

# Health and Social Care Act 2008

# **2008 CHAPTER 14**

#### PART 1

THE CARE QUALITY COMMISSION

## **CHAPTER 2**

REGISTRATION IN RESPECT OF PROVISION OF HEALTH OR SOCIAL CARE

### Miscellaneous

# 39 Bodies required to be notified of certain matters

- (2) This section applies to the following notices—
  - (a) a notice under section 26 (notice of proposals),
  - (b) a notice under subsection (1) or (3) of section 28 (notice of decisions),
  - (c) a warning notice under section 29 [F8 or 29A] (warning notice), and
  - (d) a notice under section 31 (urgent procedure for suspension, variation etc.).
- (3) The Commission must notify each of the persons mentioned in subsection (1)(a) to (d) of either of the following events in relation to a person registered under this Chapter—

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- (a) the payment of a penalty in accordance with a penalty notice issued under section 86, or
- (b) the commencement of proceedings in respect of a Part 1 offence.
- (4) Regulations may prescribe cases in which subsection (1) or (3) does not apply.

#### **Textual Amendments**

- F1 S. 39(1)(za) inserted (1.4.2013) by Health and Social Care Act 2012 (c. 7), s. 306(4), Sch. 5 para. 156(a); S.I. 2013/160, art. 2(2) (with arts. 7-9)
- **F2** Words in s. 39 substituted (1.7.2022) by Health and Care Act 2022 (c. 31), s. 186(6), Sch. 1 para. 1(1)(2); S.I. 2022/734, reg. 2(a), Sch. (with regs. 13, 29, 30)
- F3 Words in s. 39(1)(a) inserted (1.4.2013) by Health and Social Care Act 2012 (c. 7), s. 306(4), Sch. 5 para. 156(b)(i); S.I. 2013/160, art. 2(2) (with arts. 7-9)
- **F4** Words in s. 39(1)(a) substituted (1.7.2022) by Health and Care Act 2022 (c. 31), s. 186(6), **Sch. 4** para. 162; S.I. 2022/734, reg. 2(a), Sch. (with regs. 13, 29, 30)
- F5 Words in s. 39(1)(a) omitted (1.4.2013) by virtue of Health and Social Care Act 2012 (c. 7), s. 306(4), Sch. 5 para. 156(b)(iii); S.I. 2013/160, art. 2(2) (with arts. 7-9)
- F6 S. 39(1)(b) omitted (1.4.2013) by virtue of Health and Social Care Act 2012 (c. 7), s. 306(4), Sch. 5 para. 156(c); S.I. 2013/160, art. 2(2) (with arts. 7-9)
- F7 S. 39(1)(c) omitted (1.7.2022) by virtue of Health and Care Act 2022 (c. 31), s. 186(6), **Sch. 5 para.** 37; S.I. 2022/734, reg. 2(a), Sch. (with regs. 13, 29, 30)
- **F8** Words in s. 39(2)(c) inserted (1.4.2015) by Care Act 2014 (c. 23), **ss. 82(4)(b)**, 127(1); S.I. 2015/993, art. 2(v) (with transitional provisions in S.I. 2015/995)

#### Modifications etc. (not altering text)

C1 S. 39(1) excluded (E.) (1.4.2009) by Health and Social Care Act 2008 (Registration of Regulated Activities) Regulations 2009 (S.I. 2009/660), regs. 1(1), 9

# **Commencement Information**

- II S. 39 partly in force; S. 39 in force for specified purposes at Royal Assent, see s. 170
- 12 S. 39 in force at 1.4.2009 for specified purposes by S.I. 2009/462, art. 2, Sch. 1 para. 15
- S. 39 in force at 1.10.2010 in so far as not already in force by S.I. 2010/807, art. 2(2), Sch. 1 para. 25 (with Pt. 3)

#### 40 Periodic returns

- (1) Regulations may require the person carrying on a regulated activity to make a return to the Commission at such intervals as may be prescribed.
- (2) Provision may be made by the regulations as to the contents of the return and the period in respect of which and date by which it is to be made.

### **Commencement Information**

- I4 S. 40 partly in force; S. 40 in force for specified purposes at Royal Assent, see s. 170
- I5 S. 40 in force at 1.4.2009 for specified purposes by S.I. 2009/462, art. 2, Sch. 1 para. 15

# 41 Liquidators etc.

(1) Regulations may—

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- (a) require any person to whom this section applies to give notice of the person's appointment to the Commission;
- (b) require any person to whom this section applies to appoint a person to manage the regulated activity in question.
- (2) This section applies to any person appointed as—
  - (a) a receiver or manager of the property of a relevant company,
  - (b) the liquidator or provisional liquidator of a relevant company, or
  - (c) the trustee in bankruptcy of a relevant individual.
- (3) In this section—

"company" includes a partnership;

"relevant company" means a company which is registered under this Chapter as a service provider in respect of a regulated activity;

"relevant individual" means an individual who is registered under this Chapter as a service provider in respect of a regulated activity.

#### **Commencement Information**

- I6 S. 41 partly in force; S. 41 in force for specified purposes at Royal Assent, see s. 170
- I7 S. 41 in force at 11.12.2009 in so far as not already in force by S.I. 2009/3023, art. 2(d) (with arts. 3-17) (as amended (8.2.2010) by S.I. 2010/47, art. 2)

# 42 Death of registered person

- (1) Regulations may—
  - (a) provide for the provisions of this Chapter to apply with prescribed modifications in cases where a person who was the only person registered under this Chapter as a service provider in respect of a regulated activity has died:
  - (b) require the personal representatives of a deceased person who was registered as a service provider in respect of a regulated activity to notify the Commission of the person's death.
- (2) Regulations under subsection (1)(a) may in particular—
  - (a) provide for the regulated activity to be carried on for a prescribed period by a person who is not registered in respect of it, and
  - (b) include provision for the prescribed period to be extended by such further period as the Commission may allow.

# **Commencement Information**

- IS S. 42 partly in force; S. 42 in force for specified purposes at Royal Assent, see s. 170
- I9 S. 42 in force at 11.12.2009 in so far as not already in force by S.I. 2009/3023, art. 2(d) (with arts. 3-17) (as amended (8.2.2010) by S.I. 2010/47, art. 2)

# **Changes to legislation:**

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