mentioned in paragraph (4)(b) ceases to be a member of that executive before the end of the shadow period, the East Suffolk Council may in accordance with the terms of the constitution of the Council nominate another member of the Council to be a member of its executive.
- (7) With the exception of Chapter 4 (changing governance arrangements) the provisions of Part 1A of the 2000 Act, to the extent that they relate to a leader and cabinet executive, have effect in relation to the shadow authority as if—
- (a) its executive were a leader and cabinet executive of a district council;
  - (b) the executive arrangements that it makes were executive arrangements of a district council;
  - (c) section 9E authorised its executive to delegate functions not only to officers of the shadow authority but also to officers of the District Councils.
- (8) Chapter 7 of Part 1 of the Localism Act 2011<sup>(2)</sup> (standards) applies in relation to the shadow authority as if—
- (a) it were a district council (but not a principal authority in relation to a parish council); and
  - (b) references to co-opted members were omitted.

**Duties of shadow authority: appointment of certain officers, etc.**

- 9.—(1) At the first meeting of the shadow authority it must designate, on an interim basis, an officer of one of the District Councils—
- (a) to be responsible for performing, in relation to the shadow authority, the duties imposed by—
    - (i) subsections (2) and (3) of section 5 (designation and reports of monitoring officer) of the Local Government and Housing Act 1989<sup>(3)</sup> (“the 1989 Act”), and
    - (ii) subsections (2) and (5) of section 5A of the 1989 Act (reports of monitoring officer - local authorities operating executive arrangements), as applied by paragraph (5) (the “interim monitoring officer”);
  - (b) to be responsible for the administration of the financial affairs of the shadow authority (the “interim chief finance officer”);

---

(2) 2011 c. 20.

(3) 1989 c.42. Relevant amendments, including the insertion of section 5A, were made by S.I. 2001/2237.

- (c) to be head of the shadow authority's paid service and, accordingly, to be responsible for performing, in relation to the shadow authority, the duties imposed by subsections (2) and (4) of section 4 (designation and reports of head of paid service) of the 1989 Act (the "interim head of paid service").

(2) The shadow authority may, at any time before 1st April 2019, appoint a person to become, on and after that date—

- (a) the East Suffolk Council monitoring officer;
- (b) that Council's chief finance officer;
- (c) that Council's head of paid service.

(3) If the power conferred by paragraph (2) is not exercised before 1st April 2019, the person who is on that date—

- (a) the interim monitoring officer;
- (b) the interim chief finance officer; or
- (c) the interim head of paid service,

is responsible for performing, in relation to East Suffolk Council, the duties referred to in subparagraph (a) or (b) of paragraph (1) or the functions of head of paid service of a local authority; and is to perform those duties or such functions until the person to discharge those duties or perform those functions is appointed by that Council and takes up that appointment.

(4) A designation under paragraph (1) ceases to have effect on the day on which a person (which may be the same person) is appointed under paragraph (2) to discharge responsibilities equivalent to those of the designated officer.

(5) Subsections (2) to (7) of section 5 of the 1989 Act and subsections (2) and (5) of section 5A of that Act apply in relation to—

- (a) the shadow authority;
- (b) its interim monitoring officer; and
- (c) its executive arrangements,

as they apply in relation to a relevant authority and the monitoring officer and executive arrangements of a relevant authority as if references in those subsections were references to a shadow authority, its interim monitoring officer and the executive arrangements that it adopts pursuant to this Order.

(6) Sections 114 to 116 of the Local Government Finance Act 1988(4) apply in relation to the shadow authority and its interim finance officer as if—

- (a) references to the chief finance officer of a relevant authority included references to the interim chief finance officer of the shadow authority;
- (b) references to a relevant authority included references to the shadow authority; and
- (c) references to joint committees were omitted.

(7) Subsections (2) to (5) and (6) of section 4 of the 1989 Act apply in relation to the shadow authority and its interim head of paid service as they apply in relation to a relevant authority and its head of paid service as if references in those subsections to a relevant authority and its head of paid service were references to the shadow authority and its interim head of paid service.

---

(4) 1988 c.41. Relevant amendments were made by the Local Government and Housing Act 1989, section 139 and Schedule 5, by the Local Government Act 2003 (c. 26), section 30 and S.I. 2001/2237.

### **Duties of shadow authority: proposals for executive arrangements, code of conduct and members' allowances relating to East Suffolk Council**

**10.**—(1) The shadow authority must formulate proposals for the executive arrangements that are to be operated by East Suffolk Council.

(2) In formulating proposals under paragraph (1) the shadow authority must comply with section 9B(2) of the 2000 Act and for that purpose is to assume that the Council's executive is to be a leader and cabinet executive within the meaning of section 9C(3) of that Act.

(3) The shadow authority must formulate proposals for the code of conduct to be adopted by East Suffolk Council.

(4) In formulating proposals under paragraph (3) the shadow authority must have regard to section 27 (duty to promote and maintain high standards of conduct) and section 28 (codes of conduct) of the Localism Act 2011.

(5) The shadow authority must formulate proposals for the scheme of members' allowances to be adopted by East Suffolk Council.

### **Functions of shadow authority: Implementation Plan**

**11.**—(1) The shadow authority must prepare, keep under review, and revise as necessary, an Implementation Plan which must include—

- (a) such plans and timetables as are in its opinion necessary to secure the effective, efficient and timely discharge of its functions; and
- (b) such budgets and plans as it considers necessary or desirable to facilitate the economic, effective, efficient and timely discharge, on or after 1st April 2019, of the functions that, before that date, are functions of the District Councils.

(2) For the purposes of—

- (a) preparing, reviewing and revising the Implementation Plan; and
- (b) discharging its functions,

the shadow authority must have regard to the information supplied to the Secretary of State by the District Councils in support of their proposals for a single district council in East Suffolk.

(3) The shadow authority may, by written notice to the proper officer of either of the District Councils require the council referred to in the notice to take such action relevant to any function of that council that is to transfer on 1st April 2019 as may be specified in the notice.

### **Other functions of the shadow authority**

**12.** During the shadow period the shadow authority has, in addition to the functions specified or referred to in articles 8 to 11, the functions specified in articles 13 to 17

**13.** The shadow authority must take all such practicable steps as are necessary or expedient—

- (a) to commence and sustain its running as a shadow authority;
- (b) to prepare the authority for the assumption, as East Suffolk Council, of local government functions and full local authority powers on 1st April 2019;
- (c) to prepare any budgets or plans required by East Suffolk Council when those functions are assumed; and
- (d) to liaise with Suffolk County Council and the District Councils for the purpose of ensuring continuity of the delivery of public services on and after 1st April 2019.

**14.** The shadow authority has all the other powers of a non-metropolitan district council.

15. The powers conferred by article 14 may be exercised by the shadow authority only—
- (a) in the discharge of the functions specified or referred to in articles 8 to 11 and 13; and
  - (b) in the same manner, and subject to the same conditions and limitations, as if it were a non-metropolitan district council; and
  - (c) where the exercise of the power would involve incurring expenditure or acquiring a liability, where the expenditure or liability relates to a matter which, before 1st April 2019, is the responsibility of one of the District Councils, with the prior consent of that council.

16. The total of the expenditure properly incurred by the shadow authority before 1st April 2019 is to be divided among, and paid by, the District Councils in such proportion as may be agreed between those councils; but, where the shadow authority notifies the Secretary of State that there is no such agreement, the Secretary of State may—

- (a) determine the proportion in which that expenditure is to be divided and paid; or
- (b) appoint an arbitrator for that purpose.

17.—(1) The following provisions of the 1972 Act apply in relation to the shadow authority, notwithstanding that it does not have the functions and full powers of a local authority—

**Table**

<i>Part or section of 1972 Act</i>	<i>Description</i>
Section 3	Chair
Section 5	Vice-chair
Parts 5 and 5A	General provisions as to members and proceedings of local authorities, access to meetings and documents of certain authorities, committees and sub-committees
Section 103	Expenses of joint committees
Section 106	Standing orders
Section 146	Transfer of securities on alteration of area, etc.
Section 178	Regulations as to allowances
Section 245	Status of certain districts, parishes and communities

(2) The shadow authority, notwithstanding that it does not have the functions and full powers of a local authority, is to be treated—

- (a) for the purposes of the Local Audit and Accountability Act 2014(5) as if it were a district council,
- (b) for the purposes of section 101 of the 2000 Act (indemnification of members and officers of relevant authorities), as a relevant authority,
- (c) for the purposes of Part 1 of the Local Government Act 2003 (capital finance etc. and accounts), as a local authority, and

- (d) for the purposes of the Local Authorities (Standing Orders) (England) Regulations 2001(6), as a relevant authority.

#### **Discharge of shadow authority’s functions by shadow executive**

**18.**—(1) Except to the extent that any provision of any Act, this Order or regulations under section 9D (functions which are the responsibility of an executive) or 9DA (functions of an executive: further provision) of the 2000 Act requires otherwise, the functions conferred on the shadow authority by or under this Order are to be delegated to, and discharged by, the shadow executive.

(2) Paragraph (1) does not prevent the shadow executive from requesting the shadow authority to discharge any function specified in a notice given by the shadow executive to the proper officer of the shadow authority, and the shadow authority must comply with any such request within such period as the shadow executive may specify.

#### **Central Implementation Team**

**19.**—(1) Not later than 21 days after the coming into force of this Order, the shadow executive must form a team of officers (“the Central Implementation Team”) for the purposes of assisting it and, if so required by the shadow authority, that authority.

(2) The members of the Central Implementation Team are to comprise officers from each of the District Councils and the shadow authority.

(3) The leader of the Central Implementation Team is to be an officer of one of the District Councils.