STATUTORY INSTRUMENTS

2019 No. 632

The Financial Services and Markets Act 2000 (Amendment) (EU Exit) Regulations 2019

PART 3

Amendments to the Financial Services and Markets Act 2000 (Regulated Activities) Order 2001

Article 82B (emission allowances)

- **155.**—(1) Article 82B(1) (emission allowances) is amended as follows.
- (2) In paragraph (1), after "compliance" insert "(by an EEA State)".
- (3) In paragraph (2), for sub-paragraphs (a) to (d) substitute—
 - "(a) an investment firm or qualifying credit institution is providing or performing investment services and activities on a professional basis,
 - (b) a management company which has a Part 4A permission to do so is providing the investment service specified in paragraph 4 or 5 of Part 3 of Schedule 2 or the ancillary service specified in paragraph 1 of Part 3A of that Schedule,
 - (c) a market operator is providing the investment service specified in paragraph 8 or 9 of Part 3 of that Schedule, or
 - (d) a full-scope UK AIFM which has a Part 4A permission to do so is providing the investment service specified in paragraph 1, 4 or 5 of Part 3 of that Schedule or the ancillary service specified in paragraph 1 of Part 3A of that Schedule.".