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STATUTORY INSTRUMENTS

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**2019 No. 743 (C. 17)**

**FINANCIAL SERVICES  
CONSUMER PROTECTION**

**The Financial Guidance and Claims Act 2018  
(Commencement No. 6) Regulations 2019**

*Made - - - - 28th March 2019*

The Treasury, with the consent of the Lord Chancellor for the commencement of section 33 of the Financial Guidance and Claims Act 2018<sup>(1)</sup>, make the following Regulations in the exercise of the powers conferred by section 37(5), (9)(b)(ii) and (10) of that Act.

**Citation**

1. These Regulations may be cited as the Financial Guidance and Claims Act 2018 (Commencement No. 6) Regulations 2019.

**Commencement**

2. The following provisions of the Financial Guidance and Claims Act 2018 come into force on the day after the day on which these Regulations are made—

- (a) section 28 (power of FCA to make rules restricting charges for claims management services);
- (b) section 33 (legal services regulators' rules: charges for claims management services); and
- (c) section 34 (extension of power of the Law Society of Scotland to make rules).

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**Status:** This is the original version (as it was originally made). This item of legislation is currently only available in its original format.

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I consent

28th March 2019

28th March 2019

*David Gauke*  
Lord Chancellor  
Ministry of Justice  
*Rebecca Harris*  
*Mike Freer*  
Two of the Lords Commissioners of Her  
Majesty's Treasury

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## EXPLANATORY NOTE

*(This note is not part of the Regulations)*

Regulation 2 of these Regulations commences sections 28, 33 and 34 of the Financial Guidance and Claims Act 2018 (c.10) on the day after the day on which these Regulations are made.

The Lord Chancellor's consent was required to commence section 33 in accordance with section 37(10) of the Financial Guidance and Claims Act 2018.

A full impact assessment has not been produced for these Regulations as they have no, or no significant, impact on the costs of business or the voluntary sector. A full impact assessment has been produced in relation to the provisions of the Financial Guidance and Claims Act 2018 (c. 10), including the provisions that are commenced by these Regulations, and a copy is available at <https://publications.parliament.uk/pa/bills/lbill/2017-2019/0001/financial-guidance-and-claims-IA1.pdf>. A hard copy of this impact assessment can be obtained from HM Treasury, 1 Horse Guards Road, London, SW1A 2HQ.

## NOTE AS TO EARLIER COMMENCEMENT REGULATIONS

*(This note is not part of the Regulations)*

The following provisions of the Financial Guidance and Claims Act 2018 (c.10) have been brought into force by commencement regulations made before the date of these Regulations:

<b>Provision</b>	<b>Date of Commencement</b>	<b>S.I. No.</b>
Section 1(1) to (5) and (7)	1st October 2018	2018/1029
Section 2	1st October 2018	2018/1029
Section 3(1)(a) to (c), (4) to (7) and (9) (for specified purposes)	1st October 2018	2018/1029
Section 3(1), (4) to (7) and (9) (for all remaining purposes)	1st January 2019	2018/1330
Section 3(2) and (3)	1st October 2018	2018/1029
Section 3(8) and (10)	1st January 2019	2018/1330
Section 4	1st January 2019	2018/1330
Section 5	1st January 2019	2018/1330
Section 6	1st October 2018	2018/1029
Section 7	1st October 2018	2018/1029
Section 8	1st October 2018	2018/1029
Section 9	1st October 2018	2018/1029
Section 10	1st January 2019	2018/1330
Section 11(2)	1st October 2018	2018/1029

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<b>Provision</b>	<b>Date of Commencement</b>	<b>S.I. No.</b>
Section 14	1st October 2018	2018/1003
Section 15	1st October 2018	2018/1029
Section 16	1st October 2018	2018/1029
Section 17(1) to (3), (6)(a), (7) and (8)	1st October 2018	2018/1029
Section 17(4), (5) and (6)(b)	1st January 2019	2018/1330
Section 18(1) (for specified purposes)	1st January 2019	2018/1330
Section 18(7)	1st January 2019	2018/1330
Section 20	1st January 2019	2018/1003
Section 23	1st October 2018	2018/1029
Section 24	1st October 2018	2018/1029
Section 26	1st October 2018	2018/1029
Section 27(1) to (14)	6th October 2018	2018/1045
Section 35	8th September 2018	2018/987
Schedule 1	1st October 2018	2018/1029
Schedule 2	1st October 2018	2018/1029
Schedule 3 paragraph 1	1st October 2018	2018/1029
Schedule 3 paragraph 2	1st October 2018	2018/1029
Schedule 3 paragraph 3	1st October 2018	2018/1029
Schedule 3 paragraph 4	1st October 2018	2018/1029
Schedule 3 paragraph 6	1st October 2018	2018/1029
Schedule 3 paragraph 7	1st January 2019	2018/1330
Schedule 3 paragraph 9 and 10	1st January 2019	2018/1330
Schedule 3 paragraph 11	1st October 2018	2018/1029
Schedule 3 paragraph 13(b) and (d)	1st January 2019	2018/1330
Schedule 3 paragraph 13(c)	1st October 2018	2018/1029
Schedule 3 paragraph 14(2)(c) and (3)(c)	1st October 2018	2018/1029
Schedule 3 paragraphs 16 to 20	1st January 2019	2018/1330
Schedule 3 paragraph 21(2)(b), (3)(b), (5)(a)(ii) and 5(b)(iv)	1st October 2018	2018/1029
Schedule 3 paragraph 21(2)(a) and (c), 3(a), (4), (5)(a)(iii), (5)(b)(i) and (ii) and 30(b)	1st January 2019	2018/1330
Schedule 3 paragraph 23	1st October 2018	2018/1029
Schedule 3 paragraph 24	1st October 2018	2018/1029
Schedule 3 paragraph 28	1st October 2018	2018/1029

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<b>Provision</b>	<b>Date of Commencement</b>	<b>S.I. No.</b>
Schedule 3 paragraph 30(a) and (c)	1st October 2018	<a href="#">2018/1029</a>
Schedule 3 paragraph 31 and 32	1st January 2019	<a href="#">2018/1330</a>
Schedule 4	6th October 2018	<a href="#">2018/1045</a>